The Alberta Gazette

Part I

Vol. 113 Edmonton, Thursday, November 30, 2017 No. 22

APPOINTMENTS

Appointment of Non-Presiding Justices of the Peace

(Justice of the Peace Act)

August 23, 2017
Anderson, Patricia Alice of Drumheller
Chapman, Rebecca Anne of Edmonton
Huq, Zak of Calgary
Lisk, Jessica Lynn of Calgary
Logel, Janine Melody of Drumheller
Powers-Sanford, Crystal Margret of Red Deer

September 20, 2017
Bayat, Zahra of Calgary
Mitchell, Benjamin Thomas of Calgary

October 10, 2017
Neale, Brianna Christine of Calgary
Vandekerkhove, Angela Gabrielle Madonna of Calgary

Reappointment of Part-time Justice of the Peace

(Justice of the Peace Act)

October 13, 2017
John George Szekeres

For a term to expire on October 12, 2018.

Reappointment of Part-time Provincial Court Judge

(Provincial Court Act)

November 22, 2017
Honourable Judge Evan Darrell Riemer

For a term to expire on November 21, 2018.

ORDERS IN COUNCIL

O.C. 200/2016

(Municipal Government Act)

Approved and ordered:
Catherine A. Fraser
Administrator. July 7, 2016

The Lieutenant Governor in Council orders that

a) Order in Council numbered O.C. 817/94 is amended in Schedule 3 by striking out the description for Area 1 and substituting the description for Area 1 as set out in the attached Appendix A;

b) for taxation purposes in 2016, the land described in Appendix B and shown on the sketch in Appendix C and assessable improvements to it must be taxed using the municipal tax rate established for the Fort McMurray Urban Service Area for property of the same assessment class.

Rachel Notley, Chair.

APPENDIX A

URBAN SERVICE AREA

AREA 1

Area 1 is made up of all lands contained within the limits of the following boundaries, excluding lands comprising any Indian Reserve or Métis Settlement situated therein:

Commencing at the southeast corner of section 1, township 88, range 9 proceeding westerly along the southern boundary of said section 1 and section 2 township 88, range 9, across the government road allowance to the intersection with the eastern boundary of Road Plan 102 5453,

thence northerly along the eastern boundary of said Road Plan 102 5453 to its intersection with Road Plan 627 PX,

thence northerly along the eastern boundary of said Road Plan 627 PX, across the government road allowance to its point of intersection with the eastwardly projected northern boundary of the south half of section 27, township 88, range 9,

thence westerly along the northern boundary of the south half of said section 27 across Road Plan 627 PX and projected to a point of intersection with the right bank of the Hangingstone River, thence following the right bank of the Hangingstone River downstream to the point of intersection with the northern boundary of section 4, township 89, range 9,

thence westerly along the northern boundary of said section 4 and its projection to the point of intersection with the right bank of the Horse River,

thence following the right bank of the Horse River downstream to its point of intersection with the eastern boundary of section 17, township 89, range 9,

thence northerly along the eastern boundary of said section 17 projecting northward to its intersection with the right bank of the Horse River,

thence downstream along the right bank of the Horse River to its point of convergence with the right bank of the Athabasca River,

thence from said point of convergence following its projection beyond the right bank of the Horse River to a point on the left bank of the Athabasca River,

thence upstream along the left bank of the Athabasca River to its intersection with the southwestern boundary of River Lot 28 in the Settlement of McMurray,

thence northwesterly along the southwestern boundary of said River Lot 28 to its intersection with the southern boundary of section 13, township 89, range 10,

thence westerly along the southern boundary of said section 13 and its projection across the government road allowance to the western boundary of the southeast quarter of section 14, township 89, range 10,

thence northerly along the western boundary of said southeast quarter of section 14 and its projection to a point of intersection with the southeast corner of the northwest quarter of section 23, township 89, range 10,

thence westerly along the southern boundary of said northwest quarter of section 23 across the government road allowance to the point of intersection with the southeast corner of the northeast quarter of section 22, township 89, range 10,

thence northerly along the eastern boundary of said section 22 and its projection northward across the government road allowance to the point of intersection with the northeast corner of the northeast quarter of section 34, township 89, range 10,

thence easterly across the government road allowance along the northern boundary of section 35, township 89, range 10 to the northeast corner of the northwest quarter of said section 35,

thence northerly across the government road allowance along the western boundary of the southeast quarter of section 2, township 90, range 10 to the northwest corner of said southeast quarter of section 2, thence westerly along the southern boundary of the northwest quarter of section 2, township 90, range 10 across the government road allowance to the western boundary of the northeast quarter of section 3, township 90, range 10,

thence northerly along the west boundary of said section 3 and its projection northward to the intersection with the northern boundary of section 10, township 90, range 10,

thence easterly along the northern boundary of the northeast quarter of said section 10 and its projection eastward across the government road allowances to a point of intersection with the western boundary of Road Plan 012 1488,

thence southerly along the western boundary of said Road Plan 012 1488 to its intersection with the northern boundary of the northwest quarter of section 6, township 90, range 9 and Road Plan 012 1487,

thence southerly along the western boundary of said Road Plan 012 1487 to its intersection with the southern boundary of the north half of section 6, township 90, range 9,

thence easterly across Road Plan 012 1487 along the southern boundary of the said north half of section 6 and its projection eastward to the point of intersection with the right bank of the Athabasca River,

thence upstream along the right bank of the Athabasca River to the point of its convergence with the right bank of the Clearwater River,

thence upstream along the right bank of the Clearwater River to its intersection with the northern boundary of the south half of section 2, township 89, range 9,

thence westerly along the northern boundary of the south half of said section 2 to the intersection with a point 261 metres east of the northeast corner of the southeast quarter of section 3, township 89, range 9,

thence southeasterly across the government road allowance to the intersection with a point 254 metres south of the northeast corner of the northeast quarter of section 35, township 88, range 9 on the eastern boundary of the said northeast quarter of section 35,

thence south easterly across the government road allowance to the intersection with a point 548 metres north of the northeast corner of the northeast quarter of section 25, township 88, range 9 on the eastern boundary of the southeast quarter of section 36, township 88, range 9,

thence southeasterly across the government road allowance to the intersection with a point 351 metres north of the northwest corner of the northeast quarter of section 30, township 88, range 8 on the western boundary of the southeast quarter of section 31, township 88, range 8, thence southerly along the western boundary of the said southeast quarter of section 31 to the northwest corner of the northeast quarter of section 30, township 88, range 8,

thence easterly along the northern boundary of the said northeast quarter of section 30 to the southwest corner of Lot 17, Block 1, Plan 062 6005,

thence south easterly across the government road allowance to the point where the northern boundary of the southwest quarter of section 29, township 88, range 8 intersects with the western boundary of Plan 4845 KS,

thence south easterly along the western boundary of said Plan 4845 KS to its intersection with the northern boundary of the southwest quarter of section 29, township 88, range 8,

Thence easterly along the northern boundary of the southwest quarter of section 29, township 88, range 8 to its intersection with the northwestern corner of the southeastern quarter of section 29, township 88 range 8,

Thence easterly along the northern boundary of the southeastern quarter of section 29, township 88 range 8 to its intersection with the southern boundary of 1553 CL,

Thence easterly along the southern boundary of 1553 CL to its intersection with the eastern boundary of the southeastern quarter of section 29, township 88, range 8,

Thence northerly along the eastern boundary of the southeastern quarter of section 29, township 88, range 8 to the northeastern corner of the same quarter section,

Thence easterly across the government road allowance to the northwestern corner of southwestern quarter of section 28, township 88, range 8,

Thence easterly along the northern boundary of southwestern quarter of section 28, township 88, range 8, to its intersection with the northwestern corner of the southeastern quarter of section 28, township 88, range 8,

Thence easterly along the northern boundary of southeastern quarter of section 28, township 88, range 8, to its intersection with the northwestern corner of the southwestern quarter of section 28, township 88, range 8,

Thence easterly along the northern boundary of southwestern quarter of section 27, township 88, range 8, to its intersection with plan 852 1969,

Thence southerly along the western edge of plan 852 1969 to its southern edge,

Thence easterly along the southern edge of plan 852 1969 to its intersection with western boundary of the southwestern quarter of section 27, township 88, range 8,

Thence southerly along the western boundary southwestern quarter of section 27, township 88, range 8, crossing southerly 1553 CL and the government road allowance, to its intersection with the northeastern corner of northwestern quarter of section 22, township 88, range 8,

Thence southerly along the western boundary northwestern quarter of section 22, township 88, range 8, to its intersection with the northeastern corner of plan 152 0043,

Thence southerly along the eastern boundary of plan 152 0043 to its southeast corner,

Thence westerly along the southern boundary of plan 152 0043 its intersection with the western edge of section 22, township 88, range 8,

Thence southerly along the western edge of section 22 its intersection with road plan 762 1811,

Thence southerly crossing road plan 762 1811 to the southern edge of said plan,

Thence westerly along the southern boundary of road plan 762 1811 extending across the government road allowance to its intersection with the northeast corner of road plan 062 6930,

Thence southerly along the eastern boundary of road plan 062 6930 to its southeast corner,

Thence westerly along the southern boundary of road plan 062 6930 to its southwest corner,

Thence northerly along the western boundary of road plan 062 6930 to its intersection with the southern boundary of road plan 762 1811,

Thence westerly along the southern boundary of road plan 762 1811 extending across the government road allowance, to its intersection with the southern boundary of Road Plan 885 PX,

thence southwesterly along the southern boundary of said Road Plan 885 PX extending to its intersection with the western boundary of the northwest quarter of section 24, township 88, range 9,

thence northerly along the western boundary of the said northwest quarter of section 24 to its northwest corner,

thence westerly across the government road allowance to its intersection with the northeastern corner of the northeast quarter of section 23, township 88, range 9,

thence southerly along the eastern boundary of said section 23, and its projection to the point of intersection with Lot 2, Block 1, Plan 102 5452, thence southeasterly across the government road allowance along the eastern boundary of Lot 2, Block 1, Plan 102 5452 to the intersection with the northern boundary of the northwest quarter of section 1, township 88, range 9,

thence easterly along the northern boundary of said northwest quarter of section 1 to its northeast corner,

thence southerly along the eastern boundary of said northwest quarter of section 1 to the point of intersection with the eastern boundary of Lot 2, Block 1, Plan 102 5452,

thence southeasterly along the eastern boundary of Lot 2, Block 1, Plan 102 5452 to the intersection with the northern boundary of the southeast quarter of section 1, township 88, range 9,

thence easterly along the northern boundary of said southeast quarter of section 1 to its northeast corner,

thence southerly along the eastern boundary of said southeast quarter of section 1, township 88, range 9, to the point of commencement.

All of the above lands being located west of the Fourth Meridian in the province of Alberta.

APPENDIX B

The following described lands, excluding lands comprising any Indian Reserve or Metis settlement situated therein:

Township 88 In range 8,

all those portions of the northeast of section 16 north of road plan 762 1811, including road plan 762 1811;

all those portions of the northwest of section 16 north of road plan 762 1811, including road plan 762 1811;

all those portions of the northeast of section 17 north of road plan 762 1811, including road plan 762 1811;

all those portions of the northwest of section 17 north of road plan 762 1811, including road plan 762 1811 and road plan 062 6930;

all those portions of the northeast quarter of section 19 north of road plan 762 1811, including road plan 762 1811;

all those portions of section 20 including north of road plan 762 1811 and road plan 062 6930, including road plan 762 1811;

all lands in section 21;

all those portions of the northwest quarter section 22 north of plan 152 0043, including lands part of plan 152 0043;

all those portions of the southwest of section 27 except those part of plan 852 1969;

all those lands in the southeast quarter of section 28;

all those lands in the southwest quarter of section 28;

all those portions of the southeast quarter section 29 except lands part of plan 1553 CL and plan 3171 TR;

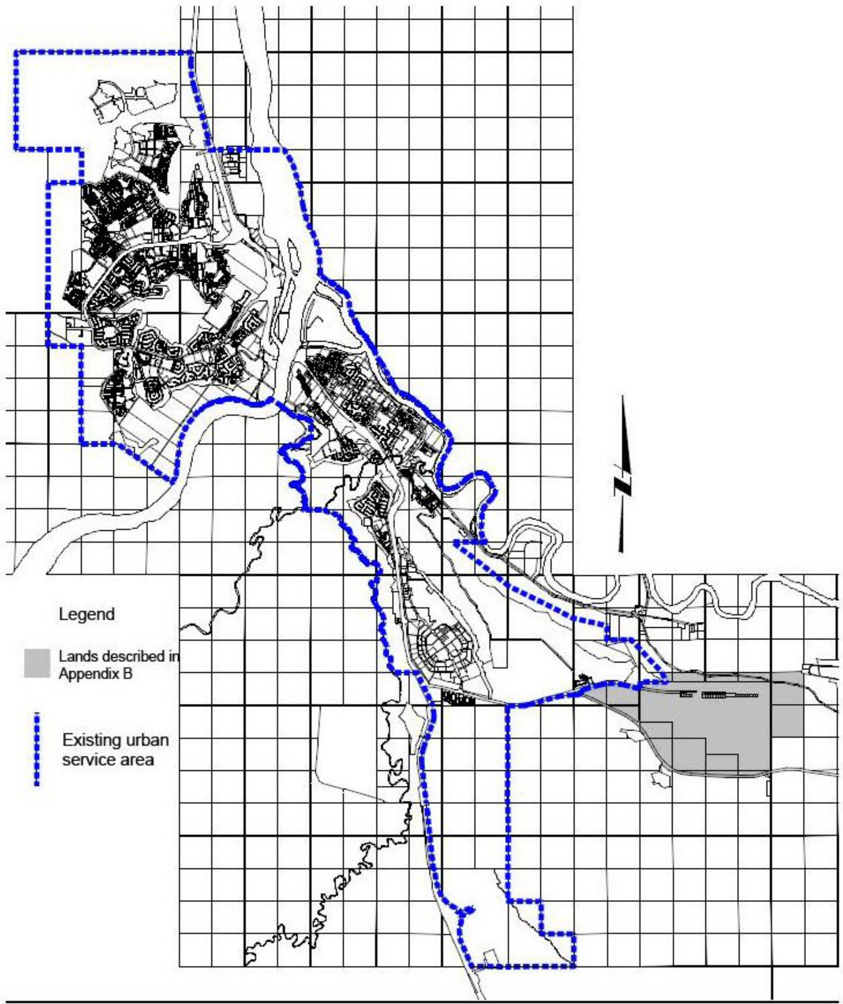
all those portions of the southwest quarter section 29, east of right of way 4845 KS, including right of way 4845 KS;

all those portions of the northeast quarter section 29, legal subdivision 9, south of plan 1553 CL

all those portions of section 30 south of road plan 885 PX and north of road plan 762 1811, including road plan 762 1811;

APPENDIX C

A SKETCH SHOWING THE GENERAL LOCATION OF THE LANDS DESCRIBED IN APPENDIX B



O.C. 239/2017

(Municipal Government Act)

Approved and ordered:
Lois Mitchell
Lieutenant Governor. July 12, 2017

The Lieutenant Governor in Council, effective September 1, 2017,

(a) dissolves the Village of Botha,

(b) directs that the former area of the village be designated as a hamlet and becomes part of the County of Stettler No. 6, and

(c) makes the Order in the attached Appendix.

Rachel Notley, Chair.

APPENDIX

ORDER DISSOLVING THE VILLAGE OF BOTHA

1 In this Order,

(a) "Act" means the *Municipal Government Act*;

(b) "dissolution date" means September 1, 2017;

(c) "former area of the village" means the land in the Village of Botha before the dissolution date;

(d) "receiving municipality" means The County of Stettler No. 6;

(e) "village" means the Village of Botha.

2 Effective September 1, 2017,

(a) the Village of Botha is dissolved,

(b) the land described in the Schedule becomes part of The County of Stettler No. 6,

(c) the former area of the village is part of Ward 3 (Botha Gadsby) of the receiving municipality until the receiving municipality passes a bylaw pursuant to section 148 of the Act that provides otherwise,

(d) the former area of the village is designated as a hamlet to be known as the Hamlet of Botha until the council of the receiving municipality changes the designation in accordance with section 59 of the Act,

(e) the boundaries of the Hamlet of Botha are described in the Schedule,

(f) all liabilities of the village, whether arising under debenture or otherwise, and all assets, rights, duties, functions and obligations of the village are vested in the receiving municipality and may be dealt with in the name of the receiving municipality,

(g) bylaws and resolutions of the village continue to apply in the former area of the village until the bylaws or resolutions are repealed, amended or replaced by the council of the receiving municipality,

(h) the receiving municipality may impose an additional tax under Part 10 of the Act on property located in the former area of the village, including linear property as defined in section 284(1)(k) of the Act, to pay for any liabilities referred to in clause (f) that exceed the assets referred to in clause (f),

(i) the receiving municipality may, by bylaw, impose an additional tax under Part 10 of the Act on the former area of the village to meet obligations under a borrowing that was made

(i) by the village prior to its dissolution, and

(ii) in respect of the former area of the village,

(j) a bylaw referred to in clause (i) may be passed each year until the borrowing is fully repaid,

(k) a reference to the village in any order, regulation, bylaw, certificate of title, agreement or any other instrument is deemed to be a reference to the receiving municipality,

(l) the employees of the village at its dissolution are deemed to be employees of the receiving municipality,

(m) all employment records related to past and current employees of the village are transferred to the receiving municipality, and

(n) all liabilities related to past and current employees of the village are transferred to the receiving municipality.

3(1) The receiving municipality shall use

(a) money received from the village on its dissolution, and

(b) money received from the sale of any assets of the village vested in the receiving municipality under section 2(f) and sold by the receiving municipality before December 31, 2022,

only for the purposes of paying or reducing a liability vested in the receiving municipality under section 2(f) or for purposes for which the village could have used it.

(2) All money referred to in subsection (1) must be accounted for separately by the receiving municipality.

4 The assessor for The County of Stettler No. 6 shall keep the assessment and tax rolls for the land described in the Schedule separate from the assessment and tax rolls for other land in The County of Stettler No. 6 for the period from September 1, 2017 to December 31, 2017, inclusive.

5(1) For the period from January 1, 2017 up to and including the dissolution date, sections 276, 277 and 278 of the Act do not apply to the village and the receiving municipality shall appoint an auditor to complete a review engagement of all financial transactions of the village for that period.

(2) The scope of the review engagement shall be determined in accordance with the generally accepted auditing standards for municipal governments.

6 If a complaint is made under section 460 of the Act in respect of property located in the former area of the village and is properly filed in accordance with the Act and regulations before the dissolution date, the complaint

(a) shall be heard and decided by the assessment review board established by the village, if that board began hearing the matter before the dissolution date, or

(b) shall be heard and decided by the assessment review board established by the receiving municipality, in any other case.

7 The Minister may decide any other matter relating to the rights, obligations, liabilities, assets or any other thing in respect of the village resulting from the dissolution of the village.

8 Pursuant to section 14(1)(e) of the *Foreign Ownership of Land Regulations* (AR 160/79), the land within the boundaries of the Hamlet of Botha is excluded from the operation of those Regulations.

Schedule

HAMLET OF BOTHA

ALL THAT PORTION OF THE SOUTH HALF OF SECTION THIRTY THREE (33), TOWNSHIP THIRTY EIGHT (38), RANGE EIGHTEEN (18), WEST OF THE FOURTH (4) MERIDIAN, INCLUDING THE NORTH SOUTH ROAD ALLOWANCE ON THE WEST SIDE OF SAID HALF SECTION, EXCLUDING THE PORTION OF SAID HALF SECTION CONTAINED WITHIN THE AREA DESCRIBED AS FOLLOWS:

COMMENCING AT THE SOUTHWEST CORNER OF SAID HALF SECTION, THENCE EASTWARD ALONG THE SOUTH BOUNDARY OF SAID HALF SECTION TO THE FIRST POINT WHERE THE SOUTH BOUNDARY INTERSECTS THE SOUTH EASTERLY POINT OF PLAN 892 0633.

THENCE NORTH ALONG THE EAST BOUNDARY OF SAID PLAN TO ITS INTERSECTION WITH THE SOUTH BOUNDARY OF THE EAST WEST ROAD ALLOWANCE OF TOWNSHIP ROAD 385A.

THENCE NORTH ACROSS THE EAST WEST ROAD ALLOWANCE TO ITS INTERSECTION WITH THE SOUTH BOUNDARY OF PLAN 082 1589.

THENCE EAST ALONG THE SOUTHERN BOUNDARY OF SAID PLAN TO ITS INTERSECTION WITH THE SOUTH BOUNDARY OF PLAN 3417 AB.

THENCE EAST ALONG THE SOUTHERN BOUNDARY OF SAID PLAN TO ITS INTERSECTION WITH THE EAST BOUNDARY OF SAID HALF SECTION.

THENCE SOUTH ALONG THE EAST BOUNDARY OF SAID HALF SECTION TO ITS INTERSECTION WITH THE SOUTHEAST CORNER OF SAID HALF SECTION.

THENCE WEST ALONG THE SOUTH BOUNDARY OF SAID HALF SECTION TO THE POINT OF COMMENCEMENT.

O.C. 240/2017

(Municipal Government Act)

Approved and ordered:
Lois Mitchell
Lieutenant Governor. July 12, 2017

The Lieutenant Governor in Council, effective September 1, 2017,

a) dissolves the Village of Willingdon,

b) directs that the former area of the village be designated as a hamlet and becomes part of the County of Two Hills No. 21, and

c) makes the Order in the attached Appendix.

Rachel Notley, Chair.

APPENDIX

ORDER DISSOLVING THE VILLAGE OF WILLINGDON

1 In this Order,

(a) "Act" means the *Municipal Government Act*;

(b) "dissolution date" means September 1, 2017;

(c) "former area of the village" means the land in the Village of Willingdon before the dissolution date;

(d) "receiving municipality" means the County of Two Hills No. 21;

(e) "village" means the Village of Willingdon.

2 Effective September 1, 2017,

(a) the Village of Willingdon is dissolved,

(b) the land described in the Schedule becomes part of the County of Two Hills No. 21,

(c) the former area of the village is part of electoral division 4 of the receiving municipality until the receiving municipality passes a bylaw pursuant to section 148 of the Act that provides otherwise,

(d) the former area of the village is designated as a hamlet to be known as the Hamlet of Willingdon until the council of the receiving municipality changes the designation in accordance with section 59 of the Act,

(e) the boundaries of the Hamlet of Willingdon are described in the Schedule,

(f) all liabilities of the village, whether arising under debenture or otherwise, and all assets, rights, duties, functions and obligations of the village are vested in the receiving municipality and may be dealt with in the name of the receiving municipality,

(g) bylaws and resolutions of the village continue to apply in the former area of the village until the bylaws or resolutions are repealed, amended or replaced by the council of the receiving municipality,

(h) the receiving municipality may impose an additional tax under Part 10 of the Act on property located in the former area of the village, including linear property as defined in section 284(1)(k) of the Act, to pay for any liabilities referred to in clause (f) that exceed the assets referred to in clause (f),

(i) the receiving municipality may, by bylaw, impose an additional tax under Part 10 of the Act on the former area of the village to meet obligations under a borrowing that was made

(i) by the village prior to its dissolution, and

(ii) in respect of the former area of the village,

(j) a bylaw referred to in clause (i) may be passed each year until the borrowing is fully repaid,

(k) a reference to the village in any order, regulation, bylaw, certificate of title, agreement or any other instrument is deemed to be a reference to the receiving municipality,

(l) the employees of the village at its dissolution are deemed to be employees of the receiving municipality,

(m) all employment records related to past and current employees of the village are transferred to the receiving municipality, and

(n) all liabilities related to past and current employees of the village are transferred to the receiving municipality.

3(1) The receiving municipality shall use

(a) money received from the village on its dissolution, and

(b) money received from the sale of any assets of the village vested in the receiving municipality under section 2(f) and sold by the receiving municipality before December 31, 2022,

only for the purposes of paying or reducing a liability vested in the receiving municipality under section 2(f) or for purposes for which the village could have used it.

(2) All money referred to in subsection (1) must be accounted for separately by the receiving municipality.

4 The assessor for the County of Two Hills No. 21 shall keep the assessment and tax rolls for the land described in the Schedule separate from the assessment and tax rolls for other land in the County of Two Hills No. 21 for the period from September 1, 2017 to December 31, 2017, inclusive.

5(1) For the period from January 1, 2017 up to and including the dissolution date, sections 276, 277 and 278 of the Act do not apply to the village and the receiving municipality shall appoint an auditor to complete a review engagement of all financial transactions of the village for that period.

(2) The scope of the review engagement shall be determined in accordance with the generally accepted auditing standards for municipal governments.

6 If a complaint is made under section 460 of the Act in respect of property located in the former area of the village and is properly filed in accordance with the Act and regulations before the dissolution date, the complaint

(a) shall be heard and decided by the assessment review board established by the village, if that board began hearing the matter before the dissolution date, or

(b) shall be heard and decided by the assessment review board established by the receiving municipality, in any other case.

7 The Minister may decide any other matter relating to the rights, obligations, liabilities, assets or any other thing in respect of the village resulting from the dissolution of the village.

8 Pursuant to section 14(1)(e) of the *Foreign Ownership of Land Regulations* (AR 160/79), the land within the boundaries of the Hamlet of Willingdon is excluded from the operation of those Regulations.

Schedule

HAMLET OF WILLINGDON

ALL THAT PORTION OF THE NORTH HALF OF SECTION ELEVEN (11), TOWNSHIP FIFTY-SIX (56), RANGE FIFTEEN (15), WEST OF THE FOURTH MERIDIAN, EXCLUDING LANDS SOUTH OF PLAN 1092 E.O.

O.C. 259/2017

(Municipal Government Act)

Approved and ordered:
Lois Mitchell
Lieutenant Governor. September 14, 2017

The Lieutenant Governor in Council makes the Order Changing the Status of Lac La Biche County to a Specialized Municipality set out in the attached Appendix.

Rachel Notley, Chair.

APPENDIX

ORDER CHANGING THE STATUS OF LAC LA BICHE COUNTY TO A SPECIALIZED MUNICIPALITY

Definitions

1 In this Order,

(a) “Act” means the *Municipal Government Act*;

(b) “council” means the council of the specialized municipality;

(c) “effective date” means January 1, 2018;

(d) “old municipality” means the municipal district of Lac La Biche County formed by Order in Council numbered O.C. 332/2007;

(e) “specialized municipality” means the specialized municipality referred to in section 2 and named “Lac La Biche County” in section 2(3);

(f) “Rural Service Area” means the area described as Area 1 in Schedule 1;

(g) ”Urban Service Area” means the area described as Area 2 in Schedule 1.

Change of status to specialized municipality

2(1) On the effective date, the status of the old municipality is changed from a municipal district to a specialized municipality.

(2) The status of the old municipality is changed to a specialized municipality to provide for the unique needs of a municipality including an urban centre and a large rural territory.

(3) The official name of the specialized municipality is Lac La Biche County.

(4) The provisions of the Act and other enactments are modified to the extent necessary to accomplish the intent of this Order.

(5) Except as otherwise provided in this Order, for the purposes of the Act and all other enactments, but not for any other purpose, enactments applicable to a town apply in the Urban Service Area and enactments applicable to a municipal district apply in the Rural Service Area.

Municipal council structure

3(1) The council shall consist of 8 councillors and the chief elected official.

(2) The chief elected official shall have the title “Mayor”.

(3) The chief elected official shall be elected by a vote of the electors and be elected at large.

(4) The specialized municipality shall be divided into 7 electoral wards having the boundaries described in Schedule 2.

(5) Wards 1 to 6 shall have one councillor to be elected in each ward.

(6) Ward 7 shall have 2 councillors elected in that ward.

(7) The election and term of office for councillors and the Mayor are to be governed by the *Local Authorities Election Act*.

Rural service area

4(1) The Rural Service Area shall be recognized as equivalent to a municipal district by the Government of Alberta for the purposes of program delivery and grant eligibility.

(2) The specialized municipality shall provide the Government of Alberta any and all information required to administer programs or to determine the amount of grants that would apply to the Rural Service Area if it were a municipal district.

Urban service area

5(1) The Urban Service area shall be recognized as equivalent to a town by the Government of Alberta for the purposes of program delivery and grant eligibility.

(2) The specialized municipality shall provide the Government of Alberta any and all information required to administer programs or to determine the amount of grants that would apply to the Urban Service Area if it were a town.

Taxation matters

6 The council may, for each taxation year, pass a property tax bylaw providing for different tax rates for property in the Rural Service Area and in the Urban Service Area for each assessment class or sub-class referred to in section 297 of the Act.

Determination of population

7(1) For the purpose of the determination of population under section 6 of the *Police Act*, the area of the specialized municipality, instead of being treated as an individual entity, shall be treated as if it were the following 3 separate areas:

(a) the Rural Service Area;

(b) the Hamlet of Lac La Biche;

(c) the Hamlet of Plamondon.

(2) For the purpose of reporting population to the Minister under section 4 of the *Determination of Population Regulation* (AR 63/2001), the form set out in Schedule 4 to that Regulation may be adapted to list separately the population of each of the 3 areas referred to in subsection (1).

(3) This section ceases to apply when the population attributed under subsection (1) to any of the areas referred to in subsection (1)(a), (b) or (c) exceeds 5000.

Schedule 1

Service Area Boundaries for the Specialized Municipality of Lac La Biche County

The service areas for the specialized municipality of Lac La Biche County are as follows:

AREA 1 - URBAN SERVICE AREA

Area 1 is made up of all those lands contained within the limits of the following boundaries:

COMMENCING AT THE SOUTHEAST CORNER OF SECTION THIRTY (30), TOWNSHIP SIXTY-SIX (66), RANGE THIRTEEN (13), WEST OF THE FOURTH (4TH) MERIDIAN, AND THEN PROCEEDING NORTHERLY ALONG THE EAST BOUNDARY OF SAID SECTION TO THE INTERSECTION WITH THE NORTHERLY BOUNDARY OF TOWNSHIP SIXTY-SIX (66), RANGE THIRTEEN (13), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE EASTERLY ALONG THE NORTH BOUNDARY OF SAID TOWNSHIP TO THE INTERSECTION WITH THE SOUTHEAST CORNER OF SECTION TWO (2), TOWNSHIP SIXTY-SEVEN (67), RANGE THIRTEEN (13), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE NORTHERLY ALONG THE EASTERN BOUNDARY OF SAID SECTION TO THE INTERSECTION WITH THE RIGHT SHORE OF THE LAC LA BICHE LAKE,

THENCE WESTERLY ALONG THE SOUTHERN SHORE OF THE LAC LA BICHE TO THE INTERSECTION WITH THE NORTHEAST CORNER OF RIVER LOT 58 IN THE SETTLEMENT OF LAC LA BICHE, LOCATED IN THE SOUTHEAST QUARTER OF SECTION FOURTEEN (14), TOWNSHIP SIXTY-SEVEN (67), RANGE FOURTEEN (14) WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE SOUTHWESTERLY ALONG THE NORTH BOUNDARY OF RIVER LOT 58 IN THE SETTLEMENT OF LAC LA BICHE, AND THEN PROCEEDING ACROSS THE POWERLINE RIGHT OF WAY AS DESCRIBED IN PLAN 7079 MC TO THE NORTHWEST CORNER OF LOT 5 AS DESCRIBED IN PLAN 002 3713,

THENCE SOUTHERLY ALONG THE WEST BOUNDARY OF SAID LOT TO THE INTERSECTION WITH THE SOUTHWEST CORNER OF SAID LOT BOUNDARY,

THEN PROCEEDING SOUTHWESTERLY TO THE INTERSECTION WITH THE SOUTH BOUNDARY OF THE EAST-WEST ROAD ALLOWANCE OF SECTION FOURTEEN (14), TOWNSHIP SIXTY-SEVEN (67), RANGE FOURTEEN (14), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE WESTERLY ALONG SAID EAST-WEST ROAD ALLOWANCE TO THE INTERSECTION WITH THE NORTHWEST BOUNDARY OF SECTION NINE (9), TOWNSHIP SIXTY-SEVEN (67), RANGE FOURTEEN (14), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE SOUTHERLY TO THE INTERSECTION WITH THE NORTH BOUNDARY OF ROAD PLAN 1922 PX,

THENCE SOUTHEASTERLY ALONG THE NORTH BOUNDARY OF SAID ROAD PLAN TO THE INTERSECTION WITH ROAD PLAN 792 1356,

THEN PROCEEDING ACROSS ROAD PLAN 792 1356 TO THE INTERSECTION WITH THE WEST BOUNDARY OF NORTHWEST SECTION THREE (3), TOWNSHIP SIXTY (67), RANGE FOURTEEN (14), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE IN A SOUTHERLY DIRECTION ALONG SAID BOUNDARY TO THE INTERSECTION WITH THE SOUTHWEST CORNER OF SECTION THREE (3), TOWNSHIP SIXTY-SEVEN (67), RANGE FOURTEEN (14), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE EASTERLY ALONG THE NORTHERN BOUNDARY OF THE EAST-WEST ROAD ALLOWANCE OF SECTION THREE (3), TOWNSHIP SIXTY-SEVEN (67), RANGE FOURTEEN (14), WEST OF THE FOURTH (4TH) MERIDIAN FOR THREE HUNDRED (300) METERS,

THEN PROCEEDING SOUTH TO THE INTERSECTION WITH THE SOUTHERN BOUNDARY OF ROAD PLAN 5891 LZ,

THENCE WESTERLY ALONG THE SOUTHERN BOUNDARY OF SAID ROAD PLAN TO THE INTERSECTION WITH THE EAST BOUNDARY OF ROAD PLAN 5242 LZ,

THENCE SOUTHERLY ALONG THE EAST BOUNDARY OFROAD PLAN 5242 LZ TO THE INTERSECTION WITH THE SOUTHWEST CORNER OF THE NORTHWEST QUARTER OF SECTION TWENTY-EIGHT (28), TOWNSHIP SIXTY-SIX (66), RANGE FOURTEEN (14), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE IN AN EASTERLY DIRECTION ALONG THE SOUTHERN BOUNDARY OF SAID QUARTER SECTION TO THE SOUTHEAST CORNER OF THE NORTHEAST QUARTER OF SECTION TWENTY-EIGHT (28), TOWNSHIP SIXTY-SIX (66), RANGE FOURTEEN (14), WEST OF THE FOURTH (4TH) MERIDIAN,

THEN PROCEEDING IN A EASTERLY DIRECTION ACROSS THE NORTH-SOUTH ROAD ALLOWANCE TO THE INTERSECTION WITH THE SOUTHWEST CORNER OF PLAN 052 1788,

THENCE SOUTHERLY ALONG THE EASTERN BOUNDARY OF THE SAID NORTH-SOUTH ROAD ALLOWANCE TO THE INTERSECTION WITH THE SOUTHWEST CORNER OF SECTION TWENTY-SEVEN (27), TOWNSHIP SIXTY-SIX (66), RANGE FOURTEEN (14), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE EASTERLY ALONG THE NORTHERN BOUNDARY OF THE EAST-WEST ROAD ALLOWANCE TO THE POINT OF COMMENCEMENT.

AND

COMMENCING AT THE SOUTHEAST CORNER OF THE NORTHEAST QUARTER OF SECTION THIRTY-FIVE (35), TOWNSHIP SIXTY-SEVEN (67), RANGE SIXTEEN (16), WEST OF THE FOURTH (4TH) MERIDIAN, AND THEN PROCEEDING NORTHERLY ALONG THE EAST BOUNDARY OF SAID SECTION TO THE INTERSECTION WITH THE EASTERN BOUNDARY OF RIVER LOT 4 IN THE SETTLEMENT OF LAC LA BICHE IN THE SOUTHEAST QUARTER OF SECTION ELEVEN (11), TOWNSHIP SIXTY-EIGHT (68), RANGE SIXTEEN (16) WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE NORTHEASTERLY ALONG THE EASTERN BOUNDARY OF SAID RIVER LOT TO THE INTERSECTION WITH THE NORTHERN BOUNDARY OF SAID RIVER LOT,

THENCE NORTHWESTERLY ALONG THE NORTHERN BOUNDARY OF SAID RIVER LOT, TO THE INTERSECTION WITH THE EAST BOUNDARY OF THE NORTHEAST QUARTER OF SECTION ELEVEN (11), TOWNSHIP SIXTY-EIGHT (68), RANGE SIXTEEN (16), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE NORTHERLY ALONG THE EAST BOUNDARY OF SAID SECTION TO THE INTERSECTION WITH THE NORTH BOUNDARY OF SAID SECTION,

THENCE WESTERLY ALONG THE BOUNDARY OF SAID SECTION TO THE INTERSECTION WITH THE RIGHT SHORE OF HORSE LAKE IN THE NORTHEAST QUARTER OF SECTION TEN (10), TOWNSHIP SIXTY-EIGHT (68), RANGE SIXTEEN (16), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE SOUTHWESTERLY FOLLOWING THE RIGHT SHORE OF HORSE LAKE TO THE INTERSECTION WITH THE WEST BOUNDARY OF THE SOUTHEAST QUARTER OF SECTION TEN (10), TOWNSHIP SIXTY-EIGHT (68), RANGE SIXTEEN (16), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE SOUTHERLY ALONG THE WEST BOUNDARY OF SAID SECTION, TO THE INTERSECTION WITH SOUTHWEST CORNER OF THE NORTHEAST QUARTER OF SECTION THIRTY-FOUR (34), TOWNSHIP SIXTY-SEVEN (67), RANGE SIXTEEN (16), WEST OF THE FOURTH (4TH) MERIDIAN,

THENCE IN AN EASTERLY DIRECTION TO THE POINT OF COMMENCEMENT.

AREA 2 - RURAL SERVICE AREA

Area 2 is made up of all those lands lying within the boundaries of the specialized municipality of Lac La Biche County, excluding all lands in Area 1 and excluding lands comprising any Indian Reserve or Metis Settlement.

Schedule 2

Electoral Wards For
The Specialized Municipality of Lac La Biche County

The electoral wards and ward boundaries for the specialized municipality are as follows:

ALL THE LANDS HEREIN SITUATED WEST OF THE FOURTH (4TH) MERIDIAN IN THE PROVINCE OF ALBERTA AND FURTHER DESCRIBED AS FOLLOWS, EXCLUDING THEREOUT ALL THOSE AREAS CONTAINED WITHIN THE BOUNDARIES OF ANY TOWN, VILLAGE, SUMMER VILLAGE, INDIAN RESERVE OR METIS SETTLEMENT:

Ward One

TOWNSHIP 62:

All of Range 13, and
In Range 14, section 36;

TOWNSHIP 63:

All of Ranges 10 to 13 inclusive, and
In Range 14, sections 1, 12, 13, 24, 25 and 36;

TOWNSHIP 64:

All of Ranges 10 to 13 inclusive;

TOWNSHIP 65:

All of Ranges 9 to 14 inclusive, and
In Range 15, sections 1, 2, 11 to 14 inclusive, 23 to 26 inclusive, 35 and 36.

Ward Two

TOWNSHIP 64:

In Range 15, the northwest quarter of section 32;

TOWNSHIP 65:

All that portion of Range 15 not included in Ward One, and
All of Range 16;

TOWNSHIP 66:

All of Ranges 15 and 16;

TOWNSHIP 67:

All of Range 15, and
In Range 16, sections 1 to 24 inclusive;

TOWNSHIP 68:

In Range 15, sections 3 to 8 inclusive.

Ward Three

TOWNSHIP 67:

In Range 16, sections 25 to 36 inclusive;

TOWNSHIP 68:

In Range 15, sections 30 and 31, and
All of Range 16;

TOWNSHIP 69:

All that portion of Range 15 excluding sections 1, 12, 13, 24, 25 and 36, and
All of Range 16;

TOWNSHIP 70:

All that portion of Range 15 excluding sections 1, 12, 13, 24, 25 and 36, and
All of Range 16.

Ward Four

TOWNSHIP 66:

In Range 14, sections 3 to 10 inclusive, 15 to 22 inclusive and 27 to 34 inclusive;

TOWNSHIP 67:

In Range 14, all that portion of fractional section 1 lying south of the south shore of Lac la Biche Lake, except that portion lying to the south of the north limit of

Road Plan 3065 PX and the east boundary of LOT 3 PLAN 812 1193 production northerly until the intersection of the south shore of Lac la Biche Lake, and

In Range 14, all those portions of sections 2 to 34 inclusive lying to the south and west of Lac la Biche Lake.

Ward Five

TOWNSHIP 66:

All that portion of Range 13 excluding those lands to the north and west of PLAN 052 6511, and

All that portion of Range 14 not included in Ward 4 excluding those lands to the north and east of PLAN 032 5356;

TOWNSHIP 67:

In Range 13, section 4,

In Range 13, all those portions of section 8 lying east of the shore of Lac la Biche Lake and all those portions of section 8 lying north of the southern boundary of River Lot 68 in the Lac La Biche Settlement,

In Range 13, section 9,

In Range 13, in section 16 those lands to the south east of Road Plan 499 PX and the northwesterly projection of the south boundary of PLAN 862 1141 until its intersection with the south shore of Lac la Biche Lake including all of those lands to the south and east of the shore of Lac la Biche Lake,

In Range 13, section 17, all those lands lying southeast of Lac la Biche Lake, and

In Range 14, all those lands to the south of PLAN 3065 PX and to the west of PLAN 812 1193.

Ward Six

TOWNSHIP 66:

Ranges 9 to 12 inclusive;

TOWNSHIP 67:

All that portion of Ranges 9 to 14 inclusive not included in Ward Four, Five or Seven;

TOWNSHIP 68:

All that portion of Ranges 9 to 15 inclusive not included in Ward Two or Three;

TOWNSHIP 69:

All that portion of Ranges 9 to 15 inclusive not included in Ward Three;

TOWNSHIP 70:

All that portion of Ranges 9 to 15 inclusive not included in Ward Three;

TOWNSHIPS 71 AND 72

All of Ranges 9 to 15 inclusive;

TOWNSHIPS 73 AND 74:

All of Ranges 3 to 15 inclusive;

TOWNSHIP 75:

All of Ranges 3 to 18 inclusive;

TOWNSHIPS 76 AND 77:

All of Ranges 10 to 18 inclusive;

TOWNSHIPS 78, 79 AND 80:

All of Ranges 10 to 17 inclusive.

Ward Seven

TOWNSHIP 66:

In Range 13, all those lands lying north and west of PLAN 052 6511, and

In Range 14, all those lands lying north and west of PLAN 052 6511;

TOWNSHIP 67:

In Range 13, all those lands in section 5 not included in Ward Five,

In Range 13, all those portions of section 6 lying south of the south shore of Lac La Biche, and

In Range 14, all those portions of the southeast quarter of section 1 not included in Ward Four or Five.

GOVERNMENT NOTICES

Culture and Tourism

Order Designating Provincial Historic Resource

(Historical Resources Act)

File: Des. 1103
MO 07/17

I, Ricardo Miranda, Minister of Culture and Tourism, pursuant to section 20(1) of the *Historical Resources Act*, RSA 2000 cH-9, **HEREBY AMEND** the original Ministerial Order signed by the Honourable Mary J. LeMessurier on November 1, 1983 that designated as a Provincial Historic Resource the Edmonton (1881) School, legally described as: Lots 34, 35, 36, Block 4, Plan B, which are registered in Alberta Land Titles as instrument 832 265 137, as follows:

1. by rescinding that portion of the Edmonton (1881) School Provincial Historic Resource designation dated November 1, 1983, registered in Alberta Land Titles as instrument 832 265 137, with respect to the lands legally described as:

   Plan B
   Block 4
   Lot 34
   Excepting Thereout:
   A) The Most Southerly 2 Feet Throughout Said Lot 34.

2. by striking section 2 of the original Ministerial Order and replacing it with, give notice that pursuant to Section 20(9) of that Act, notwithstanding any Other Act, no person shall
   a) destroy, disturb, alter, restore, or repair any historic resource or land that has been designated under this section, or
   b) remove an historic object from an historic resource that has been designated under this section

   without the written approval of the Minister.

3. by striking section 3 of the original Ministerial Order and replacing it with, further give notice that the following provisions under Section 20 of that Act apply in cases of sale or inheritance of the above mentioned resource:
   (11) The owner of an historic resource that is subject to an order under subsection (1) shall, at least 30 days before any sale or other disposition of the historic resource, serve notice of the proposed sale or other disposition on the Minister.
   (12) When a person inherits an historic resource that is subject to an order under subsection (1), that person shall notify the Minister of the inheritance within 15 days after the historic resource is transferred to the person.

Further, I **HERBY CONFIRM** that the remaining lands designated under the Ministerial Order signed by the Honourable Mary J. LeMessurier on November 1, 1983, and legally described as

Lots 35 and 36
Block 4
Plan B

Continue to be designated as a Provincial Historic Resource.

Dated at Edmonton, Alberta, this 26th day of June, 2017.

Ricardo Miranda, *Minister.*

Energy

Declaration of Withdrawal from Unit Agreement

(Petroleum and Natural Gas Tenure Regulations)

The Minister of Energy on behalf of the Crown in Right of Alberta hereby declares and states that the Crown in right of Alberta has withdrawn as a party to the agreement entitled “Bonnie Glen Cardium Unit No. 1” effective October 31, 2017.

Sarah Gartner, *for Minister of Energy.*

Production Allocation Unit Agreement

(Mines and Minerals Act)

Notice is hereby given, pursuant to section 102 of the Mines and Minerals Act, that the Minister of Energy on behalf of the Crown has executed counterparts of the agreement entitled “Production Allocation Unit Agreement – Joffre Duvernay Agreement No. 12” and that the Unit became effective on October 1, 2018.

EXHIBIT "A" - PART I

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
Joffre Duvernay Agreement No. 12

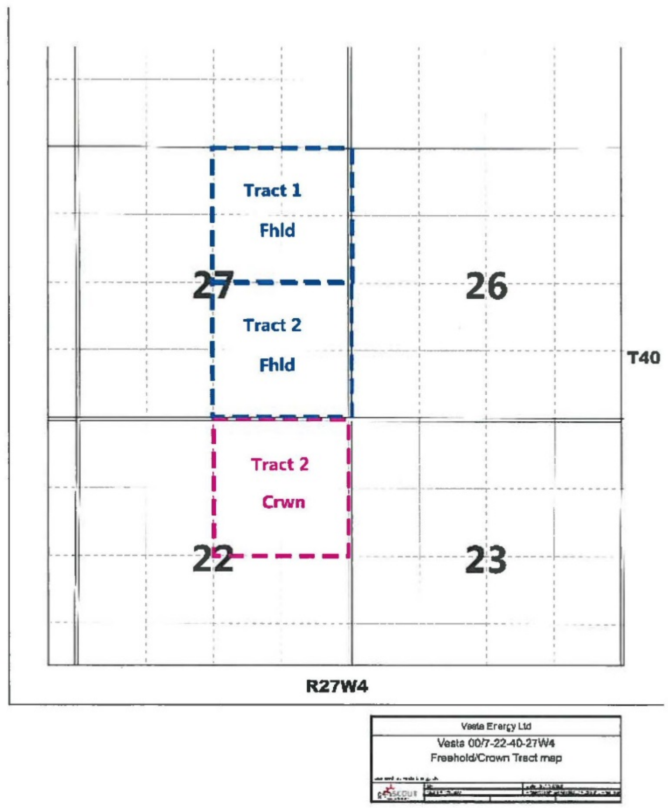
Tract No.	Land Description (M R T: Sec)	Lease Number	Royalty Owner	Tract Participation (%)	Working Interest Owner	Share of Working Interest (%)	Share of Tract Participation (%)
1	4-27-040: 27NE	M0835	699119 Alberta Ltd.	25.98	VESTA	100	25.98
2	4-27-040: 27SE	M0836	699119 Alberta Ltd.	36.95	VESTA	100	36.95
3	4-27-040: 22NE	0412020082	Crown	37.07	VESTA	100	37.07

Working Interest Owners:
VESTA ENERGY LTD. (“VESTA”) - 100% (Operator)

Effective as of the Effective Date

EXHIBIT "B"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
Joffre Duvernay Agreement No. 12



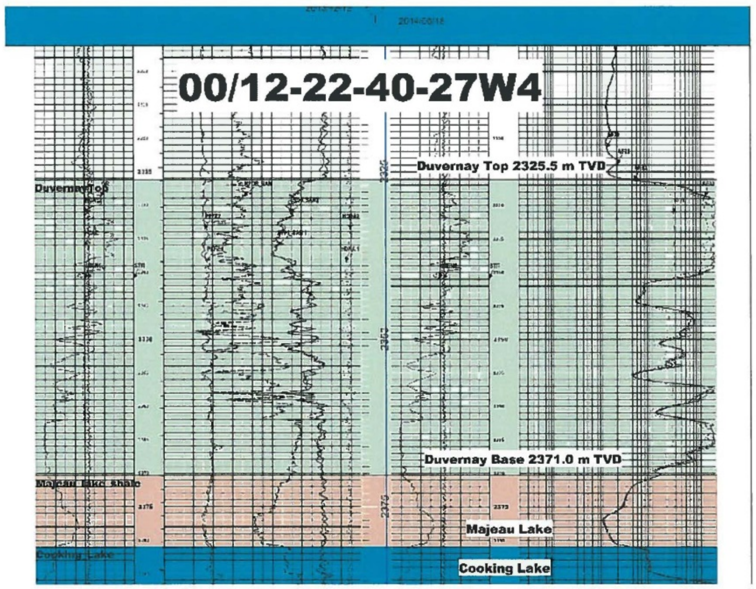
Effective as of the Effective Date

EXHIBIT "C"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
Joffre Duvernay Agreement No. 12

A portion of the density-neutron-induction logs of EOG JOFFRE 12-22-40-27 recorded at the well 100/12-22-040-27W4/00 located in LSD 12 Sec 22 Twp 40 Rge 27 W4M.

[Well Log]



Notice is hereby given, pursuant to section 102 of the Mines and Minerals Act, that the Minister of Energy on behalf of the Crown has executed counterparts of the agreement entitled "Production Allocation Unit Agreement – Leduc-Woodbend Nisku D-2 Agreement No. 2" and that the Unit became effective on June 1, 2017.

EXHIBIT "A"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
"Leduc-Woodbend Nisku D-2 Agreement No. 2"

Tract No.	Land Description (M-R-T:S)	Lease Number	Royalty Owner	Tract Participation (%)	Working Interest Owner	Share of Working Interest (%)	Share of Tract Participation
1	4-25-049:15 SW	Debra L. Poirier	Debra L. Poirier	20.11	NEP	100	20.11
2	4-25-049:15 NW	Debra L. Poirier	Debra L. Poirier	39.68	NEP	100	39.68
3	4-25-049:22 SW	0411030656	Crown	39.84	NEP	100	39.84
4	4-25-049: 22 NW	0411030656	Crown	0.37	NEP	100	0.37

Working Interest Owners:

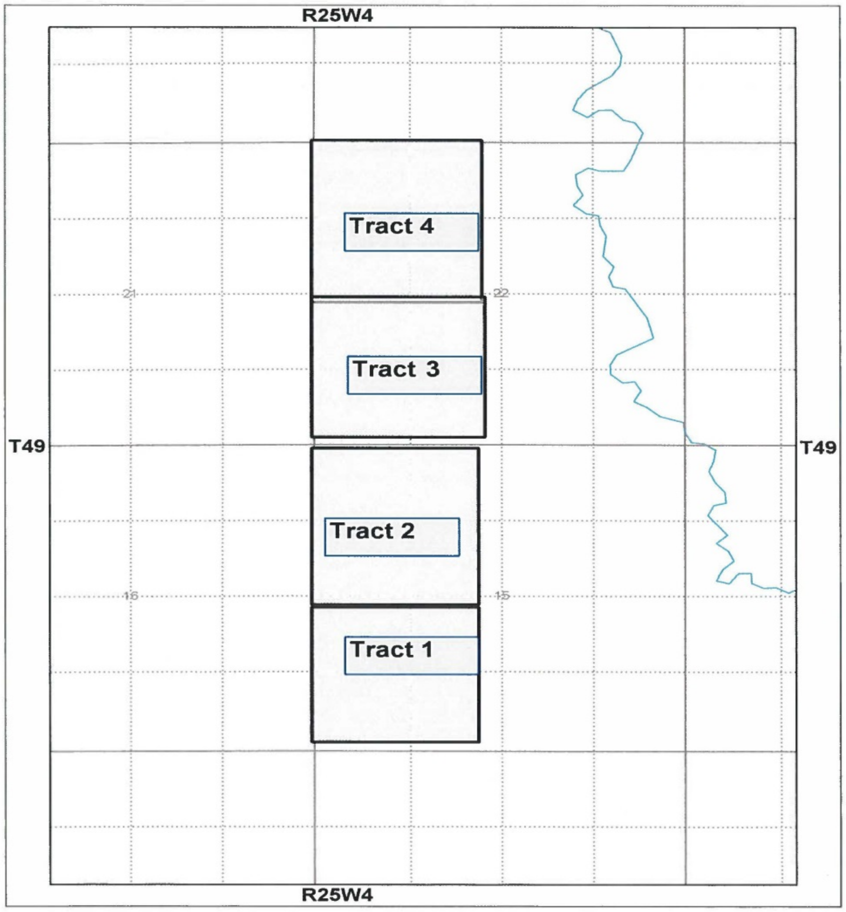
NEP Canada ULC 100%

Effective as of the Effective Date

Leduc-Woodbend Nisku D-2 Agreement No. 2

EXHIBIT "B"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
"Leduc-Woodbend Nisku D-2 Agreement No. 2"



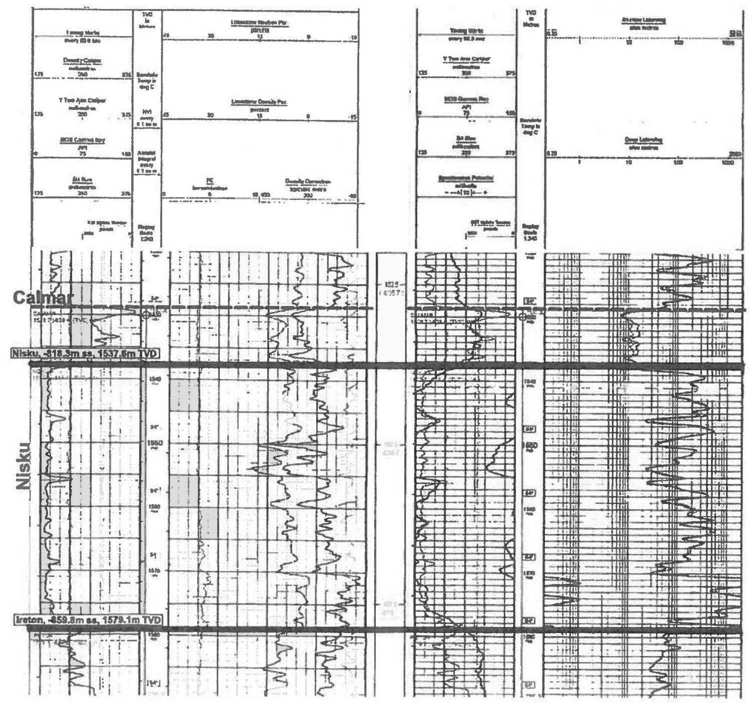
Effective as of the Effective Date

Leduc-Woodbend Nisku D-2 Agreement No. 2

EXHIBIT "C"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
"Leduc-Woodbend Nisku D-2 Agreement No. 2"

Nisku Neutron Density Log recorded in LSD 103/11-20-050-26W4/00. Top of the Nisku -818.3m ss, 1537.6m TVD; bottom of the Nisku -859.8m ss, 1579.1m TVD.



Justice and Solicitor General

Designation of Qualified Technician Appointment
(Intox EC/IR II)

Canada Border Services Agency
Clamp, Paul Alexander

(Date of Designation October 30, 2017)

Royal Canadian Mounted Police "K" Division, Traffic Services
Burton, Breana Tomina
Charlery, Melvin Lyndon
Croteau, Kareen Marie Diane
Dingle, Rebecca Jean
Dunn, Jason Daniel Russell
Felker, Sean Michael
Fyfe, Darcy James
Grant, Keeton Albert
Greene, Casondra Lynn
Harrington, Ryan John
Hastie, Brandon Spencer
Jensen, Russell William
King, Travis Jonathon
Knowles, Tony James
Lafrance, Frederic
Leclerc, Michael Joel
MacDonald, William Brent
Mestre, Keiner Augusto
Pearce, Mark James
Plamondon, Brendon Kelly
Quilty, Jeremy Derrick
Scott, Joshua Allan
Sexsmith, Sean Michael
Wood, Jeffrey Paul

(Date of Designation October 30, 2017)

Frechette, Lilliane Marie Jeannette

(Date of Designation November 1, 2017)

Office of the Public Trustee
Property being held by the Public Trustee for a period of Ten (10) Years

(Public Trustee Act)
Section 11 (2)(b)

Name of Person Entitled to Property	Description of Property held and its value or estimated value	Property part of deceased person's **Estate or** held under **Court Order:** Deceased's Name Judicial District Court File Number	Public Trustee Office Additional Information
ROBERT W JOHNSTON	Cash on Hand as of Nov.03/2017 $6,917.83	Judicial District of Calgary Court File # 111 815	C-021497

Legislative Assembly

Office of the Chief Electoral Officer

Notice: Appointment of Returning Officer

Edmonton, November 10, 2017

Notice is hereby given that pursuant to section 9(1.1) of the *Election Act*, the following person has been appointed as Returning Officer for the purpose of conducting a by-election in the electoral division of Calgary-Lougheed.

Electoral Division	Returning Officer	Residence
18 Calgary-Lougheed	Huntley F. O'Neill	Calgary

Municipal Affairs

Hosting Expenses Exceeding $600.00
For the period July 1, 2017 to September 30, 2017

Function: 2017 Regional Training Initiative
Purpose: Partnership with Alberta Rural Municipal Administrators' Association and Local Government Administration Association to host a series of one day training sessions for municipal administration.
Amount: $26,208.39
Date of Function: May 30 – September 13, 2017
Location: Grand Prairie, Drumheller, Olds, St. Paul, Peace River and Camrose

Function: Municipal internship Program – Intern Session
Purpose: Workshop for new interns in the 2017 Municipal Internship Program.
Amount: $1,966.11
Date of Function: June 12 – June 14, 2017
Location: Edmonton

Safety Codes Council

Corporate Accreditation

(Safety Codes Act)

Pursuant to Section 28 of the Safety Codes Act it is hereby ordered that

Cenovus Energy Deep Basin, Accreditation No. C000916, Order No. 3054

Having satisfied the terms and conditions of the Safety Codes Council is authorized to administer the Safety Codes Act including applicable Alberta amendments and regulations within the Corporation's industrial facilities for the discipline of **Electrical**

Consisting of all parts of the Canadian Electrical Code Part 1 and Code for Electrical Installations at Oil & Gas Facilities and Alberta Electrical Utility Code.

Accredited Date: November 8, 2017 Issued Date: November 8, 2017.

Alberta Securities Commission

AMENDMENTS TO NATIONAL INSTRUMENT 31-103
REGISTRATION REQUIREMENTS, EXEMPTIONS AND ONGOING REGISTRANT OBLIGATIONS

(Securities Act)

Made as a rule by the Alberta Securities Commission on July 12, 2017 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 31-103
REGISTRATION REQUIREMENTS, EXEMPTIONS AND ONGOING REGISTRANT OBLIGATIONS

1. National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations is amended by this Instrument.

2. Section 1.1 is amended by adding the following definitions:

"Canadian custodian" means any of the following:

(a) a bank listed in Schedule I, II or III of the *Bank Act* (Canada);

(b) a trust company that is incorporated under the laws of Canada or a jurisdiction of Canada and licensed or registered under the laws of Canada or a jurisdiction of Canada, and that has equity, as reported in its most recent audited financial statements, of not less than $10,000,000;

(c) a company that is incorporated under the laws of Canada or a jurisdiction of Canada, and that is an affiliate of a bank or trust company referred to in paragraph (a) or (b), if either of the following applies:

(i) the company has equity, as reported in its most recent audited financial statements, of not less than $10,000,000;

(ii) the bank or trust company has assumed responsibility for all of the custodial obligations of the company for the cash and securities the company holds for a client or investment fund;

(d) an investment dealer that is a member of IIROC and that is permitted under the rules of IIROC, as amended from time to time, to hold the cash and securities of a client or investment fund;

"foreign custodian" means any of the following:

(a) an entity that

(i) is incorporated or organized under the laws of a country, or a political subdivision of a country, other than Canada,

(ii) is regulated as a banking institution or trust company by the government, or an agency of the government, of the country under the laws of which it is incorporated or organized, or a political subdivision of that country, and

(iii) has equity, as reported in its most recent audited financial statements, of not less than the equivalent of $100,000,000;

(b) an affiliate of an entity referred to in paragraph (a), (b) or (c) of the definition of "Canadian custodian" or paragraph (a) of this definition if either of the following applies:

(i) the affiliate has equity, as reported in its most recent audited financial statements, of not less than the equivalent of $100,000,000;

(ii) the entity referred to in paragraph (a), (b) or (c) of the definition of "Canadian custodian" or paragraph (a) of this definition has assumed responsibility for all of the custodial obligations of the affiliate for the cash and securities the affiliate holds for a client or investment fund;

"qualified custodian" means a Canadian custodian or a foreign custodian;**.**

3. Section 1.2 is replaced with the following:

1.2 Interpretation of "securities" in Alberta, British Columbia, New Brunswick, Nova Scotia and Saskatchewan

(1) Subject to sections 8.2 and 8.26, in British Columbia, a reference to "securities" in this Instrument includes "exchange contracts", unless the context otherwise requires.

(2) Subject to sections 8.2 and 8.26, in Alberta, New Brunswick, Nova Scotia and Saskatchewan, a reference to "securities" in this Instrument includes "derivatives", unless the context otherwise requires.**.**

4. Subsections 1.2(1) and (2), as amended by section 3 of this Instrument, are amended by replacing "8.2 and 8.26***" with "***8.2, 8.26 and 14.5.1***".***

5. Section 3.16 is amended

(a) in subsections (1) and (1.1) by adding "an investment dealer that is***" after "***a dealing representative of***", and***

(b) in subsections (2) and (2.1) by adding "a mutual fund dealer that is***" after "***a dealing representative of***".***

6. Section 7.1 is amended

(a) in subparagraph (2)(d)(i) by deleting "whether or not a prospectus was filed in respect of the distribution,***",***

(b) by replacing subparagraph (2)(d)(ii) with the following:

(ii) act as a dealer by trading a security, if all of the following apply:

(A) the trade is not a distribution;

(B) an exemption from the prospectus requirement would be available to the seller if the trade were a distribution;

(C) the class of security is not listed, quoted or traded on a marketplace, or ***, and***

(c) by repealing subsection (5).

7. Section 8.2 is replaced with the following:

8.2 Definition of "securities" in Alberta, British Columbia, New Brunswick, Nova Scotia and Saskatchewan

Despite section 1.2, in Alberta, British Columbia, New Brunswick, Nova Scotia and Saskatchewan, a reference to "securities" in this Division excludes "exchange contracts"..

8. Subsection 8.6(1) is amended

(a) by replacing "both of the following apply***" with "***all of the following apply***",***

(b) by replacing paragraph (a) with the following:

(a) the adviser or an affiliate of the adviser acts as the fund's adviser;***, and***

(c) by adding the following paragraph:

(a.1) the adviser or an affiliate of the adviser acts as the fund's investment fund manager;**.**

9. Subsection 8.12(3) is amended by adding "New Brunswick,***" after "***Manitoba,***".***

10. Paragraph 8.18(2)(b) is replaced with the following:

(b) a trade in a debt security with a permitted client if the debt security

(i) is denominated in a currency other than the Canadian dollar, or

(ii) is or was originally offered primarily in a foreign jurisdiction and a prospectus has not been filed with a Canadian securities regulatory authority for the distribution;**.**

11. Section 8.20.1 is replaced with the following:

8.20.1 Exchange contract trades through or to a registered dealer - Alberta, British Columbia, New Brunswick, Nova Scotia and Saskatchewan

In Alberta, British Columbia, New Brunswick, Nova Scotia and Saskatchewan, the dealer registration requirement does not apply to a registered adviser, or an advising representative or associate advising representative acting on behalf of the registered adviser, in respect of trading activities related to exchange contracts that are incidental to its providing advice to a client, if the trade is made through a dealer registered in a category that permits the trade or a dealer operating under an exemption from the dealer registration requirement.**.**

12. Section 8.24 is amended by adding "is an investment dealer that***" after "***account if the registered dealer***".***

13. Section 8.26 is amended by replacing subsection (3) with the following:

(3) The adviser registration requirement does not apply to a person or company if either of the following applies:

(a) the person or company provides advice on a foreign security to a permitted client that is not registered under the securities legislation of a jurisdiction of Canada as an adviser or dealer;

(b) the person or company provides advice on a security that is not a foreign security and the advice is incidental to the advice referred to in paragraph (a).**.**

14. Subsection 9.3(1) is amended

(a) by replacing "a registered firm***" with "***an investment dealer***",***

(b) by replacing paragraph (m) with the following:

(m) subsections 14.2(2) to (6) [*relationship disclosure information*];**,**

(c) by adding the following paragraph:

(m.1) section 14.2.1 [*pre-trade disclosure of charges*];**,**

(d) by adding the following paragraphs:

(m.2) section 14.5.2 [*restriction on self-custody and qualified custodian requirement*];

(m.3) section 14.5.3 [*cash and securities held by a qualified custodian*];**,**

(e) by replacing paragraph (n) with the following:

(n) section 14.6 [*client and investment fund assets held by a registered firm in trust*];**,**

(f) by adding the following paragraphs:

(n.1) section 14.6.1 [*custodial provisions relating to certain margin or security interests*];

(n.2) section 14.6.2 [*custodial provisions relating to short sales*];**,**

(g) by repealing paragraphs (o) and (p),

(h) by adding the following paragraph:

(p.1) section 14.11.1 [*determining market value*];**,**

(i) ***in paragraph (q) by replacing “***[*content and delivery of trade confirmation*].***” with “***[*content and delivery of trade confirmation*];***” ,and***

(j) ***by adding the following paragraphs:***

(r) section 14.14 [*account statements*];

(s) section 14.14.1 [*additional statements*];

(t) section 14.14.2 [*security position cost information*];

(u) section 14.17 [*report on charges and other compensation*];

(v) section 14.18 [*investment performance report*];

(w) section 14.19 [*content of investment performance report*];

(x) section 14.20 [*delivery of report on charges and other compensation and investment performance report*].**.**

15. ***Subsection 9.3(1.1) is amended by replacing “***(q)***” with “***(x)***”.***

16. ***Subsection 9.3(2) is amended***

(a) ***by replacing “***a registered firm***” with “***an investment dealer***”,***

(b) ***by replacing paragraph (i) with the following:***

(i) subsections 14.2(2) to (6) [*relationship disclosure information*];**,**

(c) ***by adding the following paragraph:***

(i.1) section 14.2.1 [*pre-trade disclosure of charges*];**,**

(d) ***by adding the following paragraphs:***

(i.2) section 14.5.2 [*restriction on self-custody and qualified custodian requirement*];

(i.3) section 14.5.3 [*cash and securities held by a qualified custodian*];**,**

(e) ***by replacing paragraph (j) with the following:***

(j) section 14.6 [*client and investment fund assets held by a registered firm in trust*];**,**

(f) ***by adding the following paragraphs:***

(j.1) section 14.6.1 [*custodial provisions relating to certain margin or security interests*];

(j.2) section 14.6.2 [*custodial provisions relating to short sales*];**,**

(g) by repealing paragraphs (k) and (l),

(h) by adding the following paragraph:

(l.1) section 14.11.1 [*determining market value*];***,***

(i) in paragraph (m) by replacing "[*content and delivery of trade confirmation*].***" with "***[*content and delivery of trade confirmation*];***", and***

(j) by adding the following paragraphs:

(n) section 14.17 [*report on charges and other compensation*];

(o) section 14.18 [*investment performance report*];

(p) section 14.19 [*content of investment performance report*];

(q) section 14.20 [*delivery of report on charges and other compensation and investment performance report*].***.***

17. Subsection 9.3(2.1) is amended by replacing "(m)***" with "***(q)***".***

18. Section 9.4(1) is amended

(a) by replacing "a registered firm***" with "***a mutual fund dealer***",***

(b) by replacing paragraph (m) with the following:

(m) subsections 14.2(2), (3) and (5.1) [*relationship disclosure information*];***,***

(c) by adding the following paragraph:

(m.1) section 14.2.1 [*pre-trade disclosure of charges*];***,***

(d) by adding the following paragraphs:

(m.2) section 14.5.2 [*restriction on self-custody and qualified custodian requirement*];

(m.3) section 14.5.3 [*cash and securities held by a qualified custodian*];***,***

(e) by replacing paragraph (n) with the following:

(n) section 14.6 [*client and investment fund assets held by a registered firm in trust*];***,***

(f) by adding the following paragraphs:

(n.1) section 14.6.1 [*custodial provisions relating to certain margin or security interests*];

(n.2) section 14.6.2 [*custodial provisions relating to short sales*];***,***

(g) by repealing paragraphs (o) and (p),

(h) by adding the following paragraph:

(p.1) section 14.11.1 [*determining market value*];***,***

(i) in paragraph (q) by replacing "[content and delivery of trade confirmation]***." with "***[content and delivery of trade confirmation];***", and***

(j) by adding the following paragraphs:

(r) section 14.14 [*account statements*];

(s) section 14.14.1 [*additional statements*];

(t) section 14.14.2 [*security position cost information*];

(u) section 14.17 [*report on charges and other compensation*];

(v) section 14.18 [*investment performance report*];

(w) section 14.19 [*content of investment performance report*];

(x) section 14.20 [*delivery of report on charges and other compensation and investment performance report*].***.***

19. Subsection 9.4(1.1) is amended by replacing "(q)***" with "***(x)***".***

20. Subsection 9.4(2) is amended

(a) by adding "is a mutual fund dealer that***" after "***If a registered firm***",***

(b) by replacing paragraph (g) with the following:

(g) subsections 14.2(2), (3) and (5.1) [*relationship disclosure information*];***,***

(c) by adding the following paragraph:

(g.1) section 14.2.1 [*pre-trade disclosure of charges*];***,***

(d) by adding the following paragraphs:

(g.2) section 14.5.2 [*restriction on self-custody and qualified custodian requirement*];

(g.3) section 14.5.3 [*cash and securities held by a qualified custodian*];***,***

(e) ***by replacing paragraph (h) with the following:***

(h) section 14.6 [*client and investment fund assets held by a registered firm in trust*];***,***

(f) ***by adding the following paragraphs:***

(h.1) section 14.6.1 [*custodial provisions relating to certain margin or security interests*];

(h.2) section 14.6.2 [*custodial provisions relating to short sales*];***,***

(g) ***by repealing paragraphs (i) and (j),***

(h) ***by adding the following paragraph:***

(j.1) section 14.11.1 [*determining market value*];***,***

(i) ***in paragraph (k) by replacing "***[content and delivery of trade confirmation].***" with "***[content and delivery of trade confirmation]***;", and***

(j) ***by adding the following paragraphs:***

(l) section 14.17 [*report on charges and other compensation*];

(m) section 14.18 [*investment performance report*];

(n) section 14.19 [*content of investment performance report*];

(o) section 14.20 [*delivery of report on charges and other compensation and investment performance report*].***.***

21. ***Section 9.4 is amended***

(a) ***in subsection (2.1) by replacing "***(k)***" with "***(o)***", and***

(b) ***in subsection (4) by replacing "***subsection (1)***" with "***subsection (1), other than paragraph (1)(h),***".***

22. ***Subsection 12.1 (5) is amended by replacing "***a registered firm***" with "***an investment dealer***".***

23. ***Section 12.12 is amended***

(a) ***in subsection (2.1) by adding "***is a mutual fund dealer that***" after "***If a registered firm***", and***

(b) ***by adding the following subsections:***

(4) Despite paragraph (1)(b), in Québec, a firm registered only in that jurisdiction and only in the category of mutual fund dealer may deliver to the securities regulatory authority, no later than the 90th

day after the end of its financial year, the *Monthly Report on Net Free Capital* provided in Appendix I of the *Regulation respecting the trust accounts and financial resources of securities firms*, as that Appendix read on September 27, 2009, that shows the calculation of the firm's net free capital as at the end of its financial year and as at the end of the immediately preceding financial year, if any.

(5) Despite paragraph (2)(b), in Québec, a firm registered only in that jurisdiction and only in the category of mutual fund dealer may deliver to the securities regulatory authority, no later than the 30th day after the end of the first, second and third interim period of its financial year, the *Monthly Report on Net Free Capital* provided in Appendix I of the *Regulation respecting the trust accounts and financial resources of securities firms*, as that Appendix read on September 27, 2009, that shows the calculation of the firm's net free capital as at the end of the interim period and as at the end of the immediately preceding interim period, if any.**.**

24. Section 12.14 is amended

(a) in subsection (4) by adding "is an investment dealer that***" after "***If a registered firm***", and***

(b) in subsection (5) by adding "is a mutual fund dealer that***" after "***If a registered firm***".***

25. Subsection 13.17 (1) is amended

(a) in paragraph (f) by replacing "[*account statements*].***" with "***[*account statements*];***", and***

(b) by adding the following paragraphs:

(g) section 14.14.1 [*additional statements*];

(h) section 14.14.2 [*security position cost information*];

(i) section 14.17 [*report on charges and other compensation*];

(j) section 14.18 [*investment performance report*].**.**

26. Section 14.1 is amended by replacing "section 14.1.1, section 14.6,***" with "***sections 14.1.1, 14.5.1, 14.5.2, 14.5.3, 14.6, 14.6.1, 14.6.2,***".***

27. Section 14.1.1 is replaced with the following:

14.1.1 Duty to provide information

A registered investment fund manager of an investment fund must, within a reasonable period of time, provide a registered dealer, or a registered adviser, that has a client that owns securities of the investment fund, with the information that is required by the dealer or adviser in order for the dealer or adviser to comply with paragraph 14.12(1)(c), subsections 14.14(4) and (5), 14.14.1(2) and 14.14.2(1) and paragraph 14.17(1)(h)..

28. Subsection 14.2 (2) is amended

(a) by adding "to a client" ***after*** "the information delivered"***, and***

(b) by adding the following paragraphs:

(a.1) in the case of a registered firm that holds the client's assets, or directs or arranges which custodian will hold the client's assets, disclosure of the location where, and a general description of the manner in which, the client's assets are held, and a description of the risks and benefits to the client arising from the assets being held at that location and in that manner;

(a.2) in the case of a registered firm that has access to the client's assets

(i) disclosure of the location where, and a general description of the manner in which, the client's assets are held, and a description of the risks and benefits to the client arising from the assets being held in that location and in that manner, and

(ii) a description of the manner in which the client's assets are accessible by the registered firm, and a description of the risks and benefits to the client arising from having access to the assets in that manner;**.**

29. The title of Division 3 of Part 14 is amended by adding "and investment fund assets" ***after*** "Client assets"***.***

30. Division 3 of Part 14 is amended by adding the following sections:

14.5.1 Definition of "securities" in Alberta, British Columbia, New Brunswick, Nova Scotia and Saskatchewan

Despite section 1.2, in Alberta, British Columbia, New Brunswick, Nova Scotia and Saskatchewan, a reference to "securities" in this Division excludes "exchange contracts".**.**

14.5.2 Restriction on self-custody and qualified custodian requirement

(1) A registered firm must not be a custodian or sub-custodian for a client of the firm or for an investment fund in respect of the client's or investment fund's cash or securities unless the registered firm

(a) is a "Canadian custodian" under paragraph (a), (b) or (d) of the definition of "Canadian custodian", and

(b) has established and maintains a system of controls and supervision that a reasonable person would conclude is sufficient to manage the risks to the client or investment fund associated with the custody of the client's or investment fund's cash or securities.

(2) A registered firm must ensure that any custodian for a client of the firm or for an investment fund managed by the firm in respect of the client's or investment fund's cash or securities is a Canadian custodian if the firm

(a) directs or arranges which custodian will hold the cash or securities of the client or investment fund, or

(b) holds or has access to the cash or securities of the client or investment fund.

(3) Despite the requirement to use a Canadian custodian in subsection (2), a foreign custodian may be a custodian of the cash or securities of the client or investment fund if a reasonable person would conclude, considering all of the relevant circumstances, including, for greater certainty, the nature of the regulation and the sufficiency of the equity of the foreign custodian, that using the foreign custodian is more beneficial to the client or investment fund than using a Canadian custodian.

(4) Despite the requirement to use a Canadian custodian in subsection (2), a Canadian financial institution may be a custodian of the cash of the client or investment fund.

(5) For the purposes of subsections (2) and (3), the registered firm must ensure that the qualified custodian is functionally independent of the registered firm unless

(a) the qualified custodian is a "Canadian custodian" under paragraph (a), (b) or (d) of the definition of "Canadian custodian", and

(b) the registered firm ensures that the qualified custodian has established and maintains a system of controls and supervision that a reasonable person would conclude is sufficient to manage the risks to the client or investment fund associated with the custody of the client's or investment fund's cash or securities.

(6) For the purpose of subsection (4), the registered firm must ensure that the Canadian financial institution is functionally independent of the registered firm.

(7) This section does not apply to a registered firm in respect of any of the following:

(a) an investment fund that is subject to National Instrument 81-102 *Investment Funds*;

(b) an investment fund that is subject to National Instrument 41-101 *General Prospectus Requirements*;

(c) a security that is recorded on the books of the security's issuer, or the transfer agent of the security's issuer, only in the name of the client or investment fund;

(d) cash or securities of a permitted client, if the permitted client

(i) is not an individual or an investment fund, and

(ii) has acknowledged in writing that the permitted client is aware that the requirements in this section that would otherwise apply to the registered firm do not apply;

(e) customer collateral subject to custodial requirements under National Instrument 94-102 *Derivatives: Customer Clearing and Protection of Customer Collateral and Positions*;

(f) a security that evidences a debt obligation secured by a mortgage registered or published against the title of real estate if

(i) the mortgage is registered or published in the name of the client or investment fund as mortgagee, or

(ii) in the case of a syndicated mortgage, the mortgage is registered or published in the name of either of the following as mortgagee:

(A) a person or company that is registered or licensed under mortgage brokerage, mortgage administrators, or mortgage dealer legislation of a jurisdiction of Canada if that mortgage is held in trust for the client or investment fund, as applicable;

(B) each investor that is a mortgagee in respect of that mortgage.

14.5.3 Cash and securities held by a qualified custodian

A registered firm that is subject to subsection 14.5.2(2), (3) or (4) must take reasonable steps to ensure that cash and securities of a client or an investment fund,

(a) except as provided in paragraphs (b) and (c), are held by the qualified custodian or, in respect of cash, the Canadian financial institution using an account number or other designation in the records of the qualified custodian or the Canadian financial institution, as applicable, sufficient to show that the beneficial ownership of the cash or securities of the client or investment fund is vested in that client or investment fund,

(b) in the case of cash held in an account in the name of the registered firm, is held separate and apart from the registered firm's own property and held by the qualified custodian, or the Canadian financial institution, in a designated trust account in trust for clients or investment funds, or

(c) in the case of cash or securities held for the purpose of bulk trading, are held in the name of the registered firm in trust for its clients or investment funds if the cash or securities are transferred to the client's or investment fund's account held by that client's or investment fund's qualified custodian or, in respect of cash, Canadian financial institution as soon as possible following a trade..

31. Section 14.6 is replaced with the following:

14.6 Client and investment fund assets held by a registered firm in trust

(1) If a registered firm holds client assets or investment fund assets other than cash or securities, or if a registered firm holds cash or securities of a client or an investment fund as permitted by section 14.5.2, the registered firm must hold the assets

(a) separate and apart from its own property,

(b) in trust for the client or investment fund, and

(c) in the case of cash, in a designated trust account with a Canadian custodian or Canadian financial institution.

(2) Despite paragraph (1)(c), a foreign custodian may be a custodian for the cash of the client or investment fund if a reasonable person would conclude, considering all of the relevant circumstances, including, for greater certainty, the nature of the regulation and the sufficiency of the equity of the foreign custodian, that using the foreign custodian is more beneficial to the client or investment fund than using a Canadian custodian or a Canadian financial institution..

14.6.1 Custodial provisions relating to certain margin or security interests

(1) In this section, "clearing corporation option", "futures exchange", "option on futures", "specified derivative" and "standardized future" have the same meaning as in section 1.1 of National Instrument 81-102 *Investment Funds*.

(2) Subsection 14.5.2(2) does not apply to a registered firm in respect of cash or securities of a client or investment fund deposited with a dealer as margin for transactions outside of Canada involving clearing corporation options, options on futures or standardized futures if

(a) in the case of standardized futures and options on futures, the dealer is a member of a futures exchange or, in the case of clearing corporation options, is a member of a stock exchange, and, as a result in either case, is subject to a regulatory audit,

(b) the dealer has a net worth, determined from its most recent audited financial statements, in excess of $50 million, and

(c) a reasonable person would conclude that using the dealer is more beneficial to the client or investment fund than using a Canadian custodian.

(3) Subsection 14.5.2(2) does not apply to a registered firm in respect of cash or securities of a client or investment fund deposited with the client's or investment fund's counterparty over which the client or investment fund has granted a security interest in connection with a particular specified derivatives transaction.

(4) The registered firm must take reasonable steps to ensure that any agreement by which cash or securities of a client or investment fund are deposited in accordance with subsection (2) or (3) requires the person or company holding the cash or securities to ensure that its records show that the client or investment fund is the beneficial owner of the cash or securities.

14.6.2 Custodial provisions relating to short sales

Subsection 14.5.2(2) does not apply to a registered firm in respect of cash or securities of a client or investment fund deposited as security in connection with a short sale of securities with a dealer outside of Canada if

(a) the dealer is a member of a stock exchange and is subject to a regulatory audit,

(b) the dealer has a net worth, determined from its most recent audited financial statements, in excess of $50 million, and

(c) a reasonable person would conclude that using the dealer is more beneficial to the client or investment fund than using a Canadian custodian..

32. Section 14.7 is repealed.

33. Section 14.8 is repealed.

34. Section 14.9 is repealed.

35. Subsection 14.11.1(2) is amended by replacing "14.14.2 [*position cost information*]***" with "***14.14.2 [*security position cost information*]***".***

36. Subsection 14.11.1(3) is replaced with the following:

(3) If a registered firm reasonably believes that it cannot determine the market value of a security in accordance with subsection (1), the market

value of the security must be reported in a statement delivered under section 14.14 [*account statements*], 14.14.1 [*additional statements*], 14.14.2 [*security position cost information*], 14.15 [*security holder statements*] or 14.16 [*scholarship plan dealer statements*] as not determinable, and the market value of the security must be excluded from the total market value referred to in paragraphs 14.14(5)(e), 14.14.1(2)(e) and 14.14.2(5)(c)..

37. Section 14.12 is amended by adding the following subsection:

(7) In Newfoundland and Labrador, Ontario and Saskatchewan, a registered dealer that complies with the requirements of this section in respect of a purchase or sale of a security is not subject to any of subsections 37(1), (2) or (3) of the *Securities Act* (Newfoundland and Labrador), subsection 36(1) of the *Securities Act* (Ontario) and subsection 42(1) of *The Securities Act*, *1988* (Saskatchewan)..

38. Section 14.14 is amended

(a) in paragraph (4)(d) by adding "purchased, sold or transferred***" after "***the number of securities***", and***

(b) in paragraph (5)(f) by replacing "covered***" with "***eligible for coverage***".***

39. Section 14.14.1 is amended

(a) in paragraph (2)(f) by replacing "the name***" with "***disclosure in respect***",***

(b) in paragraph (2)(g) by replacing "securities are covered***" with "***securities are, or the account is, eligible for coverage***", and by deleting "***and, if they are, the name of the fund***", and***

(c) by adding the following subsection:

(2.1) Paragraph (2)(g) does not apply if the party referred to in paragraph (2)(f) is required under section 14.14, or under an IIROC provision or MFDA provision, to deliver a statement to the client in respect of the securities or the account referred to in subsection (1) of this section..

***40. The heading to section 14.14.2 is amended by replacing* "Position cost information" *with* "Security position cost information".**

41. Section 14.14.2 is amended

(a) by replacing paragraphs (2)(a) and (b) with the following:

(a) for each security position, in the statement, opened on or after July 15, 2015, presented on an average cost per unit or share basis or an aggregate basis,

(i) the cost of the security position, determined as at the end of the period for which the information referred to in subsection 14.14(5) or 14.14.1(2) is provided, or

(ii) if the security position was transferred from another registered firm, the information referred to in subparagraph (i) or the market value of the security position as at the date of the transfer of the security position;

(b) for each security position, in the statement, opened before July 15, 2015, presented on an average cost per unit or share basis or an aggregate basis,

(i) the cost of the security position, determined as at the end of the period for which the information referred to in subsection 14.14(5) or 14.14.1(2) is provided, or

(ii) the market value of the security position on

(A) December 31, 2015, or

(B) a date that is earlier than December 31, 2015 if the registered firm reasonably believes accurate, recorded historical position cost information is available for the client's account, and it would not be misleading to the client to provide that information as at the earlier date;***, and***

(b) by adding the following subsection:

(2.1) If a registered firm reports one or more security positions of a client using the market value determined as at the date referred to in subparagraph (2)(a)(ii) or (2)(b)(ii), the firm must disclose in the statement that it is providing the market value of the security position as at the relevant date, instead of the cost of the security position.**.**

42. Paragraph 14.15(c) and section 14.16 are amended by replacing **"**14.14.2[*position cost information*]**"** ***with*** **"**14.14.2 [*security position cost information*]**".**

43. Subsection 14.18(6) is replaced with the following:

(6) Despite subsection (1), a registered firm is not required to deliver a report to a client for a 12-month period referred to in that subsection if the firm reasonably believes

(a) there are no securities of the client with respect to which information is required to be reported under subsection 14.14(5) [*account statements*] or subsection 14.14.1(1) [*additional statements*], or

(b) no market value can be determined for any securities of the client in respect to which information is required to be reported under subsection 14.14(5) or 14.14.1(1)..

44. Section 14.19 is amended:

(a) by replacing paragraph (1)(d) with the following:

(d) the market values determined under subsection (1.1);**,**

(b) by repealing paragraph (1)(e),

(c) in paragraph (1)(g) by replacing "paragraph (h)***" with "***subsection (1.2)***",***

(d) by repealing paragraph (1)(h),

(e) by adding the following subsections:

(1.1) For the purposes of paragraph (1)(d), the investment performance report must include the following, as applicable:

(a) if the client's account was opened on or after July 15, 2015, the market value of all deposits and transfers of cash and securities into the client's account, and the market value of all withdrawals and transfers of cash and securities out of the account, since opening the account;

(b) if the client's account was opened before July 15, 2015, and the firm has not delivered an investment performance report for the 12-month period ending December 31, 2016,

(i) the market value of all cash and securities in the client's account as at

(A) July 15, 2015, or

(B) a date that is earlier than July 15, 2015 if the registered firm reasonably believes accurate, recorded historical market value information is available for the client's account, and it would not be misleading to the client to provide that information as at the earlier date, and

(ii) the market value of all deposits and transfers of cash and securities into the account, and the market value of all withdrawals and transfers of cash and securities out of the account, since the date referred to in clause (i)(A) or (B), as applicable;

(c) if the client's account was opened before July 15, 2015, and the firm delivered an investment performance report for the 12-month period ending December 31, 2016,

(i) the market value of all cash and securities in the client's account as at

(A) January 1, 2016, or

(B) a date that is earlier than January 1, 2016 if the registered firm reasonably believes accurate, recorded historical market value information is available for the client's account, and it would not be misleading to the client to provide that information as at the earlier date, and

(ii) the market value of all deposits and transfers of cash and securities into the account, and the market value of all withdrawals and transfers of cash and securities out of the account, since the date referred to in clause (i)(A) or (B), as applicable.

(1.2) Paragraph (1)(g) does not apply if the client's account was opened before July 15, 2015 and the registered firm includes in the investment performance report the cumulative change in the market value of the account determined using the following formula, instead of the formula in paragraph (g):

$$A - G - H + I$$

where

A = the market value of all cash and securities in the account as at the end of the 12-month period covered by the investment performance report;

G = the market value of all cash and securities in the account determined as follows:

(a) if the firm has not delivered an investment performance report for the 12-month period ending December 31, 2016, the market value of all cash and securities in the client's account as at

(i) July 15, 2015, or

(ii) a date that is earlier than July 15, 2015 if the registered firm reasonably believes accurate, recorded historical market value information is available for the client's account, and it would not be misleading to the client to provide that information as at the earlier date,

(b) if the firm has delivered an investment performance report for the 12-month period ending December 31, 2016, the market value of all cash and securities in the client's account as at

(i) January 1, 2016, or

(ii) a date that is earlier than January 1, 2016 if the registered firm reasonably believes accurate, recorded historical market value information is available for the client's account, and it would not be misleading to the client to provide that information as at the earlier date;

H = the market value of all deposits and transfers of cash and securities into the account since the date used for the purposes of the definition of "G"; and

I = the market value of all withdrawals and transfers of cash and securities out of the account since the date used for the purposes of the definition of "G".**,**

(f) by replacing paragraph (2)(e) with the following:

(e) subject to subsection (3.1), the period since the client's account was opened if the account has been open for more than one year before the date of the report or, if the account was opened before July 15, 2015, the period since

(i) July 15, 2015, or

(ii) a date that is earlier than July 15, 2015 if the registered firm reasonably believes accurate recorded annualized total percentage return information is available for the client's account, and it would not be misleading to the client to provide that information as at the earlier date.***, and***

(g) by adding the following subsection:

(3.1) Paragraph (2)(e) does not apply to a registered firm that delivered an investment performance report for the 12-month period ending

December 31, 2016 if the firm provides, in the report, the annualized total percentage return information referred to in that paragraph for the period since

(a) January 1, 2016, or

(b) a date that is earlier than January 1, 2016 if the registered firm reasonably believes accurate, recorded annualized total percentage return information is available for the client's account, and it would not be misleading to the client to provide that information as at the earlier date..

45. Subsection 15.1(3) is amended by adding "Alberta and***" after "***Except in***".***

46. Form 31-103F1 Calculation of Excess Working Capital is amended

(a) in the column entitled "Component" in Line 10 of the table by adding "or, in Québec, for a firm registered only in that jurisdiction and solely in the category of mutual fund dealer, less the deductible under the liability insurance required under section 193 of the Québec Securities Regulation***" after "***National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations*",

(b) in subparagraph (a)(i) of Schedule 1 by replacing "Aaa or AAA by Moody's Canada Inc. or its DRO affiliate or Standard & Poor's Rating Services (Canada) or its DRO affiliate, respectively***" with "***Aaa or AAA, or the short-term ratings equivalent of either of those ratings, by a designated rating organization or its DRO affiliate***", and***

(c) in paragraph (d) of Schedule 1 by replacing "*Investment Companies Act of 1940****" with "****Investment Company Act of 1940****".***

47. Appendix G is replaced with the following:

APPENDIX G

EXEMPTIONS FROM CERTAIN REQUIREMENTS FOR IIROC MEMBERS

(Section 9.3 [*exemptions from certain requirements for IIROC members*])

NI 31-103 Provision	IIROC Provision
section 12.1 [*capital requirements*]	1. Dealer Member Rule 17.1; and 2. Form 1
section 12.2 [*subordination agreement*]	1. Dealer Member Rule 5.2; and 2. Dealer Member Rule 5.2A
section 12.3 [*insurance – dealer*]	1. Dealer Member Rule 17.5 2. Dealer Member Rule 400.2 [*Financial Institution Bond*];

	3. Dealer Member Rule 400.4 [*Amounts Required*]; and 4. Dealer Member Rule 400.5 [*Provisos with respect to Dealer Member Rules 400.2, 400.3 and 400.4*]
section 12.6 [*global bonding or insurance*]	1. Dealer Member Rule 400.7 [*Global Financial Institution Bonds*]
section 12.7 [*notifying the regulator of a change, claim or cancellation*]	1. Dealer Member Rule 17.6; 2. Dealer Member Rule 400.3 [*Notice of Termination*]; and 3. Dealer Member Rule 400.3B [*Termination or Cancellation*]
section 12.10 [*annual financial statements*]	1. Dealer Member Rule 16.2 [*Dealer Member Filing Requirements*]; and 2. Form 1
section 12.11 [*interim financial information*]	1. Dealer Member Rule 16.2 [*Dealer Member Filing Requirements*]; and 2. Form 1
section 12.12 [*delivering financial information – dealer*]	1. Dealer Member Rule 16.2 [*Dealer Member Filing Requirements*]
subsection 13.2(3) [*know your client*]	1. Dealer Member Rule 1300.1(a)-(n) [*Identity and Creditworthiness*]; 2. Dealer Member Rule 1300.2; 3. Dealer Member Rule 2500, Part II [*Opening New Accounts*]; 4. Dealer Member Rule 2700, Part II [*New Account Documentation and Approval*]; and 5. Form 2 *New Client Application Form*
section 13.3 [*suitability*]	1. Dealer Member Rule 1300.1(o) [*Business Conduct*]; 2. Dealer Member Rule 1300.1(p) [*Suitability determination required when accepting order*]; 3. Dealer Member Rule 1300.1(q) [*Suitability determination required when recommendation provided*]; 4. Dealer Member Rule 1300.1(r) [*Suitability determination required for account positions held when certain events occur*]; 5. Dealer Member Rule 1300.1(s) [*Suitability of investments in client accounts*]; 6. Dealer Member Rule 1300.1(t) – (v) [*Exemptions from the suitability assessment requirements*] 7. Dealer Member Rule 1300.1(w) [*Corporation approval*]

	8. Dealer Member Rule 2700, Part I [*Customer Suitability*]; and 9. Dealer Member Rule 3200 [*Minimum requirements for Dealer Members seeking approval under Rule 1300.1(t) to offer an order-execution only service*]
section 13.12 [*restriction on lending to clients*]	1. Dealer Member Rule 17.11; and 2. Dealer Member Rule 100 [*Margin Requirements*]
section 13.13 [*disclosure when recommending the use of borrowed money*]	1. Dealer Member Rule 29.26
section 13.15 [*handling complaints*]	1. Dealer Member Rule 2500, Part VIII [*Client Complaints*]; and 2. Dealer Member Rule 2500B [*Client Complaint Handling*]
subsection 14.2(2) [*relationship disclosure information*]	1. Dealer Member Rule 3500.5 [*Content of relationship disclosure*]
subsection 14.2(3) [*relationship disclosure information*]	1. Dealer Member Rule 3500.4 [*Format of relationship disclosure*]
subsection 14.2(4) [*relationship disclosure information*]	1. Dealer Member Rule 3500.1 [*Objective of relationship disclosure requirements*]
subsection 14.2(5.1) [*relationship disclosure information*]	1. Dealer Member Rule 29.8
subsection 14.2(6) [*relationship disclosure information*]	1. Dealer Member Rule 3500.1 [*Objective of relationship disclosure requirements*]
section 14.2.1 [*pre-trade disclosure of charges*]	1. Dealer Member Rule 29.9
section 14.6 [*holding client assets in trust*]	1. Dealer Member Rule 17.3
section 14.8 [*securities subject to a safekeeping agreement*]	1. Dealer Member Rule 17.2A 2. Dealer Member Rule 2600 – Internal Control Policy Statement 5 [*Safekeeping of Clients' Securities*]
section 14.9 [*securities not*	1. Dealer Member Rule 17.3;

subject to a safekeeping agreement]	2. Dealer Member Rule 17.3A; and 3. Dealer Member Rule 200.1(c)
section 14.11.1 [*determining market value*]	1. Dealer Member Rule 200.1(c); and 2. Definition (g) of the General Notes and Definitions to Form 1
section 14.12 [*content and delivery of trade confirmation*]	1. Dealer Member Rule 200.2(l) [*Trade confirmations*]
section 14.14 [*account statements*]	1. Dealer Member Rule 200.2(d) [*Client account statements*]; and 2. "Guide to Interpretation of Rule 200.2", Item (d)
section 14.14.1 [*additional statements*]	1. Dealer Member Rule 200.2(e) [*Report on client positions held outside of the Dealer Member*]; 2. Dealer Member Rule 200.4 [*Timing of sending documents to clients*]; and 3. "Guide to Interpretation of Rule 200.2", Item (e)
section 14.14.2 [*security position cost information*]	1. Dealer Member Rule 200.1(a); 2. Dealer Member Rule 200.1(b); 3. Dealer Member Rule 200.1(e); 4. Dealer Member Rule 200.2(d)(ii)(F) and (H); and 5. Dealer Member Rule 200.2(e)(ii)(C) and (E)
section 14.17 [*report on charges and other compensation*]	1. Dealer Member Rule 200.2(g) [*Fee/ charge report*]; and 2. "Guide to Interpretation of Rule 200.2", Item (g)
section 14.18 [*investment performance report*]	1. Dealer Member Rule 200.2(f) [*Performance report*]; and 2. "Guide to Interpretation of Rule 200.2", Item (f)
section 14.19 [*content of investment performance report*]	1. Dealer Member Rule 200.2(f) [*Performance report*]; and 2. "Guide to Interpretation of Rule 200.2", Item (f)
section 14.20 [*delivery of report on charges and other compensation and investment performance report*]	1. Dealer Member Rule 200.4 [*Timing of the sending of documents to clients*]

48. Appendix G, as amended by section 47 of this Instrument, is amended by adding the following rows in the format indicated by the shaded area before the row commencing with "section 14.6 [*holding client assets in trust*]***":***

NI 31-103 Provision	IIROC Provision
section 14.5.2 [*restriction on self-custody and qualified custodian requirement*]	1. Dealer Member Rule 17.2A; 2. Dealer Member Rules 17.3, 17.3A, 17.3B and 2000 [*Segregation Requirements*]; 3. Dealer Member Rule 2600 – Internal Control Policy Statement 4 [*Segregation of Clients' Securities*]; 4. Dealer Member Rule 2600 - Internal Control Policy Statement 5 [*Safekeeping of Clients' Securities*]; 5. Dealer Member Rule 2600 - Internal Control Policy Statement 6 [*Safeguarding of Securities and Cash*]; and 6. Definition of "acceptable securities locations", General Notes and Definitions to Form 1
section 14.5.3 [*cash and securities held by a qualified custodian*]	1. Dealer Member Rule 200 [*Minimum Records*]

49. Appendix G, as amended by section 47 of this Instrument, is amended by replacing "section 14.6 [*holding client assets in trust*]***" with "***section 14.6 [*client and investment fund assets held by a registered firm in trust*]***".***

50. Appendix G, as amended by section 47 of this Instrument, is amended by adding the following rows in the format indicated by the shaded area after the row commencing with "section 14.6 [*holding client assets in trust*]***":***

NI 31-103 Provision	IIROC Provision
section 14.6.1 [*custodial provisions relating to certain margin or security interests*]	1. Dealer Member Rules 17.2, 17.2A, 17.3, 17.3A, 17.3B, 17.11 and 2000 [*Segregation Requirements*]; 2. Dealer Member Rule 100 [*Margin Requirements*]; 3. Dealer Member Rule 2200 [*Cash and Securities Loan Transactions*]; 4. Dealer Member Rule 2600 – Internal Control Policy Statement 4 [*Segregation of Clients' Securities*]; 5. Dealer Member Rule 2600 - Internal Control Policy Statement 5 [*Safekeeping of Clients'*

NI 31-103 Provision	IIROC Provision
	Securities]; 6. Dealer Member Rule 2600 - Internal Control Policy Statement 6 [*Safeguarding of Securities and Cash*]; and 7. Definition of "acceptable securities locations", "acceptable institutions", "acceptable counterparties", "regulated entities", General Notes and Definitions to Form 1
section 14.6.2 [*custodial provisions relating to short sales*]	1. Dealer Member Rule 100 [*Margin Requirements*]; 2. Dealer Member Rule 2200 [*Cash and Securities Loan Transactions*]; 3. Dealer Member Rule 2600 - Internal Control Policy Statement 6 [*Safeguarding of Securities and Cash*]; and 4. Definition of "acceptable securities locations", "acceptable institutions", "acceptable counterparties", "regulated entities", General Notes and Definitions to Form 1

51. Appendix G, as amended by section 47 of this Instrument, is amended by repealing the rows commencing with "section 14.8 [*securities subject to a safekeeping agreement*]***" and "***section 14.9 [*securities not subject to a safekeeping agreement*]***".***

52. Appendix H is replaced with the following:

APPENDIX H

EXEMPTIONS FROM CERTAIN REQUIREMENTS FOR MFDA MEMBERS

(Section 9.4 [*exemptions from certain requirements for MFDA members*])

NI 31-103 Provision	MFDA Provision
section 12.1 [*capital requirements*]	1. Rule 3.1.1 [*Minimum Levels*]; 2. Rule 3.1.2 [*Notice*]; 3. Rule 3.2.2 [*Member Capital*]; 4. Form 1; and 5. Policy No. 4 [*Internal Control Policy Statements – Policy Statement 2: Capital Adequacy*]
section 12.2 [*subordination agreement*]	1. Form 1, Statement F [*Statement of Changes in Subordinated Loans*]; and 2. Membership Application Package – Schedule I

	(Subordinated Loan Agreement)
section 12.3 [*insurance – dealer*]	1. Rule 4.1 [*Financial Institution Bond*]; 2. Rule 4.4 [*Amounts Required*]; 3. Rule 4.5 [*Provisos*]; 4. Rule 4.6 [*Qualified Carriers*]; and 5. Policy No. 4 [*Internal Control Policy Statements – Policy Statement 3: Insurance*]
section 12.6 [*global bonding or insurance*]	1. Rule 4.7 [*Global Financial Institution Bonds*]
section 12.7 [*notifying the regulator of a change, claim or cancellation*]	1. Rule 4.2 [*Notice of Termination*]; and 2. Rule 4.3 [*Termination or Cancellation*]
section 12.10 [*annual financial statements*]	1. Rule 3.5.1 [*Monthly and Annual*]; 2. Rule 3.5.2 [*Combined Financial Statements*]; and 3. Form 1
section 12.11 [*interim financial information*]	1. Rule 3.5.1 [*Monthly and Annual*]; 2. Rule 3.5.2 [*Combined Financial Statements*]; and 3. Form 1
section 12.12 [*delivering financial information – dealer*]	1. Rule 3.5.1 [*Monthly and Annual*]
section 13.3 [*suitability*]	1. Rule 2.2.1 [*"Know-Your-Client"*]; and 2. Policy No. 2 [*Minimum Standards for Account Supervision*]
section 13.12 [*restriction on lending to clients*]	1. Rule 3.2.1 [*Client Lending and Margin*]; and 2. Rule 3.2.3 [*Advancing Mutual Fund Redemption Proceeds*]
section 13.13 [*disclosure when recommending the use of borrowed money*]	1. Rule 2.6 [*Borrowing for Securities Purchases*]
section 13.15 [*handling complaints*]	1. Rule 2.11 [*Complaints*] 2. Policy No. 3 [*Complaint Handling, Supervisory Investigations and Internal Discipline*]; and 3. Policy No. 6 [*Information Reporting Requirements*]
subsections 14.2(2), (3) and (5.1) [*relationship disclosure information*]	1. Rule 2.2.5 [*Relationship Disclosure*]; and 2. Rule 2.4.3 [*Operating Charges*]

section 14.2.1 [*pre-trade disclosure of charges*]	1. Rule 2.4.4 [*Transaction Fees or Charges*]
section 14.6 [*holding client assets in trust*]	1. Rule 3.3.1 [*General*]; 2. Rule 3.3.2 [*Cash*]; and 3. Policy No. 4 [*Internal Control Policy Statements – Policy Statement 4: Cash and Securities, and Policy Statement 5: Segregation of Clients' Securities*]
section 14.8 [*securities subject to a safekeeping agreement*]	1. Rule 3.3.3 [*Securities*]; and 2. Policy No. 4 [*Internal Control Policy Statements – Policy Statement 4: Cash and Securities, and Policy Statement 5: Segregation of Clients' Securities*]
section 14.9 [*securities not subject to a safekeeping agreement*]	1. Rule 3.3.3 *[Securities]*
section 14.11.1 [*determining market value*]	1. Rule 5.3(1)(f) [*definition of "market value*"]; and 2. Definitions to Form 1 [*definition of "market value" of a security*]
section 14.12 [*content and delivery of trade confirmation*]	1. Rule 5.4.1 [*Delivery of Confirmations*]; 2. Rule 5.4.2 [*Automatic Plans*]; and 3. Rule 5.4.3 [*Content*]
section 14.14 [*account statements*]	1. Rule 5.3.1 [*Delivery of Account Statement*]; and 2. Rule 5.3.2 [*Content of Account Statement*]
section 14.14.1 [*additional statements*]	1. Rule 5.3.1 [*Delivery of Account Statement*]; and 2. Rule 5.3.2 [*Content of Account Statement*]
section 14.14.2 [*security position cost information*]	1. Rule 5.3(1)(a) [*definition of "book cost*"]; 2. Rule 5.3(1)(c) [*definition of "cost*"]; and 3. Rule 5.3.2(c) [*Content of Account Statement – Market Value and Cost Reporting*]
section 14.17 [*report on charges and other compensation*]	1. Rule 5.3.3 [*Report on Charges and Other Compensation*]
section 14.18 [*investment performance report*]	1. Rule 5.3.4 [*Performance Report*]; and 2. Policy No. 7 *Performance Reporting*
section 14.19 [*content of investment performance report*]	1. Rule 5.3.4 [*Performance Report*]; and 2. Policy No. 7 *Performance Reporting*
section 14.20 [*delivery of report on charges and other*	1. Rule 5.3.5 [*Delivery of Report on Charges and Other Compensation and Performance Report*]

compensation and investment performance report]	

53. Appendix H, as amended by section 52 of this Instrument, is amended by adding the following rows in the format indicated by the shaded area before the row commencing with "section 14.6 [*holding client assets in trust*]***":***

NI 31-103 Provision	MFDA Provision
section 14.5.2 [*restriction on self-custody and qualified custodian requirement*]	1. Rule 3.3.1 [*General*]; 2. Rule 3.3.2 [*Cash*]; 3. Rule 3.3.3 [*Securities*]; and 4. Policy No. 4 [*Internal Control Policy Statements – Policy Statement 4: Cash and Securities, and Policy Statement 5: Segregation of Clients' Securities*]
section 14.5.3 [*cash* and *securities held by a qualified custodian*]	1. Policy No. 4 [*Internal Control Policy Statements – Policy Statement 4: Cash and Securities, and Policy Statement 5: Segregation of Clients' Securities*]

54. Appendix H, as amended by section 52 of this Instrument, is amended by replacing the row commencing with "section 14.6 [*holding client assets in trust*]***" with the following row in the format indicated by the shaded area:***

NI 31-103 Provision	MFDA Provision
section 14.6 [*client and investment fund assets held by a registered firm in trust*]	1. Rule 3.3.1 [*General*]; 2. Rule 3.3.2 [*Cash*]; 3. Rule 3.3.3 [*Securities*]; and 4. Policy No. 4 [*Internal Control Policy Statements – Policy Statement 4: Cash and Securities, and Policy Statement 5: Segregation of Clients' Securities*]

55. Appendix H, as amended by section 52 of this Instrument, is amended by adding the following rows in the format indicated by the shaded area after the row commencing with "section 14.6 [*holding client assets in trust*]***":***

NI 31-103 Provision	MFDA Provision
section 14.6.1 [*custodial provisions relating to certain margin or security interests*]	1. Rule 3.2.1 [*Client Lending and Margin*]

NI 31-103 Provision	MFDA Provision
section 14.6.2 [*custodial provisions relating to short sales*]	1. Rule 3.2.1 [*Client Lending and Margin*]

56. Appendix H, as amended by section 52 of this Instrument, is amended by repealing the rows commencing with "section 14.8 [*securities subject to a safekeeping agreement*]***" and "***section 14.9 [*securities not subject to a safekeeping agreement*]***".***

57. (1) Subject to subsection (2), this Instrument comes into force on December 4, 2017.

(2) The following provisions of this Instrument come into force on June 4, 2018:

(a) section 2;

(b) section 4;

(c) paragraphs 14(d), (e), (f) and (g);

(d) paragraphs 16(d), (e), (f) and (g);

(e) paragraphs 18(d), (e), (f) and (g);

(f) paragraphs 20(d), (e), (f) and (g);

(g) section 26;

(h) paragraph 28(b);

(i) sections 29 to 34, 48 to 51 and 53 to 56.

Alberta Securities Commission

AMENDMENTS TO NATIONAL INSTRUMENT 31-103 *REGISTRATION REQUIREMENTS, EXEMPTIONS AND ONGOING REGISTRANT OBLIGATIONS*

(Securities Act)

Made as a rule by the Alberta Securities Commission on July 12, 2017 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 31-103 *REGISTRATION REQUIREMENTS, EXEMPTIONS AND ONGOING REGISTRANT OBLIGATIONS*

1. National Instrument 31-103 Registration Requirements, Exemptions and Ongoing Registrant Obligations is amended by this Instrument.

***2. Subsection 2.2(1)(e) is amended by replacing** "Dealing with clients – individuals and firms" **with** "[Dealing with clients – individuals and firms]".*

3. Section 3.1 is amended by replacing " Chief Compliance Officers Qualifying Exam" ***" with*** " "Chief Compliance Officers Qualifying Exam" ***".***

4. Subsection 3.14(b)(iii) is amended by replacing "." with ";".

***5. Subsection 8.30(d) is amended by replacing** "Dealing with clients – individuals and firms" **with** "[Dealing with clients – individuals and firms]" **and by replacing** "Handling client accounts – firms" **with** "[Handling client accounts – firms]".*

***6. Subsection 11.3(2) is amended by replacing** "Registration requirements – individuals" **with** "[Registration requirements – individuals]".*

7. Section 13.8 is amended by replacing paragraph (a) with the following:

(a) before a client is referred by or to the registrant, the terms of the referral arrangement are set out in the written agreement between the registered firm and the person or company, **.**

8. Subsection 13.13(2)(a) is amended by replacing "," with ";".

9. Subsection 14.12(6) is amended by replacing "on" ***after*** "made" ***with*** "in"***.***

10. Paragraph (e)(i) of Schedule 1 of Form 31-103F1 Calculation of Excess Working Capital is amended by replacing "per shares" ***with*** "per share"***.***

11. This Instrument comes into force on December 4, 2017.

Alberta Securities Commission

AMENDMENTS TO NATIONAL INSTRUMENT 33-109 *REGISTRATION INFORMATION*

(Securities Act)

Made as a rule by the Alberta Securities Commission on July 12, 2017 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 33-109 *REGISTRATION INFORMATION*

1. National Instrument 33-109 Registration Information is amended by this Instrument.

2. Subparagraph 2.3(2)(c)(i) is amended by replacing "Item 13.3(c)***" with "***Item 13.3(a)***".***

3. Subsection 7.1 (3) is amended by adding "Alberta and***" before "***Ontario***".***

4. Schedule B to Form 33-109F2 is amended

(a) under the heading "New Brunswick" by replacing "Director of Securities***" with "***Registration***",***

(b) under the heading "Nunavut" by replacing "Deputy Registrar of Securities***" with "***Superintendent of Securities***", and***

(c) under the heading "Prince Edward Island" by replacing "Deputy Registrar of Securities***" with "***Superintendent of Securities***".***

5. Schedule A to Form 33-109F3 is amended

(a) under the heading "New Brunswick" by replacing "Director of Securities***" with "***Registration***",***

(b) under the heading "Nunavut" by replacing "Deputy Registrar of Securities***" with "***Superintendent of Securities***", and***

(c) under the heading "Prince Edward Island" by replacing "Deputy Registrar of Securities***" with "***Superintendent of Securities***".***

6. Form 33-109F4 is amended

(a) in the "General Instructions" by replacing "regulator(s) or in Québec,***" with "***regulator(s) or, in Québec,***", and***

(b) in Item 22, under the heading "Individual" and under the heading "Authorized partner or officer of the firm", by replacing "regulator, or in Québec***" with "***regulator or, in Québec,***".***

7. Schedule C to Form 33-109F4 is amended under the first instance of the heading "Individual categories and permitted activities" by replacing "permitted individual***" with "***Permitted Individual as described in paragraph (c) of the definition of "permitted individual" in section 1.1 of National Instrument 33-109 *Registration Information*".***

8. Schedule O to Form 33-109F4 is amended

(a) under the heading "New Brunswick" by replacing "Director of Securities***" with "***Registration***",***

(b) under the heading "Nunavut" by replacing "Deputy Registrar of Securities***" with "***Superintendent of Securities***", and***

(c) under the heading "Prince Edward Island" by replacing "Deputy Registrar of Securities***" with "***Superintendent of Securities***".***

9. Schedule A to Form 33-109F5 is amended

(a) under the heading "New Brunswick" by replacing "Director of Securities***" with "***Registration***",***

(b) under the heading "Nunavut" by replacing "Deputy Registrar of Securities***" with "***Superintendent of Securities***", and***

(c) under the heading "Prince Edward Island" by replacing "Deputy Registrar of Securities***" with "***Superintendent of Securities***".***

10. Section 4.2 of Form 33-109F6 is amended by adding "(other than those exemptions with respect to which the firm has already notified the securities regulator or, in Québec, the securities regulatory authority in accordance with the applicable exemption)***" after "***trade or advise in securities or derivatives***".***

11. Schedule A to Form 33-109F6 is amended

(a) under the heading "New Brunswick" by replacing "Director of Securities***" with "***Registration***",***

(b) under the heading "Nunavut" by replacing "Deputy Registrar of Securities***" with "***Superintendent of Securities***", and***

(c) under the heading "Prince Edward Island" by replacing "Deputy Registrar of Securities***" with "***Superintendent of Securities***".***

12. Schedule C to Form 33-109F6 is amended

(a) in the column entitled "Component" in Line 10 of the table by adding "or, in Québec, for a firm registered only in that jurisdiction and solely in the category of mutual fund dealer, less the deductible under the liability insurance required under section 193 of the Québec Securities Regulation***" after "***National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations****",***

(b) in subparagraph (a)(i) of Schedule 1 by replacing "Aaa or AAA by Moody's Canada Inc. or its DRO affiliate, or Standard & Poor's Rating Services (Canada) or its DRO affiliate, respectively***" with "***Aaa or AAA, or the short-term ratings equivalent of either of those ratings, by a designated rating organization or its DRO affiliate***", and***

(c) in paragraph (d) of Schedule 1 by replacing "Investment Companies Act of 1940***" with "***Investment Company Act of 1940***".***

13. Form 33-109F7 is amended

(a) in the "General Instructions" by replacing "regulator(s) or in Québec,***" with "***regulator(s) or, in Québec,***",***

(b) in section 2 of the "General Instructions" and in section 1 of Item 9 by replacing "Item 13.3(c)***" with "***Item 13.3(a)***", and***

(c) ***in Item 12 under the heading "Individual" and under the heading "Authorized partner or officer of the new sponsoring firm", by replacing "***regulator, or in Québec***" with "***regulator or, in Québec,***".***

14. ***Schedule B to Form 33-109F7 is amended under the heading "Individual categories and permitted activities" by adding "***as described in paragraph (c) of the definition of "permitted individual" in section 1.1 of National Instrument 33-109 *Registration Information**" after "***Permitted Individual***".***

15. ***Schedule F to Form 33-109F7 is amended***

(a) ***under the heading "New Brunswick" by replacing "***Director of Securities***" with "***Registration***",***

(b) ***under the heading "Nunavut" by replacing "***Deputy Registrar of Securities***" with "***Superintendent of Securities***", and***

(c) ***under the heading "Prince Edward Island" by replacing "***Deputy Registrar of Securities***" with "***Superintendent of Securities***".***

Coming into force

16. This Instrument comes into force on December 4, 2017.

Alberta Securities Commission

MULTILATERAL INSTRUMENT 91-102
PROHIBITION OF BINARY OPTIONS

(Securities Act)

Made as a rule by the Alberta Securities Commission on September 13, 2017 pursuant to sections 223 and 224 of the Securities Act.

MULTILATERAL INSTRUMENT 91-102
PROHIBITION OF BINARY OPTIONS

Definition

1. In this Instrument, "binary option" means a contract or instrument that provides for only

(a) a predetermined fixed amount if the underlying interest referenced in the contract or instrument meets one or more predetermined conditions, and

(b) zero or another predetermined fixed amount if the underlying interest referenced in the contract or instrument does not meet one or more predetermined conditions.

Trading binary options with an individual prohibited

2. No person or company may advertise, offer, sell or otherwise trade a binary option with or to an individual.

Trading binary options with a person or company other than an individual prohibited

3. No person or company may advertise, offer, sell or otherwise trade a binary option with or to a person or company that was created, or is used, solely to trade a binary option.

Binary options having a term to maturity of 30 days or longer

4. Sections 2 and 3 do not apply in respect of a binary option having a term to maturity of 30 days or longer.

Exemption – general

5. (1) Except in Québec, the regulator or the securities regulatory authority may grant an exemption from this Instrument, in whole or in part, subject to such conditions or restrictions as may be imposed in the exemption.

(2) Despite subsection (1), in Ontario, only the regulator may grant an exemption.

(3) Except in Alberta, Ontario and Saskatchewan, an exemption referred to in subsection (1) is granted under the statute referred to in Appendix B of National Instrument 14-101 *Definitions* opposite the name of the local jurisdiction.

Effective date

6. (1) This Instrument comes into force on December 12, 2017.

(2) In Saskatchewan, despite subsection (1), if these regulations are filed with the Registrar of Regulations after December 12, 2017, these regulations come into force on the day on which they are filed with the Registrar of Regulations.

ADVERTISEMENTS

Notice of Certificate of Intent to Dissolve

(Business Corporations Act)

Notice is hereby given that a Certificate of Intent to Dissolve was issued to **WILLISTON MINI STORAGE CDN GP LTD.** on November 13, 2017.

Dated at Calgary, Alberta on November 13, 2017.

Stephanie P. Autio, *Solicitor.*

Law Society of Alberta

Election of Benchers - November 15, 2017

(Legal Profession Act)

Ryan Anderson	Jim Lutz
Rob Armstrong	Bud Melnyk
Arman Chak	Walter Pavlic
Sandra Corbett	Stacy Petriuk
Donald Cranston	Robert Philp
Nancy Dilts	Kathleen Ryan
Corie Flett	Darlene Scott
Karen Hewitt	Kent Teskey
Cal Johnson	Margaret Unsworth
Linda Long	Nate Whitling

Public Sale of Land

(Municipal Government Act)

Westlock County

Notice is hereby given that under the provisions of the Municipal Government Act, Westlock County will offer for sale, by public auction, at the Westlock County Office in Westlock, Alberta, on Thurday, January 18, 2018, at 2:00 p.m., the following lands:

FAWCETT

Legal Description	**C of T**
NW 16-64-1-5	072560259

JARVIE

Legal Description	**C of T**
Lot 1, Block 6, Plan 1308CL	112274730

VIMY

Legal Description	**C of T**
Lot 9-10, Block 3, Plan 2431CL	042525670

RURAL

Legal Description	**C of T**
Lot 10, Block 3, Plan 7923236	072401321
Lot 1, Block 1, Plan 1125793	122303151
SW 30-64-1-5	782236717

Each parcel will be offered for sale subject to a reserve bid and to the reservations and conditions contained in the existing Certificate of Title.

Westlock County may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Westlock, Alberta, November 14, 2017.

Leo Ludwig, *County Administrator*.

Town of Sylvan Lake

Notice is hereby given that, under the provisions of the Municipal Government Act, The Town of Sylvan Lake will offer for sale, by public auction, at Municipal Government Building, 5012 48 Avenue in the Council Chambers, Sylvan Lake, Alberta, on Friday, February 9, 2018, at 10:00 a.m., the following lands:

Lot	**Block**	**Plan**	**C of T**	**Address**
39	3	072-8934	092020543	51 REGATTA WAY

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an “as is, where is” basis, and the Town of Sylvan Lake makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the Purchaser.

The Town of Sylvan Lake may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Payment in Cash, Bank Draft or Certified Cheque. 10% deposit and balance within 30 days of date of Public Auction. GST may apply

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Sylvan Lake, Alberta, November 15, 2017.

Betty Osmond, *Chief Administrative Officer*.

Village of Cereal

Notice is hereby given that, under the provisions of the Municipal Government Act, the Village of Cereal will offer for sale, by public auction, at the Cereal Municipal Office, 415 Main Street, Cereal, Alberta, on Wednesday, January 10, 2018, at 10:00 a.m., the following lands:

Lot	Block	Plan	Title Number
19	4	2686BC	101262999
22	4	2686BC	861079257
3	5	7610901	071261572
	2	8111801	901192882

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The Village of Cereal may, after the public auction, become the owner of any parcel of land not sold at the public auction.

The lands with or without improvements are being offered for sale on an "as is, where is" basis, and the Village of Cereal makes no representation and gives no warranty as to the adequacy of services, soil conditions, building and development conditions, absence or presence of environmental contamination, or the ability to develop the subject land for any intended use by the Purchaser. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel.

Terms: Cash or Certified Cheque

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Cereal, Alberta, November 15, 2017.

MaryAnn Salik, *Municipal Administrator*.

NOTICE TO ADVERTISERS

The Alberta Gazette is issued twice monthly, on the 15th and last day.

Notices and advertisements must be received ten full working days before the date of the issue in which the notices are to appear. Submissions received after that date will appear in the next regular issue.

Notices and advertisements should be typed or written legibly and on a sheet separate from the covering letter. An electronic submission by email or disk is preferred. Email submissions may be sent to the Editor of The Alberta Gazette at albertagazette@gov.ab.ca. The number of insertions required should be specified and the names of all signing officers typed or printed. Please include name and complete contact information of the individual submitting the notice or advertisement.

Proof of Publication: Statutory Declaration is available upon request.

A copy of the page containing the notice or advertisement will be emailed to each advertiser without charge.

The dates for publication of Tax Sale Notices in The Alberta Gazette are as follows:

Issue of	*Earliest date on which sale may be held*
December 15	January 25
December 30	February 9
January 15	February 25
January 31	March 13
February 15	March 28
February 28	April 10
March 15	April 25
March 31	May 11
April 14	May 25
April 30	June 10
May 15	June 25
May 31	July 11

The charges to be paid for the publication of notices, advertisements and documents in The Alberta Gazette are:

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