The Alberta Gazette

Part I

Vol. 112 Edmonton, Tuesday, November 15, 2016 No. 21

PROCLAMATION

[GREAT SEAL]
CANADA
PROVINCE OF ALBERTA Lois Mitchell, *Lieutenant Governor.*

ELIZABETH THE SECOND, by the Grace of God, of the United Kingdom, Canada, and Her Other Realms and Territories, **QUEEN**, Head of the Commonwealth, Defender of the Faith

P R O C L A M A T I O N

To all to Whom these Presents shall come

G R E E T I N G

Philip Bryden *Deputy Attorney General*

WHEREAS section 11 of the Alberta Research and Innovation Amendment Act, 2016 provides that that Act comes into force on Proclamation; and

WHEREAS it is expedient to proclaim the Alberta Research and Innovation Amendment Act, 2016 in force:

NOW KNOW YE THAT by and with the advice and consent of Our Executive Council of Our Province of Alberta, by virtue of the provisions of the said Act hereinbefore referred to and of all other power and authority whatsoever in Us vested in that behalf, We have ordered and declared and do hereby proclaim the Alberta Research and Innovation Amendment Act, 2016 in force on November 1, 2016.

IN TESTIMONY WHEREOF We have caused these Our Letters to be made Patent and the Great Seal of Our Province of Alberta to be hereunto affixed.

WITNESS: THE HONOURABLE LOIS MITCHELL, Lieutenant Governor of Our Province of Alberta, this 26 day of October in the Year of Our Lord Two Thousand Sixteen and in the Sixty-fifth Year of Our Reign.

BY COMMAND Kathleen Ganley, *Provincial Secretary.*

PROCLAMATION

[GREAT SEAL]
CANADA
PROVINCE OF ALBERTA Lois Mitchell, *Lieutenant Governor.*

ELIZABETH THE SECOND, by the Grace of God, of the United Kingdom, Canada, and Her Other Realms and Territories, **QUEEN**, Head of the Commonwealth, Defender of the Faith

P R O C L A M A T I O N

To all to Whom these Presents shall come

G R E E T I N G

Frank Bosscha *Acting Deputy Attorney General*

WHEREAS section 15 of the Energy Efficiency Alberta Act provides that that Act comes into force on Proclamation; and

WHEREAS it is expedient to proclaim the Energy Efficiency Alberta Act in force:

NOW KNOW YE THAT by and with the advice and consent of Our Executive Council of Our Province of Alberta, by virtue of the provisions of the said Act hereinbefore referred to and of all other power and authority whatsoever in Us vested in that behalf, We have ordered and declared and do hereby proclaim the Energy Efficiency Alberta Act in force on October 27, 2016.

IN TESTIMONY WHEREOF We have caused these Our Letters to be made Patent and the Great Seal of Our Province of Alberta to be hereunto affixed.

WITNESS: THE HONOURABLE LOIS MITCHELL, Lieutenant Governor of Our Province of Alberta, this 26 day of October in the Year of Our Lord Two Thousand Sixteen and in the Sixty-fifth Year of Our Reign.

BY COMMAND Kathleen Ganley, *Provincial Secretary.*

GOVERNMENT NOTICES

Agriculture and Forestry

Form 15

(Irrigation Districts Act)
(Section 88)

Notice to Irrigation Secretariat:
Change of Area of an Irrigation District

On behalf of the **Taber Irrigation District**, I hereby request that the Irrigation Secretariat forward a certified copy of this notice to the Registrar of Land Titles for the purposes of registration under section 22 of the *Land Titles Act* and arrange for notice to be published in the Alberta Gazette.

The following parcels of land should be **added** to the irrigation district and the appropriate notation added to the certificate of title:

LINC Number	Short Legal Description as shown on title	Title Number
0022430706	SW 35-10-16-4	941190056

I certify the procedures required under part 4 of the *Irrigation Districts Act* have been completed and the area of the **Taber Irrigation District** should be changed according to the above list.

Rebecca Fast, *Office Administrator,*
Irrigation Secretariat.

On behalf of the **Western Irrigation District**, I hereby request that the Irrigation Secretariat forward a certified copy of this notice to the Registrar for Land Titles for the purposes of registration under section 22 of the *Land Titles Act* and arrange for notice to be published in the Alberta Gazette.

The following parcels of land should be **removed** from the irrigation district and the notation removed from the certificate of title:

LINC Number	Short Legal Description as shown on title	Title Number
0022 018 519	4; 23; 23; 2; NW	871 095 469
0022 014 294	4; 23; 23; 10; NE	891 019 421
0022 018 527	4; 23; 23; 2; NE	871 095 469 B
0022 014 302	4; 23; 23; 11; SW	871 095 469 A

I certify the procedures required under part 4 of the *Irrigation Districts Act* have been completed and the area of the **Western Irrigation District** should be changed according to the above list.

Rebecca Fast, *Office Administrator, Irrigation Secretariat.*

Energy

Declaration of Withdrawal from Unit Agreement

(Petroleum and Natural Gas Tenure Regulations)

The Minister of Energy on behalf of the Crown in Right of Alberta hereby declares and states that the Crown in right of Alberta has withdrawn as a party to the agreement entitled "Leduc-Woodbend Blairmore "U3U Unit No. 1" effective October 31, 2016.

Gwenn Thiele, *for Minister of Energy.*

Production Allocation Unit Agreement

(Mines and Minerals Act)

Notice is hereby given, pursuant to section 102 of the Mines and Minerals Act, that the Minister of Energy on behalf of the Crown has executed counterparts of the agreement entitled "Production Allocation Unit Agreement – Fox Creek Duvernay Agreement" and that the Unit became effective on December 1, 2015.

EXHIBIT "A" - PART I

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
"Fox Creek Duvernay Agreement"

Tract No.	Land Description (M R T: Sec)	Lease Number	Royalty Owner	Tract Participation (%)	Working Interest Owner	Share of Working Interest (%)	Share of Tract Participation (%)
1	5-17-061 : 27NW p & 27NE p	0511120136	Crown	1.4046	Shell Canada Energy	100	1.4046
2	5-17-061 : 27S, 27NW p & 27NE p	OL-6457	Indian Oil and Gas Canada	8.4954	Shell Canada Energy	100	8.4954
3	5-17-061: 22	OL-6427	Indian Oil and Gas Canada	90.1000	Shell Canada Energy	100	90.1000

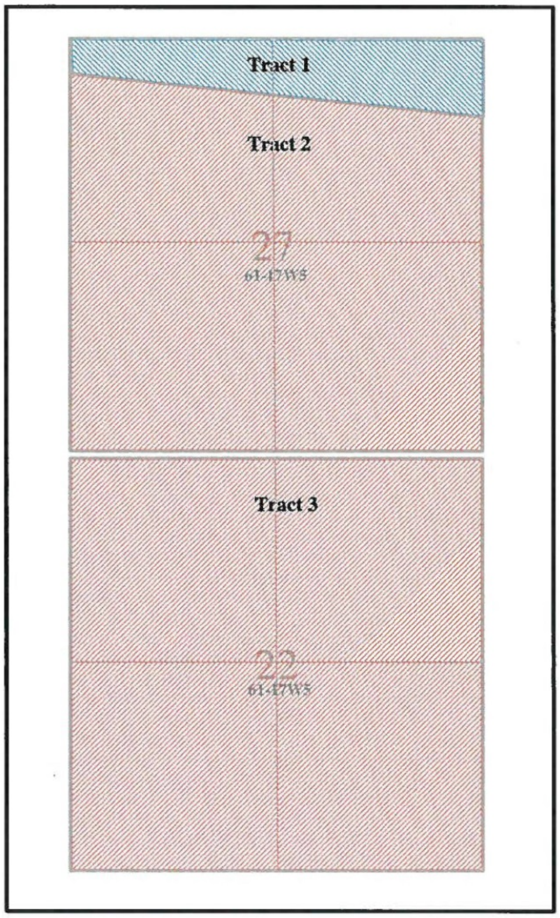
Working Interest Owners:

Shell Canada Energy 100%

Effective as of the Effective Date

EXHIBIT "B"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
"Fox Creek Duvernay Agreement"

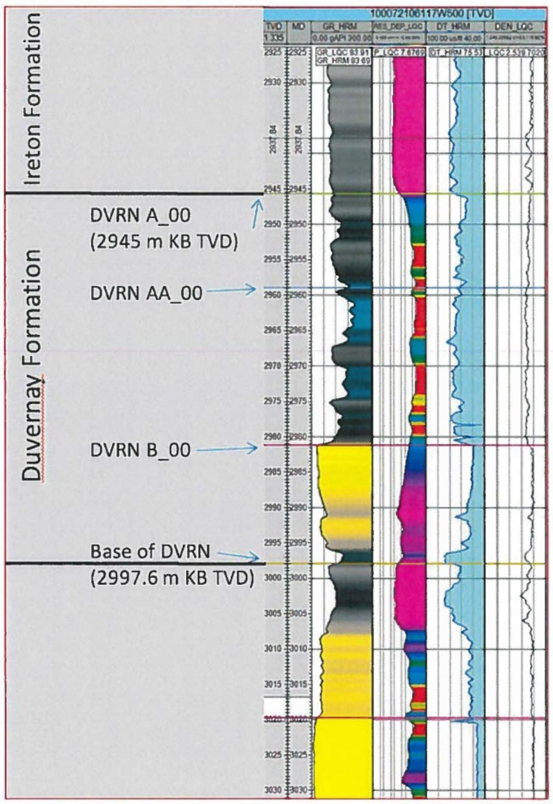


Effective as of the Effective Date

EXHIBIT "C"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
"Fox Creek Duvernay Agreement"

A portion of the dual induction laterlog and density/neutron log(s) recorded at the well 100/07-21-061-17W5/00 located in LSD 100/07-21-061-17W5/00.



Infrastructure

Sale or Disposition of Land

(Government Organization Act)

Name of Purchaser: Merak Chan
Consideration: $25,000.00
Land Description: Plan 1175EZ gravel pit. Containing 1.01 Hectares (2.5 Acres) more or less. Excepting thereout all mines and minerals and the right to work the same.

Name of Purchaser: County of Northern Lights
Consideration: $1.00
Land Description:

Plan 2879ET Block 2 Lot 3. Excepting thereout all mines and minerals.

AND

All that portion of the North West Quarter of Section Thirty Three (33) Township Ninety (90) Range Twenty Three (23) west of the Fifth Meridian described as follows: commencing at the north west corner of the said quarter section, thence easterly along the north boundary thereof, one hundred and forty eight (148) Feet to a point, thence southerly and parallel to the west boundary of the said quarter section one hundred and fourty eight (148) Feet, thence westerly and parallel to the said north boundary to a point on the said west boundary, thence northerly along said west boundary to the point of commencement. Excepting thereout all mines and minerals.

Justice and Solicitor General

Cancellation of Qualified Technician Appointment
(Intox EC/IR II)

Lacombe Police Service
Murray, Thomas Steven

(Date of Designation October 27, 2016)

Safety Codes Council

Agency Accreditation

(Safety Codes Act)

Pursuant to Section 30 of the Alberta Safety Codes Act it is hereby ordered that

Alberta Elevating Devices and Amusement Rides Safety Association,
Accreditation No. A000248, Order No. 0781

Having satisfied the terms and conditions of the Safety Codes Council is authorized to provide services under the Safety Codes Act for **Amusement Rides**.

Consisting of all parts of the Safety Codes for Amusement Rides and Devices Standard Practice for Design, Manufacture, Operation, Maintenance and Inspection of Amusement Rides and Devices in Canada

Accredited Date: March 29, 1996 Issued Date: October 27, 2016.

Pursuant to Section 30 of the Safety Codes Act it is hereby ordered that

Elspect Electrical Ltd., Accreditation No. A000132, Order No. 0135

Having satisfied the terms and conditions of the Safety Codes Council is authorized to provide services under the Safety Codes Act including applicable Alberta amendments and regulations for **Electrical**.

Consisting of all parts of the Canadian Electrical Code Part 1, Code for Electrical Installations at Oil and Gas Facilities and Alberta Electrical Utility Code.

Accredited Date: April 19, 1995 Issued Date: October 28, 2016.

Pursuant to Section 30 of the Alberta Safety Codes Act it is hereby ordered that

Alberta Elevating Devices and Amusement Rides Safety Association,
Accreditation No. A000248, Order No. 0780

Having satisfied the terms and conditions of the Safety Codes Council is authorized to provide services under the Safety Codes Act for **Elevating Devices**.

Consisting of all parts of the Safety Codes for Elevators and Escalator Lifts for Persons with Physical Disabilities, Safety Codes for Personnel Hoists, Safety Codes for Man lifts.

Accredited Date: March 29, 1996 Issued Date: October 27, 2016.

Pursuant to Section 30 of the Safety Codes Act it is hereby ordered that

Central Peace Fire and Rescue Commission, Accreditation No. A000901, Order No. 3014

Having satisfied the terms and conditions of the Safety Codes Council is authorized to provide services under the Safety Codes Act including applicable Alberta amendments and regulations for **Fire**.

Consisting of all parts of the Alberta Fire Code and Fire Investigation (cause and circumstance).

Accredited Date: October 17, 2016 Issued Date: October 17, 2016.

Pursuant to Section 30 of the Alberta Safety Codes Act it is hereby ordered that

Alberta Elevating Devices and Amusement Rides Safety Association, Accreditation No. A000248, Order No. 0878

Having satisfied the terms and conditions of the Safety Codes Council is authorized to provide services under the Safety Codes Act for **Passenger Ropeways.**

Consisting of all parts of the Standard for Passenger Ropeways and Passenger Conveyors.

Accredited Date: August 14, 1996 Issued Date: October 27, 2016.

Corporate Accreditation

(Safety Codes Act)

Pursuant to Section 28 of the Safety Codes Act it is hereby ordered that

XTO Energy Canada ULC, Accreditation No. C000900, Order No. 3015

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Corporation’s industrial facilities for the discipline of **Electrical**

Consisting of all parts of the Canadian Electrical Code Part 1 and Code for Electrical Installations at Oil & Gas Facilities.

Accredited Date: October 24, 2016 Issued Date: October 24, 2016.

Alberta Securities Commission

ALBERTA SECURITIES COMMISSION RULE 13-501 *FEES*

(Securities Act)

Made as a rule by the Alberta Securities Commission on April 13, 2016 pursuant to sections 223 and 224 of the Securities Act.

ALBERTA SECURITIES COMMISSION RULE 13-501 *FEES*

PART 1
DEFINITIONS AND INTERPRETATION

Definitions

1. (1) In this Rule

"alternative trading system" means an alternative trading system as defined by National Instrument 21-101 *Marketplace Operation*;

"Canadian trading share", in relation to a person or company that is a specified regulated entity for a specified period, means the average in the specified period of

(a) the share of the person or company of the total dollar values of trades of exchange-traded securities in Canada,

(b) the share of the person or company of the total trading volume of exchange-traded securities in Canada, and

(c) the share of the person or company of the total number of trades of exchange-traded securities in Canada;

"Class 1 reporting issuer" means a reporting issuer, other than a Class 2 reporting issuer, Class 3A reporting issuer or a Class 3B reporting issuer, that at the end of its previous financial year, has securities listed or quoted on a marketplace;

"Class 2 reporting issuer" means a reporting issuer that does not have securities listed or quoted on a marketplace, and that is incorporated or organized under the laws of Canada or a jurisdiction in Canada;

"Class 3A reporting issuer" means a reporting issuer that is not incorporated under the laws of Canada or a province or territory and that

(a) had no securities listed or quoted on any marketplace at the end of its previous financial year, or

(b) had securities listed or quoted on a marketplace at the end of its previous financial year and all of the following apply:

(i) at the end of its previous financial year, securities registered in the names of persons or companies resident in Alberta represented less than 1% of the market value of all of the reporting issuer's outstanding securities for which it or its transfer agent or registrar maintains a list of registered owners;

(ii) the reporting issuer reasonably believes that, at the end of its previous financial year, securities beneficially owned by persons or companies resident in Alberta represented less than 1% of the market value of all its outstanding securities;

(iii) the reporting issuer reasonably believes that none of its securities traded on a marketplace in Canada during its previous financial year;

(iv) the reporting issuer has not issued any of its securities in Alberta in the last 5 years, other than

(A) to its employees or to employees of one or more of its subsidiaries, or

(B) to a person or company exercising a right previously granted by the reporting issuer or its affiliate to convert or exchange its previously issued securities without payment of any additional consideration;

"Class 3B reporting issuer" means a reporting issuer that

(a) is not a Class 3A reporting issuer, and

(b) is a designated foreign issuer or an SEC foreign issuer as those terms are defined in National Instrument 71-102 *Continuous Disclosure and Other Exemptions Relating to Foreign Issuers*;

"gross proceeds" means the total proceeds realized from the distribution, sale, conversion or exchange of securities under a prospectus or in reliance on an exemption from prospectus requirements including any proceeds realized pursuant to the reinvestment of dividends or the distribution of income or capital gains;

"highest trading marketplace" means

(a) the marketplace on which the highest volume in Canada of the class or series was traded in the previous financial year and which discloses regularly the prices at which those securities have traded,

(b) if the class or series was not traded in the previous financial year on a marketplace in Canada, the marketplace on which the highest volume in the United States of America of the class or series was traded in the previous financial year and which discloses regularly the prices at which those securities have traded, or

(c) if the class or series was not traded in the previous financial year on a marketplace in Canada or the United States of America, the marketplace on which the highest volume of the class or series was traded in the previous financial year and which discloses regularly the prices at which those securities have traded;

"interim period" has the same meaning as in National Instrument 51-102 *Continuous Disclosure Obligations*;

"marketplace" has the same meaning as in NI 21-101;

"money market fund" has the same meaning as in National Instrument 81-102 *Investment Funds*;

"MTN Program" has the same meaning as in National Instrument 44-102 *Shelf Distributions*;

"net proceeds" means the gross proceeds realized in Alberta from the distribution of a money market fund under a prospectus less the aggregate of the redemption or repurchase price paid to redeem or repurchase securities of the fund held by persons in Alberta during the period since the receipt for the prospectus was issued;

"notice of proceeds" means a written notice to the Executive Director of the aggregate gross or net proceeds, as the case may be, realized in Alberta by an issuer or security holder from a distribution of securities;

"previous financial year" means the most recently completed financial year of the person or company;

"realized in Alberta" means distributed in or sold to purchasers in Alberta;

"registered firm" has the same meaning as in National Instrument 31-103 *Registration Requirements, Exemptions, and Ongoing Registrant Obligations*;

"Shelf Procedures" means the requirements of NI 44-102 for the distribution of securities under a base shelf prospectus and a shelf prospectus supplement;

"special warrant" means a security, (i) that is distributed in reliance on an exemption from prospectus requirements, (ii) that carries the right to purchase, convert or exchange the security, without payment of any material additional consideration, into another security, and (iii) in respect of which the issuer or selling security holder has agreed to file a prospectus for the distribution of the security received on the exercise of the right;

"specified period" means the period beginning on April 1 of the previous calendar year and ending on March 31 of the calendar year;

"specified regulated entity" means a person or company described in Part 6 of this Rule;

"specified trading period" means, in respect of a reporting issuer's financial year, each period that is an interim period in the financial year and the period commencing on the first day of the financial year and ending on the last day of the financial year;

"subsidiary" means, subject to subsection 5 of the *Securities Act*, a subsidiary of a person or company as determined in accordance with the generally accepted accounting principles applying to the person or company.

(2) Unless otherwise defined in this Rule, terms defined in the Act have the same meaning in this Rule.

PART 2
APPLICATION

Payment of fees

2. Any fee payable to the Commission under the *Securities Act*, any regulation or any other legislative authority must be paid to the Commission in accordance with this Rule.

Waiver or refund of fees

3. The Executive Director may waive or refund any fee in whole or in part that is payable under the *Securities Act*, or any regulation.

PART 3
REGISTERED INDIVIDUALS AND FIRMS

Fees related to registration

4. (1) A form prescribed under National Instrument 33-109 *Registration Information* that is submitted to the Executive Director to seek registration or any request for reinstatement after a suspension of registration must be accompanied by one of the following fees:

(a) for a firm seeking registration as a dealer, adviser or investment fund manager, regardless of the number of categories, $1400;

(b) for an individual seeking registration to act on behalf of a firm, regardless of the number of categories, $400.

(2) Despite subsection (1), no fee is payable by a registered person or company who is seeking registration in an additional category or categories.

(3) Despite paragraph (1)(b), no fee is payable for filing Form 33-109F7 *Reinstatement of Registered Individuals and Permitted Individuals* where an individual joins a new sponsoring firm, unless the individual files the form in the calendar year following the date of the individual's termination with the individual's former sponsoring firm.

Annual registration fees

5. (1) Registered persons and companies must pay the following annual registration fees on December 31 of each year:

(a) for registered dealers, advisers or investment fund managers, regardless of the number of categories, $1400;

(b) for registered individuals, regardless of the number of categories, $400.

(2) Despite paragraph (1)(a), no annual fee is payable by a dealer, adviser or investment fund manager whose registration is suspended as a result of a decision by the Executive Director or of the firm's principal regulator under Multilateral Instrument 11-102 *Passport System*.

(3) Despite paragraph (1)(b), no annual fee is payable for an individual whose registration is suspended as a result of the suspension of registration of the individual's sponsoring firm by a decision of the Executive Director or of the firm's principal regulator under MI 11-102.

International dealers and international advisers

6. A fee of $1400 must accompany any of the following:

(a) Form 31-103F2 *Submission to Jurisdiction and Appointment of Agent for Service* filed pursuant to either paragraph 8.18(3)(e) or paragraph 8.26(4)(f) of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*;

(b) a notice given pursuant to either subsection 8.18(5) or subsection 8.26(5) of NI 31-103.

Acquisition of registered firm's securities or assets

7. A notice required to be filed in Alberta under section 11.9 or section 11.10 of National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* must be accompanied by a fee of $1750.

Fees for late documents

8. (1) In this section, "document" means any of the following:

(a) a subordination agreement delivered under National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*;

(b) interim financial information and annual financial statements delivered under Division 4 of Part 12 of NI 31-103;

(c) any document required to be filed, delivered or otherwise provided by a registered firm either pursuant to the terms and conditions imposed on that firm's registration, or pursuant to an undertaking given by that firm.

(2) A registered firm that files, delivers or otherwise provides a document after the date on which the document was required to be filed, delivered or otherwise provided must, concurrently with the filing, delivery or provision of the document, pay the Commission a late fee of $100 for each day that elapses between the date the document was required to be filed, delivered or otherwise provided and the date on which the document is filed, delivered or otherwise provided.

(3) Despite subsection (2), the maximum late fee payable by a registered firm as a result of the operation of this section is $5000 per document.

PART 4
PROSPECTUS AND REPORTS OF EXEMPT DISTRIBUTION

Fees related to filing a prospectus

9. (1) Every preliminary or pro forma prospectus filed other than for a mutual fund must be accompanied by a fee in the aggregate amount of

(a) $2000 for each issuer, and

(b) $2000 where there is one or more selling security holders whose securities may be distributed under the prospectus.

(2) Every preliminary or pro forma prospectus filed for a mutual fund must be accompanied by a fee in the aggregate amount of

(a) $1200 for each issuer, and

(b) $1200 where there is one or more selling security holders whose securities may be distributed under the prospectus.

(3) Despite subsections (1) and (2), every preliminary prospectus filed, other than for the distribution of securities on the exercise of a right under a special warrant, where the value of the distribution of securities under the prospectus will not exceed $1 000 000, must be accompanied by a fee of $250 for each issuer or selling security holder whose securities may be distributed under it.

Fees for distribution of securities

10. (1) For every prospectus filed for the distribution of securities, the issuer or selling security holder must file a notice of proceeds.

(2) For every prospectus filed for the distribution of securities, the issuer or selling security holder that is not a reporting issuer must pay a fee equal to the amount, if any, by which 0.025% of the gross proceeds realized in Alberta by the issuer or selling security holder from the distribution of securities under the prospectus exceeds the fees paid under section 9

(a) in the case of a non-continuous distribution of securities, within 30 days from the earlier of

(i) the completion of the distribution under the prospectus, and

(ii) 12 months from the date the receipt for the prospectus is issued,

and

(b) in the case of a distribution of securities under the Shelf Procedures, within 25 months from the date that the receipt for the prospectus is issued or,

(i) in respect of a continuous distribution, other than under a MTN Program, 5 business days after the end of each month with respect to the securities distributed during that month,

(ii) in respect of a distribution under a MTN Program, on filing a pricing supplement with respect to the securities distributed under the pricing supplement, or

(iii) in respect of all other distributions, 30 days from completion of the distribution under a shelf prospectus supplement with respect to the securities distributed under the shelf prospectus supplement,

whichever is the earliest.

(3) Despite subsections (1) and (2), except for the distribution of securities under the Shelf Procedures, the issuer or selling security holder for every prospectus filed for the continuous distribution of securities

(a) on the filing of a new prospectus to continue the distribution under the prospectus, or

(b) if a new prospectus is not filed, within 13 months from the date that the receipt for the prospectus is issued,

must

(c) file a notice of proceeds, and

(d) pay a fee equal to the amount, if any, by which the fees paid in section 9 are exceeded by one of the following amounts of proceeds realized in Alberta by the issuer or selling security holder from the distribution under the prospectus:

(i) in the case of a money market fund, 0.02% of the net proceeds;

(ii) in the case of any other mutual fund, 0.02% of the gross proceeds;

(iii) in any other case, 0.025% of the gross proceeds.

(4) This section does not apply to distributions of securities referred to in subsection 9(3).

Fees for reports of exempt distribution

11. (1) A report of exempt distribution required to be filed in connection with the use of an exemption from the prospectus requirements under Alberta securities laws must be accompanied by a fee equal to the greater of

(a) $200, and

(b) one of the following amounts of the proceeds realized by the issuer or selling security holder from purchasers in Alberta of the securities described in the report:

(i) in the case of a money market fund, 0.02% of the net proceeds;

(ii) in the case of any other mutual fund, 0.02% of the gross proceeds;

(iii) in any other case, 0.025% of the gross proceeds.

(2) Despite subsection (1), a report of exempt distribution required to be filed by a reporting issuer that is not an investment fund in connection with the use of an exemption from the prospectus requirements under Alberta securities laws must be accompanied by a fee of $200.

(3) Despite subsections (1) and (2), every report of exempt distribution filed in respect of a trade in securities where there is no change in beneficial ownership of the securities as a result of the trade must be accompanied by a fee of $200.

(4) If a report of exempt distribution required to be filed by a person or company pursuant to National Instrument 45-106 *Prospectus Exemptions* is not filed within the period of time prescribed by Alberta securities laws, the person or company must, concurrently with the filing of the report, pay the Commission a late fee of $100 for each day that elapses between the date the report was required to be filed and the date on which the report is filed.

(5) Despite subsection (4), the maximum late fee payable by a person or company as a result of the operation of this section is $1000 per report.

Notice for distribution of rights

12. (1) Every notice filed for the distribution of rights under section 2.1 of National Instrument 45-106 *Prospectus Exemptions* must be accompanied by a fee of $600.

(2) The issuer or selling security holder distributing rights under the offering circular must, within 30 days from the date of termination of the offering,

(a) file a notice of proceeds, and

(b) pay a fee equal to the amount, if any, by which 0.025% of the gross proceeds realized in Alberta by the issuer or selling security holder from the rights offering exceeds $600.

(3) Paragraph (2)(b) does not apply to a reporting issuer that is not an investment fund distributing rights under an offering circular.

Fees for amendments

13. Every amendment to any of the following must be accompanied by a fee of $250:

(a) preliminary or pro forma prospectus;

(b) prospectus.

Fees for reports

14. Every report filed with any of the following must be accompanied by a fee of $100:

(a) preliminary prospectus;

(b) pro forma prospectus;

(c) amendment to any of the documents referred to under section 11.

PART 5
REPORTING ISSUER PARTICIPATION FEES

Participation fee

15. (1) At the time the annual financial statement by or on behalf of a reporting issuer for the purpose of annual continuous disclosure is filed, it must be accompanied by the following participation fee:

(a) for a Class 1 reporting issuer or Class 2 reporting issuer, the participation fee in Appendix A based on the reporting issuer's capitalization, as determined in accordance with either section 20 or 21, for the previous financial year;

(b) for a Class 3A reporting issuer, a participation fee of $400;

(c) for a Class 3B reporting issuer, the participation fee in Appendix B based on the reporting issuer's capitalization, as determined in accordance with section 20, for the previous financial year;

(d) for an investment fund required to file an annual financial statement pursuant to National Instrument 81-106 *Investment Fund Continuous Disclosure* a participation fee of $350.

(2) Despite subsection (1), a participation fee is not payable by a participant under this section if the participant became a reporting issuer in the period that begins immediately after the time that would otherwise be the end of the previous financial year in respect of the participation fee and ends at the time the participation fee would otherwise be required to be paid under subsection (1).

Fees for late annual financial statements

16. (1) In addition to the fee payable under subsection 15(1), if an annual financial statement required to be filed by a person or company is not filed within the period of time prescribed by Alberta securities laws, the reporting issuer must, concurrently with the filing of the annual financial statement, pay the Commission a late fee of $100 for each day that elapses between the date the annual financial statement was required to be filed and the date on which the annual financial statement is filed.

(2) Despite subsection (1), the maximum late fee payable by a person or company as a result of the operation of this section is $5000 per annual financial statement.

Exemption for subsidiary entities

17. (1) Subsection 15(1) does not apply to a reporting issuer that is a subsidiary if all of the following apply:

(a) at the end of the subsidiary's previous financial year, the parent of the subsidiary was a reporting issuer;

(b) the audited financial statements of the parent prepared in accordance with National Instrument 52-107 *Acceptable Accounting Principles and Auditing Standards* require the consolidation of the parent and the subsidiary;

(c) the parent has paid a participation fee under paragraph 15(1)(a) calculated based on the capitalization of the parent for the previous financial year;

(d) in the case of a parent that is a Class 1 reporting issuer, the capitalization of the parent for the previous financial year included the capitalization of the subsidiary as required under paragraph 20(c);

(e) in the previous financial year either of the following occurred:

(i) the net assets and total revenues of the subsidiary represented more than 90% of the consolidated net assets and total revenues of the parent in the parent's previous financial year;

(ii) the subsidiary was entitled to rely on an exemption or waiver from the requirements in subsections 4.1(1), 4.3(1), 5.1(1) or section 5.2, and section 6.1 of National Instrument 51-102 *Continuous Disclosure Obligations*.

(2) A reporting issuer referred to in subsection (1) must file a completed Form 13-501F6 *Subsidiary Exemption Notice* that contains a certification signed by an officer of the reporting issuer, at the time it files its annual financial statements for its previous financial year in accordance with Alberta securities law, or by the date on which its annual financial statements would have been required to be filed under Alberta securities law absent an exemption or waiver described in subparagraph (1)(e)(ii).

Participation fee estimate for Class 2 reporting issuers

18. (1) If the annual financial statements of a Class 2 reporting issuer are not available by the date referred to in paragraph 15(1)(a) the Class 2 reporting issuer must, on that date,

(a) file a completed Form 13-501F2 *Class 2 Reporting Issuers - Participation Fee* showing a good faith estimate of the information required to calculate its capitalization as at the end of the previous financial year, and

(b) pay the participation fee shown in Appendix A opposite the estimated capitalization.

(2) A Class 2 reporting issuer that estimated its capitalization under subsection (1) must, when it files its annual financial statements for the previous financial year,

(a) calculate its capitalization under section 21,

(b) pay the participation fee shown in Appendix A opposite the capitalization, less the participation fee paid under subsection (1), and

(c) file a completed Form 13-501F3 *Adjustment of Fee Payment for Class 2 Reporting Issuers* that contains a certification signed by an officer of the reporting issuer.

(3) If the amount paid by a reporting issuer under subsection (1) exceeds the participation fee calculated under subsection (2), the issuer is entitled to a refund from the Commission of the amount overpaid.

(4) A request for a refund under subsection (3) must be made to the Commission by the same date on which the form referred to in paragraph 2(c) is required to be filed.

Filing report and certification

19. (1) At the time that it pays the participation fee required by this Part,

(a) a Class 1 reporting issuer and a Class 3B reporting issuer must file a completed Form 13-501F1 *Class 1 Reporting Issuers and Class 3B Reporting Issuers – Participation Fee*,

(b) a Class 2 reporting issuer must file a completed Form 13-501F2 *Class 2 Reporting Issuers – Participation Fee*,

(c) a Class 3A reporting issuer must file a completed Form 13-501F4 *Class 3A Reporting Issuers – Participation Fee*, and

(d) An investment fund must file a completed Form 13-501F5 *Investment Fund – Participation Fee*.

(2) A form required to be filed under subsection (1) must contain a certification signed by an officer of the reporting issuer.

Calculating capitalization for Class 1 reporting issuers and Class 3B reporting issuers

20. The capitalization of a Class 1 reporting issuer or a Class 3B reporting issuer for the previous financial year is the total of all of the following:

(a) for each class or series of the reporting issuer's equity securities listed or quoted on a marketplace

(i) the sum of the market value of the securities listed or quoted on a marketplace at the end of the last trading day of each specified trading period in the previous financial year of the reporting issuer, calculated for each specified trading period as follows:

$$A \times B$$

in which,

"A" is equal to the closing price of the security in the class or series on the last trading day of the specified trading period in which such security was listed or quoted on the highest trading marketplace, and

"B" is equal to the number of securities in the class or series of such security outstanding at the end of the specified trading period

(ii) divided by the number of specified trading periods in the reporting issuer's previous financial year in which the security of the reporting issuer was listed or quoted on a marketplace at the end of the last trading day of a specified trading period;

(b) the fair value of the outstanding debt securities of the reporting issuer at the end of the previous financial year that are

(i) listed or quoted on a marketplace,

(ii) traded over the counter, or

(iii) available for purchase or sale without regard to a statutory hold period;

(c) the capitalization for the previous financial year of a subsidiary that is exempt under subsection 17(1), calculated in accordance with paragraphs (a) and (b), and excluding any securities of the subsidiary held by the parent that have been included in the capitalization of the parent for the previous financial year.

Calculating capitalization for Class 2 reporting issuers

21. (1) The capitalization of a Class 2 reporting issuer for the previous financial year is the total of all of the following items, as shown in its audited statement of financial position as at the end of the previous financial year:

(a) retained earnings or deficit;

(b) contributed surplus;

(c) share capital or owners' equity, options, warrants and preferred shares;

(d) non-current borrowings, including the current portion;

(e) finance leases, including the current portion;

(f) non-controlling interest;

(g) items classified on the statement of financial position as non-current liabilities, and not otherwise referred to in this subsection;

(h) any other item forming part of equity not otherwise referred to in this subsection.

(2) Despite subsection (1), a reporting issuer may calculate its capitalization using unaudited annual financial statements if it is not required to prepare, and does not ordinarily prepare, audited annual financial statements.

(3) Despite subsection (1), a reporting issuer that is a trust that issues only asset-backed securities through pass-through certificates may calculate its capitalization using the monthly filed distribution report for the last month of the previous financial year if it is not required to prepare, and does not ordinarily prepare, audited annual financial statements.

Reliance on published information

22. (1) In determining its capitalization, a reporting issuer may rely on information made available by a marketplace on which its securities trade.

(2) Despite subsection (1), if a reporting issuer reasonably believes that the information made available by a marketplace is incorrect, the issuer must make a good faith estimate of the information required.

PART 6
PARTICIPATION FEES FOR SPECIFIED REGULATED ENTITIES

Recognized exchange on which securities are traded

23. A recognized exchange on which securities are traded must, no later than April 30 in each calendar year, pay the participation fee shown in Appendix C opposite the corresponding Canadian trading share of the exchange for the specified period.

Recognized exchange on which derivatives are traded

24. (1) If the Commission is the lead or co-lead regulator for a recognized exchange on which derivatives are traded, then the exchange must, no later than April 30 in each calendar year, pay the participation fee of $15 000 for the specified period.

(2) If the Commission is not the lead or co-lead regulator for a recognized exchange on which derivatives are traded, then the exchange must, no later than April 30 in each calendar year, pay the participation fee of $7500 for the specified period.

Exchanges exempt from recognition under the Act

25. A person or company that is exempted by the Commission from the application of section 62 of the *Securities Act* must, no later than April 30 in each calendar year, pay a participation fee of $5000.

Recognized quotation and trade reporting system

26. A recognized quotation and trade reporting system must, no later than April 30 in each calendar year, pay the participation fee shown in Appendix C opposite the corresponding Canadian trading share of the quotation and trade reporting system for the specified period.

Alternative trading system

27. (1) An alternative trading system only for exchange-traded securities must, no later than April 30 in each calendar year, pay a participation fee of $8500.

(2) An alternative trading system only for unlisted debt or securities lending must, no later than April 30 in each calendar year, pay a participation fee of $4400.

(3) An alternative trading system not described in subsections (1) or (2) must, no later than April 30 in each calendar year, pay a participation fee of $8500.

(4) If there are 2 or more alternative trading systems, each of which is related to each other and each of which trades different asset classes, then each alternative trading system must pay a participation fee as determined under subsection (1), (2) or (3).

Recognized clearing agencies providing services in connection with trades in securities

28. (1) A recognized clearing agency must, no later than April 30 in each calendar year, pay the aggregate of the participation fees set out in subsections (2) to (7) for each of the services that the clearing agency provides in the specified period in connection with trades in securities.

(2) A recognized clearing agency that provides matching services, which is the provision of facilities for comparing data respecting the terms of settlement of a trade or transaction, must pay a participation fee of $5000.

(3) A recognized clearing agency that provides netting services, being the provision of facilities for the calculation of the mutual obligations of participants for the exchange of securities or money, must pay a participation fee of $10 000.

(4) A recognized clearing agency that provides settlement services, which are services that ensure that securities are transferred finally and irrevocably from one participant to another in exchange for a corresponding transfer of money or vice versa, must pay a participation fee of $10 000.

(5) A recognized clearing agency that acts as a central clearing counterparty by providing novation services, if the Commission does not place reliance on another regulator for direct oversight, must pay a participation fee of $75 000.

(6) A recognized clearing agency that acts as a central clearing counterparty by providing novation services, if the Commission places reliance on another regulator for direct oversight, must pay a participation fee of $35 000.

(7) A recognized clearing agency that provides depositary services, which is the provision of centralized facilities as a depository for securities, must pay a participation fee of $10 000.

Recognized clearing agencies providing services in connection with trades in derivatives

29. A clearing agency that provides services in the specified period in connection with trades in derivatives must, no later than April 30 in each calendar year, pay a participation fee of $15 000.

Clearing agencies exempt from recognition under the Act

30. Each clearing agency that is exempted by the Commission from the application of section 67 of the *Securities Act* must, no later than April 30 in each calendar year, pay a participation fee of $5000.

Recognized trade repositories

31. Each recognized trade repository under subsection 67.3(1) of the *Securities Act* must, no later than April 30 in each calendar year, pay a participation fee of $15 000.

Participation fee on recognition or exemption

32. (1) A person or company must, on the date it first becomes a specified regulated entity, pay a participation fee of

$$A \times B \div C$$

in which

"A" is

(a) in the case of a recognized exchange, a recognized quotation and trade reporting system or an alternative trading system, $15 000,

(b) in the case of an exchange exempt from recognition under the *Securities Act*, $5000,

(c) in the case of a recognized clearing agency, the aggregate of the participation fees set out in sections 28(2) through (7) for the services that the clearing agency is to provide in the specified period in connection with trades in securities,

(d) in the case of a clearing agency exempt from recognition under the Act, $5000, or

(e) in the case of a recognized trade repository, $15 000,

"B" is the number of complete months remaining from the month in which the person or company first became a specified regulated entity until March 31, and

"C" is 12.

(2) The fee required to be paid under subsection (1) is in lieu of the fees required to be paid by the person or company in the same specified period under sections 23 through 31.

Form

33. A payment made under sections 23 through 32 must be accompanied by a completed Form 13-501F7 *Specified Regulated Entities – Participation Fee*.

Late fee

34. (1) In addition to the fee payable under this Part, if a person or company is late paying the participation fee, the person or company must pay an additional late fee of $100 for each day that elapses between the date the participation fee was required to be paid and the date on which the participation fee is paid.

(2) Despite subsection (1), the maximum late fee payable by a person or company as a result of the operation of this section is $5000 per calendar year.

PART 7
MARKET REGULATION RECOGNITION AND EXEMPTIONS

Recognition or exemption of an exchange

35. (1) An application for recognition as an exchange under section 62 of the *Securities Act* must be accompanied by a fee of $55 000.

(2) An application for exemption from the recognition as an exchange under section 62 of the Act must be accompanied by a fee of $41 500.

Recognition or exemption of a clearing agency

36. (1) An application for recognition as a clearing agency under section 67 of the *Securities Act* must be accompanied by a fee of $55 000.

(2) An application for exemption from the recognition as a clearing agency under section 67 of the Act must be accompanied by a fee of $41 500.

Merger, acquisition, reorganization or restructuring

37. In addition to the fees required to be paid pursuant to any of sections 35 or 36, each application that reflects any of the following circumstances must be accompanied by a fee of $50 000:

(a) a merger of an exchange or clearing agency;

(b) an acquisition of a major part of the assets of an exchange or clearing agency;

(c) the introduction of a new business that would significantly change the risk profile of an exchange or clearing agency;

(d) a major reorganization or restructuring of an exchange or clearing agency.

Alternative trading system

38. A new alternative trading system must pay a fee of $27 500 at the time it files Form 21-101F2 *Initial Operation Report – Alternative Trading System* for review in accordance with National Instrument 21-101 *Marketplace Operation*.

Trade repository

39. An application for recognition as a trade repository under subsection 67.3(1) of the *Securities Act* must be accompanied by a fee of $41 500.

PART 8
MISCELLANEOUS FEES

Take-over bid and issuer bid circular

40. (1) Every take-over bid or issuer bid circular filed must be accompanied by a fee of $1200.

(2) Every directors' circular or individual director's or officer's circular filed must be accompanied by a fee of $600.

(3) Every notice of change or variation in respect of a take-over bid circular or issuer bid circular filed must be accompanied by a fee of $300.

Recognition or renewal of recognition as an exempt purchaser

41. Every application to the Commission for recognition as an exempt purchaser or renewal of recognition as an exempt purchaser must be accompanied by a fee of $500.

Pre-filing

42. (1) Each pre-filing related to the items described in sections 35, 36, 37, 38, 39 and any other pre-filing must be accompanied by a fee equal to 1/2 of the fee that would have been payable if the corresponding formal filing had proceeded at the same time as the pre-filing.

(2) A fee paid pursuant to subsection (1) is non-refundable.

(3) Despite subsection (2), a fee paid pursuant to subsection (1) will be credited against the applicable fee payable if and when a person or company proceeds with the corresponding formal filing.

Examination in accordance with section 58

43. (1) The fee for an examination by a person appointed under section 58 of the *Securities Act* is $1000 per day per person plus any reasonable expenses incurred in connection with the examination.

(2) Despite subsection (1), in the case of a limited or minor examination, the fee prescribed under subsection (1) may be reduced to reflect the cost of the examination.

Notice of appeal

44. Every notice of appeal to the Commission under subsection 36(1) of the *Securities Act* must be accompanied by a fee of $300.

Application to the Commission

45. (1) Every application to the Commission under section 144 of the *Securities Act* must be accompanied by a fee of $750.

(2) Every application to the Commission under section 179 of the Act must be accompanied by a fee of $750.

(3) Every application to the Commission under the *Business Corporations Act* must be accompanied by a fee of $300.

(4) Any application to the Commission for which a fee is not specifically provided in this Rule must be accompanied by a fee of $750.

Application or request to the Executive Director

46. Every application or request to the Executive Director for which a fee is not specifically provided in this Rule must be accompanied by a fee of $750.

Certified statement

47. No fee is payable for certifying a statement referred to in section 218 of the *Securities Act*.

Photocopy

48. The fee for photocopying is $0.50 per page photocopied.

Microfilm search

49. The fee for a microfilm search is $10 per person or company.

Late fee for insider report

50. (1) A person or company must pay the Commission a late fee of $50 for each day that elapses between the date the report was required to be filed and the date on which the report is filed if

(a) an insider report required to be filed by a person or company pursuant to National Instrument 55-104 *Insider Reporting Requirements and Exemptions* is not filed within the period of time prescribed by Alberta securities laws, and

(b) the Commission is the principal regulator under Multilateral Instrument 11-102 *Passport System*.

(2) Despite subsection (1), the maximum late fee payable by a person or company as a result of the operation of this section is $1000 per issuer per calendar year.

SEDAR and NRD fees

51. Multilateral Instrument 13-102 *System Fees for SEDAR and NRD* applies in respect of fees for each of the following:

(a) System for Electronic Document Analysis and Retrieval (SEDAR);

(b) National Registration Database (NRD).

Currency conversion

52. If a calculation under this Rule requires the price of a security, or any other amount, as it was on a particular date and that price or amount is not in Canadian dollars, it must be converted to Canadian dollars using the daily noon exchange rate for that date as posted on the Bank of Canada website.

PART 9
WHEN THIS INSTRUMENT COMES INTO FORCE

Effective date

53. This instrument comes into force on December 1, 2016.

Appendix A
to
ASC Rule 13-501 *Fees*
Participation fees for Class 1 reporting issuers or Class 2 reporting issuers
(Paragraph 15(1)(a))

Capitalization for the Previous Fiscal Year	Participation Fee
under $10 million	$400
$10 million to under $25 million	$500
$25 million to under $50 million	$1200
$50 million to under $100 million	$3000
$100 million to under $250 million	$6500
$250 million to under $500 million	$14 000
$500 million to under $1 billion	$19 000
$1 billion to under $5 billion	$28 000
$5 billion to under $10 billion	$36 500
$10 billion to under $25 billion	$42 500
$25 billion and over	$48 000

Appendix B
to
ASC Rule 13-501 *Fees*
Participation fees for Class 3B reporting issuers
(Paragraph 15(1)(c))

Capitalization for the Previous Fiscal Year	Participation Fee
under $10 million	$400
$10 million to under $25 million	$500
$25 million to under $50 million	$600
$50 million to under $100 million	$1000
$100 million to under $250 million	$2000
$250 million to under $500 million	$4500
$500 million to under $1 billion	$6000
$1 billion to under $5 billion	$9000
$5 billion to under $10 billion	$11 500
$10 billion to under $25 billion	$13 500
$25 billion and over	$15 500

Appendix C
to
ASC Rule 13-501 *Fees*
Participation fees for Specified Regulated Entities
(Part 6)

Specified Regulated Entity (Column A)	Participation Fee (Column B)
Recognized exchange and recognized quotation and trade reporting system	
A person or company with a Canadian trading share for the specified period of up to 5%	$15 000
A person or company with a Canadian trading share for the specified period of 5% to up to 15%	$25 000
A person or company with a Canadian trading share for the specified period of 15% to up to 25%	$67 500

A person or company with a Canadian trading share for the specified period of 25% to up to 50%	$137 500
A person or company with a Canadian trading share for the specified period of 50% to up to 75%	$200 000
A person or company with a Canadian trading share for the specified period of 75% or more	$250 000

FORM 13-501F1

CLASS 1 REPORTING ISSUERS AND CLASS 3B REPORTING ISSUERS – PARTICIPATION FEE

MANAGEMENT CERTIFICATION

I, ____________________, an officer of the reporting issuer noted below have examined this Form 13-501F1 (the **Form**) being submitted hereunder to the Alberta Securities Commission and certify that to my knowledge, having exercised reasonable diligence, the information provided in the Form is complete and accurate.

______________________________ ______________________________

Name: Date:
Title:

Reporting Issuer Name: ______________________________

End date of previous financial year: ______________________________

Type of Reporting Issuer: **[ ] Class 1 reporting issuer** **[ ] Class 3B reporting issuer**

Highest Trading Marketplace: ______________________________

<u>Market value of listed or quoted equity securities:</u>

Equity Symbol

1st Specified Trading Period (dd/mm/yy)		_______________ to ______________
Closing price of the security in the class or series on the last trading day of the specified trading period in which such security was listed or quoted on the highest trading marketplace		$ _________________ (i)
Number of securities in the class or series of such security outstanding at the end of the last trading day of the specified trading period		___________________ (ii)
Market value of class or series	(i) x (ii)	$ _________________ (A)
2nd Specified Trading Period (dd/mm/yy)		_______________ to ______________
Closing price of the security in the class or series on the last trading day of the specified trading period in which such security was listed or quoted on the highest trading marketplace		$ _________________ (iii)
Number of securities in the class or series of such security outstanding at the end of the last trading day of the specified trading period		$ _________________ (iv)
Market value of class or series	(iii) x (iv)	$ _________________ (B)
3rd Specified Trading Period (dd/mm/yy)		_______________ to ______________
Closing price of the security in the class or series on the last trading day of the specified trading period in which such security was listed or quoted on the highest trading marketplace		$ _________________ (v)
Number of securities in the class or series of such security outstanding at the end of the last trading day of the specified trading period		$ _________________ (vi)
Market value of class or series	(v) x (vi)	$ _________________ (C)
4th Specified Trading Period (dd/mm/yy)		_______________ to ______________
Closing price of the security in the class or series on the last trading day of the specified trading period in which such security was listed or quoted		$ _________________ (vii)

on the highest trading marketplace		
Number of securities in the class or series of such security outstanding at the end of the last trading day of the specified trading period		$ __________________ (viii)
Market value of class or series	(vii) x (viii)	$ __________________ (D)
5th Specified Trading Period (dd/mm/yy)	________________ to _______________	
Closing price of the security in the class or series on the last trading day of the specified trading period in which such security was listed or quoted on the highest trading marketplace		$ __________________ (ix)
Number of securities in the class or series of such security outstanding at the end of the last trading day of the specified trading period		$ __________________ (x)
Market value of class or series	(ix) x (x)	$ __________________ (E)
Average Market Value of Class or Series (Calculate the simple average of the market value of the class or series of security for each applicable specified trading period (i.e. A through E above))		$ __________________ (**1**)

(Repeat the above calculation for each other class or series of equity securities of the reporting issuer (and a subsidiary, if applicable) that was listed or quoted on a marketplace at the end of the previous financial year)

Fair value of outstanding debt securities:		
(Provide details of how value was determined)		$ __________________ (**2**)
Capitalization for the previous financial year	(1) + (2)	$ __________________
Participation Fee		$ __________________
Late Fee, if applicable		$ __________________
Total Fee Payable (Participation Fee plus Late Fee)		$ __________________

FORM 13-501F2
CLASS 2 REPORTING ISSUERS – PARTICIPATION FEE

MANAGEMENT CERTIFICATION

I, ____________________, an officer of the reporting issuer noted below have examined this Form 13-501F2 (the **Form**) being submitted hereunder to the Alberta Securities Commission and certify that to my knowledge, having exercised reasonable diligence, the information provided in the Form is complete and accurate.

______________________________ ______________________________
Name: Date:
Title:

Reporting Issuer Name: ____

End date of previous financial year: __________________________________

Financial Statement Values:

(Use stated values from the audited financial statements of the reporting issuer as of the end of its previous financial year)

Retained earnings or deficit	$ ____________	(A)
Contributed surplus	$ ____________	(B)
Share capital or owners' equity, options, warrants and preferred shares (whether such shares are classified as debt or equity for financial reporting purposes)	$ ____________	(C)
Non-current borrowings (including the current portion)	$ ____________	(D)
Finance leases (including the current portion)	$ ____________	(E)
Non-controlling interest	$ ____________	(F)
Items classified on the statement of financial position as non-current liabilities (and not otherwise listed above)	$ ____________	(G)
Any other item forming part of equity and not set out specifically above	$ ____________	(H)
Capitalization for the previous financial year (Add items (A) through (H))	$ ____________	
Participation Fee	$ ____________	

Late Fee, if applicable $ ____________

Total Fee Payable
(Participation Fee plus Late Fee) $ ____________

FORM 13-501F3
ADJUSTMENT OF FEE PAYMENT FOR CLASS 2 REPORTING ISSUERS

MANAGEMENT CERTIFICATION

I, ____________________, an officer of the reporting issuer noted below have examined this Form 13-501F3 (the **Form**) being submitted hereunder to the Alberta Securities Commission and certify that to my knowledge, having exercised reasonable diligence, the information provided in the Form is complete and accurate.

______________________________ ________________________________
Name: Date:
Title:

Reporting Issuer Name: ____

Financial year end date used to calculate capitalization: _____________________

State the amount of participation fee paid under paragraph 15(1)(a) of ASC Rule 13-501 *Fees*: $ __________ (i)

Show calculation of actual capitalization based on audited financial statements:

Financial Statement Values:

Retained earnings or deficit	$ ____________	(A)
Contributed surplus	$ ____________	(B)
Share capital or owners' equity, options, warrants and preferred shares (whether such shares are classified as debt or equity for financial reporting purposes)	$ ____________	(C)
Non-current borrowings (including the current portion)	$ ____________	(D)
Finance leases (including the current portion)	$ ____________	(E)

Non-controlling interest	$ ____________	(F)
Items classified on the statement of financial position as non-current liabilities (and not otherwise listed above)	$ ____________	(G)
Any other item forming part of equity and not set out specifically above	$ ____________	(H)
Capitalization		
(Add items (A) through (H))	$ ____________	
Participation Fee	$ ____________	(ii)

Refund due (Balance owing)
(Indicate the difference between (i) and (ii) and enter nil if no difference) $ ___________
(i) - (ii) =

FORM 13-501F4
CLASS 3A REPORTING ISSUERS – PARTICIPATION FEE

MANAGEMENT CERTIFICATION

I, ____________________, an officer of the reporting issuer noted below have examined this Form 13-501F4 (the **Form**) being submitted hereunder to the Alberta Securities Commission and certify that to my knowledge, having exercised reasonable diligence, the information provided in the Form is complete and accurate.

______________________________ ______________________________
Name: Date:
Title:

Reporting Issuer Name: ___________________________________

Financial year end date: ___________________________________

Indicate, by checking the appropriate box, which of the following criteria the issuer meets:

[ ] (a) had no securities listed or quoted on any marketplace at the end of its previous financial year, or

[ ] (b) had securities listed or quoted on a marketplace at the end of its previous financial year and all of the following apply:

(i) at the end of its previous financial year, securities registered in the names of persons or companies resident in Alberta represented less than 1% of the market value of all of the reporting issuer's outstanding securities for which it or its transfer agent or registrar maintains a list of registered owners;

(ii) the reporting issuer reasonably believes that, at the end of its previous financial year, securities beneficially owned by persons or companies resident in Alberta represented less than 1% of the market value of all its outstanding securities;

(iii) the reporting issuer reasonably believes that none of its securities traded on a marketplace in Canada during its previous financial year;

(iv) the reporting issuer has not issued any of its securities in Alberta in the last 5 years, other than

(A) to its employees or to employees of one or more of its subsidiaries, or

(B) to a person or company exercising a right previously granted by the reporting issuer or its affiliate to convert or exchange its previously issued securities without payment of any additional consideration;

Participation Fee	$ 400
Late Fee, if applicable	$ ____________
Total Fee Payable	
(Participation Fee plus Late Fee)	$ ____________

FORM 13-501F5
INVESTMENT FUND – PARTICIPATION FEE

MANAGEMENT CERTIFICATION

I, ____________________, an officer of the reporting issuer noted below have examined this Form 13-501F5 (the **Form**) being submitted hereunder to the Alberta Securities Commission and certify that to my knowledge, having exercised reasonable diligence, the information provided in the Form is complete and accurate.

_______________________________ _________________________________
Name: Date:
Title:

Investment Fund Name: _____________________________________

Financial year end date: _____________________________________

The investment fund is required to file an annual financial statement pursuant to National Instrument 81-106 *Investment Fund Continuous Disclosure.*

Participation Fee	$ 350
Late Fee, if applicable	$ ____________
Total Fee Payable	
(Participation Fee plus Late Fee)	$ ____________

FORM 13-501F6
SUBSIDIARY EXEMPTION NOTICE

MANAGEMENT CERTIFICATION

I, ____________________, an officer of the reporting issuer noted below have examined this Form 13-501F6 (the **Form**) being submitted hereunder to the Alberta Securities Commission and certify that to my knowledge, having exercised reasonable diligence, the information provided in the Form is complete and accurate.

_______________________________ _________________________________
Name: Date:
Title:

Name of Subsidiary: _____________________________________

Name of Parent: ____

End Date of Subsidiary's Previous Financial Year: ________________________

The reporting issuer (subsidiary) meets the following criteria set out under subsection 17(1) of ASC Rule 13-501 *Fees*:

(a) at the end of the subsidiary's previous financial year, a parent of the subsidiary was a reporting issuer;

(b) the audited financial statements of the parent prepared in accordance with National Instrument 52-107 *Acceptable Accounting Principles and Standards* require the consolidation of the parent and the subsidiary;

(c) the parent has paid a participation fee under subsection 15(1) calculated based on the capitalization of the parent for its previous financial year;

(d) in the case of a parent that is a Class 1 reporting issuer, the capitalization of the parent for its previous financial year included the capitalization of the subsidiary as required under paragraph 20(c);

(e) in its previous financial year,

(i) the net assets and total revenues of the subsidiary represented more than 90% of the consolidated net assets and total revenues of the parent for the parent's previous financial year, or

(ii) the subsidiary was entitled to rely on an exemption or waiver from the requirements in subsections 4.1(1), 4.3(1) and 5.1(1) and sections 5.2 and 6.1 of National Instrument 51-102 *Continuous Disclosure Obligations*.

If paragraph e(i) above applies, complete the following table:

	Net Assets for previous financial year	**Total Revenues for previous financial year**	
Reporting Issuer (Subsidiary)	$ ________________	$ ________________	(A)
Reporting Issuer (Parent)	$ ________________	$ ________________	(B)
Percentage (A/B)	__________%	__________%	

FORM 13-501F7
SPECIFIED REGULATED ENTITIES – PARTICIPATION FEE

Name of Specified Regulated Entity: ____________________

Applicable Calendar Year: __________ (2016 or later)

Type of Specified Regulated Entity: (check one)

[ ] Recognized exchange or recognized quotation and trade reporting system

[ ] Alternate trading system

[ ] Recognized clearing agency

[ ] Exempt exchange, exempt clearing agency or designated trade repository

(1) Participation Fee for applicable calendar year -- Recognized exchange or recognized quotation and trade reporting system on which securities are traded

Filer should enter their Canadian trading share for the specified period below:

Canadian Trading Share Description	% (To be Entered by Filer)
Line 1: the share in the specified period of the total dollar values of trades of exchange-traded securities	
Line 2: the share in the specified period of the total trading volume of exchange-traded securities	
Line 3: the share in the specified period of the total number of trades of exchange-traded securities	
Line 4: Average of Lines 1, 2 & 3 above	
Line 5: Filer is required to pay the amount from the corresponding column in Appendix C based on the	$

average calculated on Line 4 above:	

(2) Participation Fee for applicable calendar year -- Recognized exchange on which derivatives are traded

Line 6: If operating a recognized exchange on which derivatives are traded and for which the Commission is the lead or co-lead regulator, enter $15 000	$
Line 7: If operating a recognized exchange on which derivatives are traded and for which the Commission is not the lead or co-lead regulator, enter $7500	$

(3) Participation Fee for applicable calendar year -- Alternative trading system

Line 8: If operating an alternative trading system for only exchange-traded securities, enter $8500	$
Line 9: If operating an alternative trading system for only unlisted debt or securities lending, enter $4375	$
Line 10: If operating an alternative trading system not described in Lines 8 or 9, enter $8500	$

(4) Participation Fee for applicable calendar year - Recognized clearing agencies providing services in connection with trades in securities

For services offered in Alberta Market the filer should enter the corresponding amount in the Fees Payable Column:

Services	Fee Payable
Line 11: Matching services, enter $5000	$
Line 12: Netting services, enter $10 000	$
Line 13: Settlement services, enter $10 000	$
Line 14: Novation services, if the Commission does not place reliance on another regulator for direct oversight, enter $75 000	$
Line 15: Novation services, if the Commission places reliance on another regulator for direct oversight. Enter $35 000	$
Line 16: Depositary services, enter $10 000	$

Line 17: Total Participation Fee Payable (Sum of Lines 11-16):	$

(5) Participation Fee for applicable calendar year - Recognized clearing agencies providing services in connection with trades in derivatives

Line18: If operating a recognized clearing agency providing services in connection with trades in derivatives, enter $15 000	$

(6) Participation Fee for applicable calendar year for other types of specified regulated entities

Line 19: Filer is required to pay the amount below, as applicable. (a) If operating as an exempt exchange or exempt clearing agency, enter $5000	 $
(b) If operating as a Designated Trade Repository, enter $15 000	$

(7) Prorated Participation Fee

Line 20: If this is the first time paying a participation fee as a specified regulated entity, prorate the amount	$

(8) Late Fee

Line 21: Late Fee	$

(9) Total Fee Payable

Line 22: Aggregate Participant Fee from Sections (1), (2), (3), (4), (5), and (6)	$
Line 23: Total Fee Payable is amount from Line 20 or Line 22 plus amount from Line 21:	$

Alberta Securities Commission

AMENDMENTS TO NATIONAL INSTRUMENT 31-103 *REGISTRATION REQUIREMENTS, EXEMPTIONS AND ONGOING REGISTRANT OBLIGATIONS*

(Securities Act)

Made as a rule by the Alberta Securities Commission on September 13, 2016 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 31-103 *REGISTRATION REQUIREMENTS, EXEMPTIONS AND ONGOING REGISTRANT OBLIGATIONS*

1. *National Instrument 31-103* **Registration Requirements, Exemptions, and Ongoing Registrant Obligations** ***is amended by this Instrument.***

2. ***Section 10.1(1)(a) is amended by deleting*** "2.1 of the Schedule **-** Fees in Alta. Reg. 115/95 – Securities Regulation" ***and adding*** "5 of ASC Rule 13-501 *Fees".*

3. This Instrument comes into force on December 1, 2016.

Service Alberta

Certificate of Intent to Dissolve

(Cooperatives Act)

Andrew Co-operative Association Limited

Notice is hereby given that a Certificate of Intent to Dissolve was issued to **Andrew Co-operative Association Limited** on October 14, 2016.

Dated at Edmonton, Alberta, October 25, 2016.

Jodi Morris, *Director of Cooperatives.*

Notice of Intent to Dissolve

(Cooperatives Act)

Invent-Cooperative

Notice is hereby given that a Notice of Intent to Dissolve was issued to **Invent-Cooperative** on October 20, 2016.

Dated at Edmonton, Alberta, October 20, 2016.

Jodi Morris, *Director of Cooperatives.*

ADVERTISEMENTS

Notice of Certificate of Intent to Dissolve

(Business Corporations Act)

Notice is hereby given that a Certificate of Intent to Dissolve was issued to **826339 ALBERTA LTD.** on October 25, 2016.

Dated at Edmonton, Alberta on October 25, 2016.

Kenneth King, *Agent.*

Notice is hereby given that a Certificate of Intent to Dissolve was issued to **Four Way Insurance Ltd.** on October 21, 2016.

Dated at Calgary, Alberta on October 21, 2016.

Intact Financial Corporation.

Notice is hereby given that a Certificate of Intent to Dissolve was issued to **Jennifer A. Galarneau Professional Corporation** on September 27, 2016.

Dated at Edmonton, Alberta on September 27, 2016.

Jennifer A. Galarneau, *Director.*

Public Sale of Land

(Municipal Government Act)

Town of Drumheller

Notice is hereby given that, under the provisions of the Municipal Government Act, the Town of Drumheller will offer for sale, by public auction, at Drumheller Town Hall, Drumheller, Alberta, on Friday, January 27th, 2017, at 11:00 a.m., the following lands:

Lot	Block	Plan	Title Number	Address
2	11	0510043	121226193	100 – 6 Avenue Southeast
N ½ of 17&18	6	4653BC	091340495	550 – 2 Street Southwest
11	9	4653BC	081448612	461 – 1 Street Southwest
1&2	11	2089BN	041384610	407 – 3 Street East

32	12	2089BN	071113446	436 – 3 Street East
34	23	7710AP	941053477	274 – 2 Street West
26	33	2691BC	031083215	124 – 3 Street West
2	41	2193CC	011263750	305 – 4 Street West
14	47	7251CK	071101234	740 – 3 Avenue West
Portions of 1		6305FC	151171341	807 Highway 9 South
SE ½ of 1	A	6416EO	971223455	11 Grove Avenue
4	11	1110970	151053736+3	819 – 3 Street Southwest
3	11	1110970	151053736+2	815 – 3 Street Southwest
Portion of 2	2	8011334	891021284	277 River Drive
9	1	678CR	031249840	74 – 1 Street East
5	1	4676CH	061524073+1	39 – 1 Avenue North
28&29	4	4676CH	061048814	56 – 2 Avenue South
1		9111062	981058892	336 – 1 Street North
2		9111062	981058892+1	342 – 1 Street North
2	3	0911282	091151945	5355 Highway 10

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

This land is being offered for sale on an "as is, where is" basis. The Town of Drumheller makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the purchaser.

No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel.

The Town of Drumheller may, after the public auction, become the owner of any parcel of land that is not sold at the public auction.

Terms: Cash or cash equivalent.

GST will apply on lands sold at the public auction.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Drumheller, Alberta, October 14, 2016.

Barbara Miller, CPA, CGA, CLGM, *Director of Corporate Services*.

Town of Sylvan Lake

Notice is hereby given that under the provisions of the Municipal Government Act, the Town of Sylvan Lake will offer for sale, by public auction, at Municipal Government Building, 5012 48 Avenue in the Council Chambers, Sylvan Lake, Alberta, on Thursday, February 9, 2017, at 10:00 a.m., the following lands:

Lot	Block	Plan	C of T	Address
	39	062-7816	082243670	220-5040 53 Street
20	9	102-4600	132066800	18 Radcliff Way

The lands are being offered for sale on an "as is, where is" basis, and the Town of Sylvan Lake makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the develop ability of the subject lands for any intended use by the Purchaser.

These parcels will be offered for sale subject to a reserve bid, and to the reservations and conditions contained in the existing certificate of title.

The Town of Sylvan Lake may, after the public auction, become the owner of any parcel of land that is not sold at the public auction.

Terms: Payment in Cash, Bank Draft or Certified Cheque. 10% deposit and balance within 30 days of date of Public Auction. GST may apply.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Sylvan Lake, Alberta, November 15, 2016.

Betty Osmond, *Chief Administrative Officer*.

Town of Wainwright

Notice is hereby given that under the provisions of the Municipal Government Act, the Town of Wainwright will offer for sale, by public auction, in the Town of Wainwright Town Hall, Wainwright, Alberta, on Tuesday, January 10, 2017, at 10:00 a.m., the following lands:

LINC Number	Legal Description	Title Number
0014 577 242	Plan 6263RS, Block 1, Lot 5	062 507 621

The property will be subject to the Tax Sale if tax arrears remain outstanding prior to the Tax Sale.

The property will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an "as is, where is" basis and the Town of Wainwright makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the Purchaser. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel. No terms and conditions of sale will be considered other than those specified by Town of Wainwright.

The Town of Wainwright may, after the public auction, become the owner of the parcel of land if not sold at the public auction.

Terms: 10% deposit bank draft or lawyer’s trust cheque is required on the date of sale and the balance within 30 days.

All sales are subject to current taxes.

GST may apply on properties sold at the public auction.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the date of the sale. Properties may be deleted from this list as the tax arrears and costs are paid.

NOTICE TO ADVERTISERS

The Alberta Gazette is issued twice monthly, on the 15th and last day.

Notices and advertisements must be received ten full working days before the date of the issue in which the notices are to appear. Submissions received after that date will appear in the next regular issue.

Notices and advertisements should be typed or written legibly and on a sheet separate from the covering letter. An electronic submission by email or disk is preferred. Email submissions may be sent to the Editor of The Alberta Gazette at albertagazette@gov.ab.ca. The number of insertions required should be specified and the names of all signing officers typed or printed. Please include name and complete contact information of the individual submitting the notice or advertisement.

Proof of Publication: Statutory Declaration is available upon request.

A copy of the page containing the notice or advertisement will be emailed to each advertiser without charge.

The dates for publication of Tax Sale Notices in The Alberta Gazette are as follows:

Issue of	*Earliest date on which sale may be held*
November 30	January 10
December 15	January 25
December 31	February 10
January 14	February 24
January 31	March 13
February 15	March 28
February 28	April 10
March 15	April 25
March 31	May 11
April 15	May 26
April 29	June 9
May 15	June 25

The charges to be paid for the publication of notices, advertisements and documents in The Alberta Gazette are:

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