The Alberta Gazette

Part I

Vol. 121	Edmonton, Monday, September 15, 2025	No. 17

PROCLAMATION

[GREAT SEAL]
CANADA
PROVINCE OF ALBERTA Salma Lakhani, *Lieutenant Governor.*

CHARLES THE THIRD, by the Grace of God King of Canada and His other Realms and Territories, Head of the Commonwealth

P R O C L A M A T I O N

To all to Whom these Presents shall come

G R E E T I N G

Tracy Wyrstiuk, *Acting Deputy Attorney General*

WHEREAS section 59 of the Health Statutes Amendment Act, 2025 provides that that Act, except sections 4(1) to (3) and (5)(b), 27(1) and (5) to (11), 29, 37(1), (2)(c) and (3)(b), 43(1) and (3), 45(1), (8), (13)(a) to (d), (29)(c)(iii), (40)(c), (42)(a)(iv)(A) and (D) and (v), (43)(m) and 54, comes into force on Proclamation; and

WHEREAS section 45(2)(g)(i) of the Health Statutes Amendment Act, 2025 was proclaimed in force on June 4, 2025; and

WHEREAS section 47(1), (2)(a), (c), (d), (f), (g) and (i), (3), (4)(a) to (c), (6), (7)(a), (8) to (15), (17) to (25), (26)(a), (b) and (d), (27), (31) and (32)(b) to (d) of the Health Statutes Amendment Act, 2025 was proclaimed in force on July 1, 2025; and

WHEREAS section 25(1), (2)(b)(viii) and (ix), (c) and (d) and (3) to (10) of the Health Statutes Amendment Act, 2025 was proclaimed in force on July 23, 2025; and

WHEREAS it is expedient to proclaim certain provisions of the Health Statutes Amendment Act, 2025 in force:

NOW KNOW YE THAT by and with the advice and consent of Our Executive Council of Our Province of Alberta, by virtue of the provisions of the said Act hereinbefore referred to and of all other power and authority whatsoever in Us vested in that behalf, We have ordered and declared and do hereby proclaim sections 1(1) and (2)(c), 2(1), (2)(a)(ii) and (b) and (3)(b), 3(1) and (5)(b), 4(4)(a), 5(1) and (2)(b), 6(1) and (2)(b), 7(1), (2)(a)(i) and (b) and (3), 8(1), (2)(b) and (3)(b), 10(1), (2)(b), (3)(b) and (4)(a), 12(1) and (2)(a)(ii), 13(1), (2)(a) and (b)(i), (3) and (4), 16(1) and (2)(b), 17(1), (2)(a) and (d), (3)(a), (4)(a), (5)(a), (6), (8), (10)(a) and (c), (11)(a), (12)(a), (13)(a), (14)(a), (15)(a), (16), (17)(a) and (18)(a), (c) and (e), 18(1) and (3), 21(1), (2)(b) and (3), 23(1) and (2)(b), 24(1) and (2)(a), 25(2)(b)(iii) and (v), 27(2), (14)(b) and (15)(b), 30(1), (5) and (9)(d), 31(1), (2)(a) and (c) and (3)(a), 33(1) and (2), 37(5)(b) and (d), 39(1) and (4)(b), 45(16), 46(1) and (2)(b), 48(1) and (2)(b)(ii), 49(1) and (2)(b), 51(1) and (2)(b), 55(1), (2)(b) and (3)(a) and 56(1) and (4) of the Health Statutes Amendment Act, 2025 in force on the date of issue of this Proclamation.

IN TESTIMONY WHEREOF We have caused these Our Letters to be made Patent and the Great Seal of Our Province of Alberta to be hereunto affixed.

WITNESS: THE HONOURABLE SALMA LAKHANI, Lieutenant Governor of Our Province of Alberta, this 27th day of August in the Year of Our Lord Two Thousand Twenty-five and in the Third Year of Our Reign.

BY COMMAND Mickey Amery, K.C., *Provincial Secretary.*

APPOINTMENTS

Appointment of Supernumerary Justice of the Court of Justice

(Court of Justice Act)

September 7, 2025
Honourable Justice Mary Jeanne Burch

For a term to expire September 6, 2027.

Reappointment of Part-time Justice of the Court of Justice

(Court of Justice Act)

August 23, 2025
Honourable Justice Gordon William Sharek

For a term to expire August 22, 2026.

September 3, 2025
Honourable Justice Terrence Joseph Matchett

For a term to expire September 2, 2026.

GOVERNMENT NOTICES

Affordability and Utilities

Hosting Expenses Exceeding $600.00
For the period April 1, 2025 to July 31, 2025

Function: Affordability and Utilities Stampede Reception
Purpose: The event gave the Minister and senior department officials an opportunity to build connections and strengthen relationships with both international and domestic stakeholders. To support this engagement, the Ministry of Affordability and Utilities welcomed 150 guests and served light refreshments.
Date: July 4, 2025
Amount: $7,009.20
Location: Calgary, Alberta

Agriculture and Irrigation

Form 15

(Irrigation Districts Act)
(Section 88)

Notice to Irrigation Secretariat:
Change of Area of an Irrigation District

On behalf of the **Western Irrigation District**, I hereby request that the Irrigation Secretariat forward a certified copy of this notice to the Registrar of Land Titles for the purposes of registration under section 22 of the *Land Titles Act* and arrange for notice to be published in the Alberta Gazette.

The following parcels of land should be **added** to the irrigation district and the appropriate notation added to the certificate of title:

LINC Number	Short Legal Description as shown on title	Title Number
0014 562 673	4;24;26;18;SW	991 079 088+8
0021 891 791	4;25;22;29;SW	181 089 523

I certify the procedures required under part 4 of the *Irrigation Districts Act* have been completed and the area of the **Western Irrigation District** should be changed according to the above list.

Rebecca Fast, *Office Administrator,*
Irrigation Secretariat.

Assisted Living and Social Services

Office of the Public Guardian and Trustee
Property being held by the Public Trustee for a period of Ten (10) Years

(Public Trustee Act)
Section 11(2)(b)

Name of Person Entitled to Property	Description of Property held and its value or estimated value	Property part of deceased person's **Estate or** held under **Court Order:** Deceased's Name Judicial District Court file number	Public Trustee Office Additional Information
Jitka Rozsypalova	$48,565.62	Anton J Rozsypal (file 110,820-004 Bishop & Mckenzie LLP) SE03 164703 Edmonton	E182147
Josef Rozsypal	$48,565.62	Anton J Rozsypal (file 110,820-004 Bishop& Mckenzie LLP) SE03 164703 Edmonton	E182148

Office of the Public Guardian and Trustee
Property being held by the Public Trustee for a period of Ten (10) Years

(Public Trustee Act)
Section 11(2)(b)

Erratum

The notice which was published in the July 15, 2021 issue of the Alberta Gazette needs to be voided as the name of the estate has changed in 2025:

Name of Person Entitled to Property	Description of Property held and its value or estimated value	Property part of deceased person's **Estate or** held under **Court Order:** Deceased's Name Judicial District Court file number	Public Trustee Office Additional Information
Unknown	$552,557.81	Estate of Cecillia Roena Rodgers SES03 130854 (file 152766) Edmonton	-

Energy and Minerals

Declaration of Withdrawal from Unit Agreement

(Petroleum and Natural Gas Tenure Regulations)

The Minister of Energy and Minerals on behalf of the Crown in Right of Alberta hereby declares and states that the Crown has withdrawn as a party to the agreement entitled "Joarcam Viking Gas Cap Unit" effective June 1, 2025.

Stacey Szeto, *for Minister of Energy and Minerals.*

Production Allocation Unit Agreement

(Mines and Minerals Act)

Notice is hereby given, pursuant to section 102 of the Mines and Minerals Act, that the Minister of Energy and Minerals on behalf of the Crown has executed counterparts of the agreement entitled "Production Allocation Unit Agreement – Garrington Glauconitic Agreement No. 11" and that the Unit became effective on May 1, 2024.

EXHIBIT "A" - PART I

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
“GARRINGTON GLAUCONITIC AGREEMENT NO. 11”

Tract No.	Land Description (M R T: Sec)	Lease Number	Royalty Interest Owner	Share of Royalty Interest (%)	Tract Participation (%)	Working Interest Owner	Share of Working Interest (%)	Share of Tract Participation (%)
1	5-03-033: 31SE		ExxonMobil Canada Resources Company	100%	22.4834%	Whitecap Resources Inc.	100%	22.4834%
2	5-03-033: 31NE		ExxonMobil Canada Resources Company	100%	27.0634%	Whitecap Resources Inc.	100%	27.0634%
3	5-03-034: 6SE	0403040263	Crown	100%	27.1954%	Whitecap Resources Inc.	100%	27.1954%
4	5-03-034: 6NE	29910	Crown	100%	23.2578%	Whitecap Resources Inc.	100%	23.2578%

Working Interest Owners:

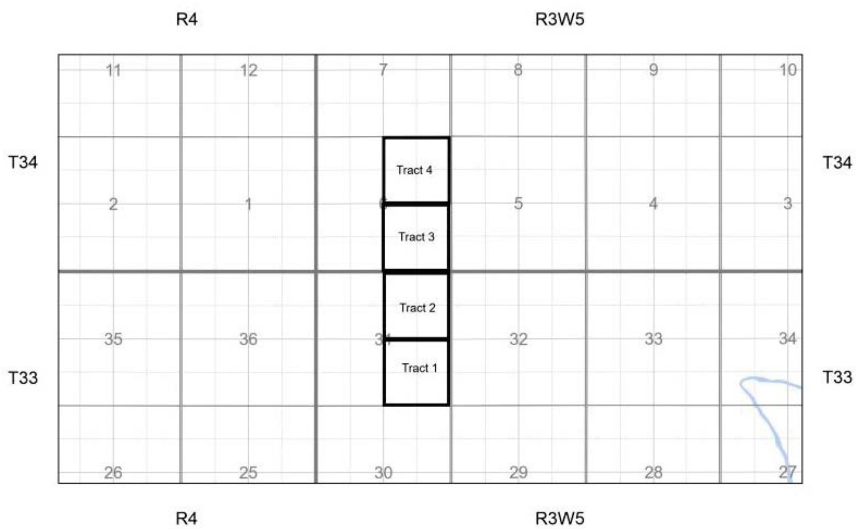
Whitecap Resources Inc. 100%

Effective as of the Effective Date

Note: The Crown is not responsible for the information provided by the Royalty Interest Owners who have an absolute ownership interest, or a fee simple or similar ownership estate in the Production Allocation Substances in the Production Allocation Zone.

EXHIBIT "B"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
"GARRINGTON GLAUCONITIC AGREEMENT NO. 11"

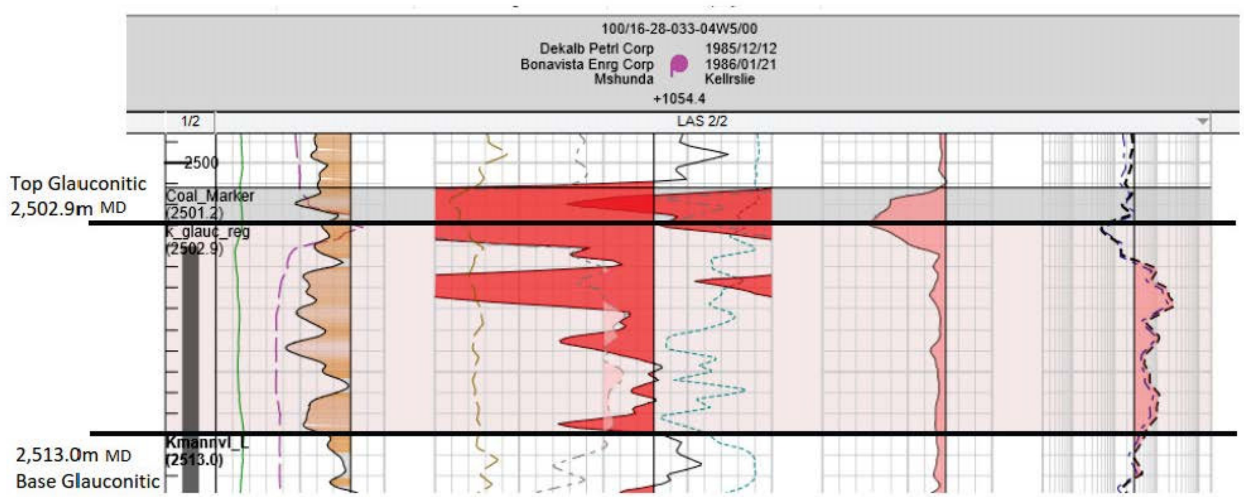


Effective as of the Effective Date

EXHIBIT "C"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
"GARRINGTON GLAUCONITIC AGREEMENT NO. 11"

A portion of the Density-Neutron and Induction log recorded at the well 100/16-28-033-04W5/00 located in LSD 16.



Notice is hereby given, pursuant to section 102 of the Mines and Minerals Act, that the Minister of Energy and Minerals on behalf of the Crown has executed counterparts of the agreement entitled “Production Allocation Unit Agreement – Wildmere General Petroleum Agreement No. 3” and that the Unit became effective on November 1, 2022.

Exhibit "A" - Part I
ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED "WILDMERE GENERAL PETROLEUM AGREEMENT NO.3"

Tract No.	Land Description (M R T: Sec)	Lease Number	Royalty Interest Owner	Share of Royalty Interest (%)	Tract Participation (%)	Working Interest Owner	Share of Working Interest (%)	Share of Tract Participation (%)
1	4-06-048: 26L2		CNRL Teine Energy Ltd.	90.4375 9.5625	17.3899	CNRL Teine Energy Ltd.	92.83 7.17	16.143 1.2469
2	4-06-048: 26L7		CNRL Teine Energy Ltd.	90.4375 9.5625	26.9946	CNRL Teine Energy Ltd.	92.83 7.17	25.059 1.9356
3	4-06-048:26L6		CNRL Teine Energy Ltd.	90.4375 9.5625	5.9266	CNRL Teine Energy Ltd.	92.83 7.17	5.5017 0.4249
4	4-06-048:26L11		CNRL Teine Energy Ltd.	90.4375 9.5625	6.6152	CNRL Teine Energy Ltd.	92.83 7.17	6.1409 0.4743
5	4-06-48: 26L14		CNRL Teine Energy Ltd.	90.4375 9.5625	1.7008	CNRL Teine Energy Ltd.	92.83 7.17	1.5789 0.1219
6	4-06-048: 26L10	0410120044	Crown	100	26.4842	CNRL Teine Energy Ltd.	92.83 7.17	24.5853 1.8989
7	4-06-048: 26L15	0403010210	Crown	100	14.8887	CNRL Teine Energy Ltd.	92.83% 7.17%	13.8212 1.0675

Working Interest Owners:

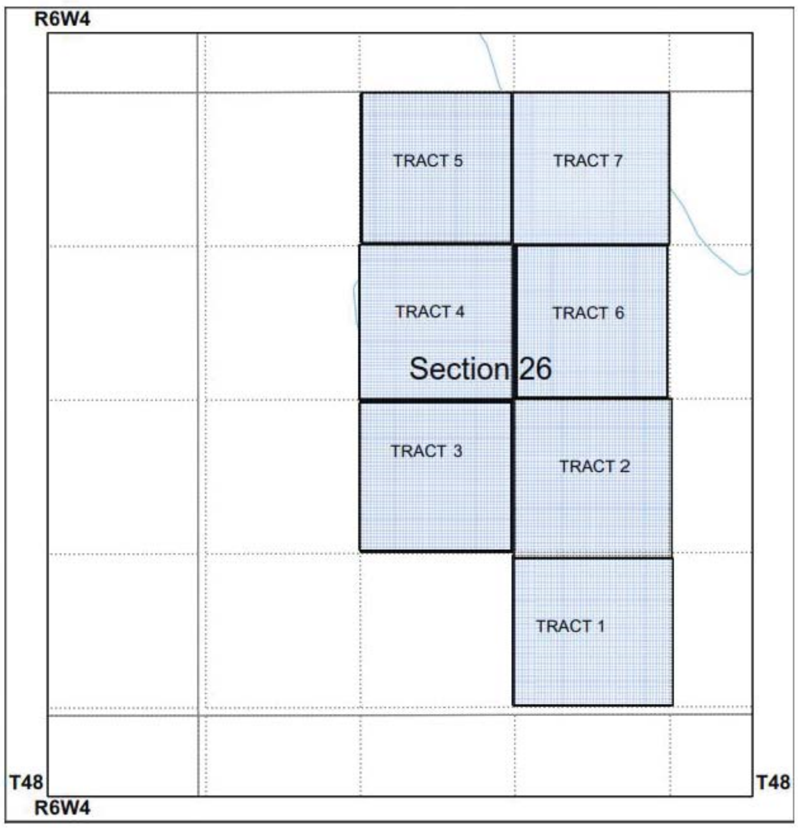
Canadian Natural Resources Limited	92.83%
Teine Energy Ltd.	7.17%

Effective as of the Effective Date

Note: The Crown is not responsible for the information provided by the Royalty Interest Owners who have an absolute ownership interest, or a fee simple or similar ownership estate in the Production Allocation Substances in the Production Allocation Zone

EXHIBIT "B"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
"WILDMERE GENERAL PETROLEUM AGREEMENT NO. 3"

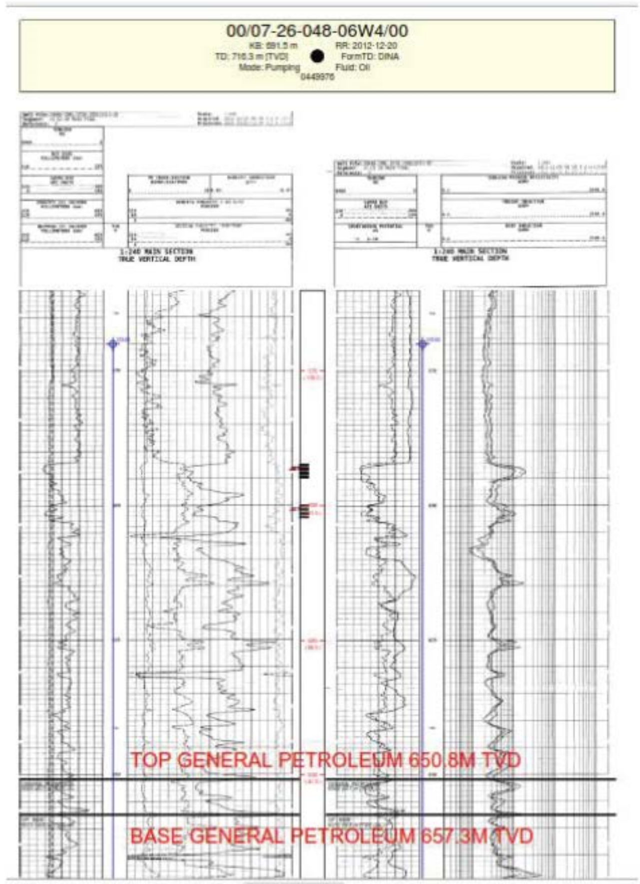


Effective as of the Effective Date

EXHIBIT "C"

ATTACHED TO AND MADE PART OF AN AGREEMENT ENTITLED
"WILDMERE GENERAL PETROLEUM AGREEMENT NO. 3"

A portion of the Compensated Neutron-PEL Density/Dual Induction Resistivity Log recorded at the well 100/07-26048-06-W4/00 located in LSD 7



Executive Council

Hosting Expenses Exceeding $600.00
For the period April 1, 2025 to June 30, 2025

Function: Holiday Reception Dallas Texas
Date: December 11, 2024
Amount: $1,439
Location: Dallas, Texas

Function: Premier visit to Montreal/Ottawa, Canada, Business Council of Canada
Date: April 9, 2025 and April 11, 2025
Amount: $1,290
Location: Montreal, Quebec and Ottawa, Ontario

Function: Council of State Governments Midwest Legislators
Date: April 13, 2025 and April 16, 2025
Amount: $9,862
Location: Government House, Edmonton, Alberta

Function: Singing Back the Buffalo Screening – Highlighting Alberta
Date: April 16, 2025
Amount: $954
Location: Singapore

Function: Celebrating 55 – Years of Alberta in Japan
Date: April 24, 2025
Amount: $14,531
Location: Embassy of Canada, Tokyo, Japan

Function: Official Visit of the Consul General of Ireland
Date: April 28, 2025
Amount: $3,308
Location: Government House, Edmonton, Alberta

Function: Official Visit of Ambassador of the Republic of Türkiye
Date: May 5, 2025
Amount: $4,099
Location: Government House, Edmonton, Alberta

Function: Cabinet Swearing in Ceremony
Date: May 16, 2025
Amount: $1,977
Location: McDougall Centre, Calgary, Alberta

Function: 45th Anniversary of the Hokkaido Alberta Sister Province Relationship - Luncheon for Vice Governor, and Delegation
Date: May 22, 2025
Amount: $2,053
Location: Queen Elizabeth II Building, Edmonton, Alberta

Function: 45th Anniversary of the Hokkaido Alberta Sister Province Relationship - Formal Luncheon
Date: May 23, 2025
Amount: $7,624
Location: Government House, Edmonton, Alberta

Function: Global Energy Show - Lunch with Argentine Delegation
Date: June 9, 2025
Amount: $777
Location: Calgary, Alberta

Municipal Affairs

Hosting Expenses Exceeding $600.00
For the period April 1, 2025 to June 30, 2025

Function: Public Meeting and Vote on a Question for the Village of Delia
Purpose: Public meeting held for the residents, property owners, and community stakeholders of the Village of Delia to review the contents of the viability review report, provide an opportunity for the public to ask questions, and to vote on the question of dissolution of the Village of Delia.
Date: April 9, 2025 and April 23–24, 2025
Amount: $1,219.41
Location: Delia, Alberta

Safety Codes Council

Corporate Accreditation

(Safety Codes Act)

Pursuant to Section 28 of the *Safety Codes Act* it is hereby ordered that

Keyera Corp., Accreditation No. C000109, Order No. 354

Having satisfied the terms and conditions of the Safety Codes Council is authorized to provide services under the *Safety Codes Act* including applicable Alberta amendments and regulations for **Electrical**

Consisting of all parts of the current: Canadian Electrical Code Part 1, and Alberta Electrical Utility Code.

Accredited Date: November 18, 1995 Issued Date: August 22, 2025.

Pursuant to Section 28 of the *Safety Codes Act* it is hereby ordered that

Keyera Corp., Accreditation No. C000109, Order No. 2901

Having satisfied the terms and conditions of the Safety Codes Council is authorized to provide services under the *Safety Codes Act* including applicable Alberta amendments and regulations for **Gas**

All parts of the current: CAN/CSA B149.1 Natural gas and propane installation code, CAN/CSA B149.2 Propane storage and handling code, CAN/CSA B149.6 Code for digester gas, landfill gas and biogas generation and utilization, CAN/BNQ 1784-000 Canadian hydrogen installation code, CAN/CSA B108.1 Compressed natural gas refuelling stations installation code, CAN/CSA B108.2 Liquefied natural gas refuelling stations installation code.

Excluding the CAN/CSA B149.5 Installation code for propane fuel systems and tanks on highway vehicles, CAN/CSA B149.3 Code for the field approval of fuel related components on appliances and equipment, CAN/CSA B109.1 Compressed natural gas for vehicles installation code, CAN/CSA B109.2 Liquefied natural gas for vehicles installation code.

Accredited Date: September 16, 2013 Issued Date: August 22, 2025.

Alberta Securities Commission

AMENDMENTS TO NATIONAL INSTRUMENT 41-101
GENERAL PROSPECTUS REQUIREMENTS

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 41-101
GENERAL PROSPECTUS REQUIREMENTS

1. National Instrument 41-101 General Prospectus Requirements is amended by this Instrument.

2. Section 1.1 is amended

(a) by repealing the definition of "Aequitas personal information form***",***

(b) by adding the following definition:

"Cboe personal information form" means a personal information form for an individual pursuant to Cboe Canada Inc. Form 3, as amended from time to time;**,**

(c) by adding the following definition:

"CSE senior tier" has the same meaning as "senior tier" as defined in section 1.3 of the listing rules of the Canadian Securities Exchange, as amended from time to time;**,**

(d) by repealing the definition of "IPO venture issuer***" and replacing it with the following:***

"IPO venture issuer" means an issuer that

(a) files a long form prospectus,

(b) is not a reporting issuer in any jurisdiction immediately before the date of the final long form prospectus, and

(c) at the date of the long form prospectus,

(i) does not have any of its securities listed or quoted, has not applied to list or quote any of its securities, and does not intend to apply to list or quote any of its securities, on

(A) the Toronto Stock Exchange,

(B) Cboe Canada Inc.

(C) a U.S. marketplace, or

(D) a marketplace outside of Canada and the United States of America, other than the Alternative Investment Market of the London Stock Exchange or the AQSE Growth Market operated by Aquis Stock Exchange Limited, and

(ii) is not, has not applied to become, and does not intend to apply to become, a CSE senior tier issuer;***, and***

(e) in the definition of "personal information form***" by replacing paragraph (c) with the following:***

(c) a completed Cboe personal information form submitted by an individual to Cboe Canada Inc., to which is attached a completed certificate and consent in the form set out in Schedule 1 – Part B of Appendix A;**.**

3. Subsection 1.9(4) of Form 41-101F1 Information Required in a Prospectus is repealed and replaced with the following:

(4) If the issuer has complied with the requirements of the Instrument as an IPO venture issuer, include a statement, in substantially the following form, with bracketed information completed:

"As at the date of this prospectus, [name of issuer] is not, has not applied to become, and does not intend to apply to become, a CSE senior tier issuer and does not have any of its securities listed or quoted, has not applied to list or quote any of its securities, and does not intend to apply to list or quote any of its securities, on the Toronto Stock Exchange, Cboe Canada Inc., a U.S. marketplace, or a marketplace outside of Canada and the United States of America (other than the Alternative Investment Market of the London Stock Exchange or the AQSE Growth Market operated by Aquis Stock Exchange Limited).”**.**

4. Section 20.11 of Form 41-101F1 Information Required in a Prospectus is repealed and replaced with the following:

IPO venture issuers

20.11 If the issuer has complied with the requirements of the Instrument as an IPO venture issuer include a statement, in substantially the following form, with bracketed information completed:

“As at the date of the prospectus, [name of issuer] is not, has not applied to become, and does not intend to apply to become, a CSE senior tier issuer and does not have any of its securities listed or quoted, has not applied to list or quote any of its securities, and does not intend to apply to list or quote any of its securities, on the Toronto Stock Exchange, Cboe Canada Inc., a U.S. marketplace, or a marketplace outside of Canada and the United States of America (other than the Alternative Investment Market of the London Stock Exchange or the AQSE Growth Market operated by Aquis Stock Exchange Limited).”**.**

5. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO NATIONAL INSTRUMENT 44-101 *SHORT FORM PROSPECTUS DISTRIBUTIONS*

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 44-101 *SHORT FORM PROSPECTUS DISTRIBUTIONS*

1. National Instrument 44-101 Short Form Prospectus Distributions is amended by this Instrument.

2. Section 1.1 is amended in the definition of "short form eligible exchange***" by replacing "***Aequitas NEO Exchange Inc.***" with "***Cboe Canada Inc.***".***

3. Section 2.7 is amended by adding the following subsection:

(4) Paragraphs 2.2(d), 2.3(1)(d) and 2.6(1)(b) do not apply to an issuer if

(a) the issuer is not exempt from the requirement in the applicable CD rule to file annual financial statements within a prescribed period after its financial year end, but the issuer has not yet, since the completion of a fundamental change, as defined in section 1.3 of the listing rules of the Canadian Securities Exchange, as amended from time to time, been required under the applicable CD rule to file annual financial statements, and

(b) a listing statement of the Canadian Securities Exchange

(i) was filed in connection with the fundamental change, and

(ii) complied with the listing rules of the Canadian Securities Exchange, as amended from time to time, in respect of the fundamental change.**.**

4. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO NATIONAL INSTRUMENT 45-106
PROSPECTUS EXEMPTIONS

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 45-106
PROSPECTUS EXEMPTIONS

1. National Instrument 45-106 Prospectus Exemptions is amended by this Instrument.

2. Section 2.22 is amended in paragraph (a) of the definition of "**listed issuer*****"***

(a) in subparagraph (ii.1) by replacing "Aequitas NEO Exchange Inc.***" with "***Cboe Canada Inc.***", and***

(b) by adding the following subparagraph:

(ii.2) the Canadian Securities Exchange,**.**

3. (1) This Instrument comes into force on September 19, 2025.

   (2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO ALBERTA SECURITIES COMMISSION RULE 45-516 *PROSPECTUS EXEMPTIONS FOR RETAIL INVESTORS AND EXISTING SECURITY HOLDERS*

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO ALBERTA SECURITIES COMMISSION RULE 45-516 *PROSPECTUS EXEMPTIONS FOR RETAIL INVESTORS AND EXISTING SECURITY HOLDERS*

1. ***Alberta Securities Commission Rule 45-516 Prospectus Exemptions for Retail Investors and Existing Security Holders s is amended by this Instrument.***

2. ***Section 2 is amended in the definition of "***"listed security"***" by replacing "***Aequitas NEO Exchange Inc.***" with "***Cboe Canada Inc.***",***

3. ***Subsection 3(a) is amended by replacing "***Aequitas NEO Exchange Inc.***" with "***Cboe Canada Inc.***", and***

4. ***Subsection 4(a) is amended by replacing "***Aequitas NEO Exchange Inc.***" with "***Cboe Canada Inc.***".***

5. This Instrument comes into force on September 19, 2025.

AMENDMENTS TO NATIONAL INSTRUMENT 51-102 *CONTINUOUS DISCLOSURE OBLIGATIONS*

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 51-102 *CONTINUOUS DISCLOSURE OBLIGATIONS*

1. ***National Instrument 51-102 Continuous Disclosure Obligations is amended by this Instrument.***

2. ***Section 1.1 is amended***

(a) by adding the following definition:

"CSE senior tier" has the same meaning as "senior tier" as defined in section 1.3 of the listing rules of the Canadian Securities Exchange, as amended from time to time;***, and***

(b) in the definition of "venture issuer***"***

(i) by adding "was not a CSE senior tier issuer and***" after "***as at the applicable time,***",***

(ii) by replacing "Aequitas NEO Exchange Inc.***" with "***Cboe Canada Inc.***",***

(iii) by replacing "the PLUS markets operated by PLUS Markets Group plc***" with "***the AQSE Growth Market operated by Aquis Stock Exchange Limited***".***

3. Section 9.4 is amended by adding the following subsection:

(6.1) Subsection (6) does not apply to a form of proxy sent to securityholders of a reporting issuer in respect of the election of directors if any of the following applies:

(a) the reporting issuer is incorporated, organized or continued under the *Canada Business Corporations Act* (Canada) and complies with subsection 54.1(2) of the *Canada Business Corporations Regulations*, *2001* (SOR/2001-512) under *the Canada Business Corporations Act* (Canada);

(b) the reporting issuer

(i) is incorporated, organized or continued under the laws of a jurisdiction of Canada or a foreign jurisdiction that contain a requirement substantially similar to subsection 54.1(2) of the *Canada Business Corporations Regulations*, *2001* (SOR/2001-512) under *the Canada Business Corporations Act* (Canada), and

(ii) complies with the requirement referred to in subparagraph (i).**.**

4. ***Paragraph 9.4(7)(b) is amended by replacing*** "subsection (4) or (6)***" with "***subsection (4), (6) or (6.1)"**.**

5. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO MULTILATERAL INSTRUMENT 51-105
ISSUERS QUOTED IN THE U.S. OVER-THE-COUNTER MARKETS

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO MULTILATERAL INSTRUMENT 51-105
ISSUERS QUOTED IN THE U.S. OVER-THE-COUNTER MARKETS

1. Multilateral Instrument 51-105 Issuers Quoted in the U.S. Over-the-Counter Markets is amended by this Instrument.

2. Section 1 is amended in the definition of "OTC issuer***":***

(a) in subparagraph (b)(iii) by replacing "Canadian National Stock Exchange***" with "***Canadian Securities Exchange***", and***

(b) in subparagraph (b)(viii) by replacing "Aequitas NEO Exchange Inc.***" with "***Cboe Canada Inc.***".***

3. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO NATIONAL INSTRUMENT 52-109
CERTIFICATION OF DISCLOSURE IN ISSUERS' ANNUAL AND INTERIM FILINGS

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 52-109
CERTIFICATION OF DISCLOSURE IN ISSUERS' ANNUAL AND INTERIM FILINGS

1. National Instrument 52-109 Certification of Disclosure in Issuers' Annual and Interim Filings is amended by this Instrument.

2. Section 1.1 is amended

(a) by adding the following definition:

"CSE senior tier" has the meaning ascribed to "senior tier" in section 1.3 of the listing rules of the Canadian Securities Exchange, as amended from time to time;***, and***

(b) by repealing the definition of "*venture issuer*" and replacing it with the following:

"venture issuer" means a reporting issuer that, as at the end of the period covered by the annual or interim filings, as the case may be,

(a) did not have any of its securities listed or quoted on any of the Toronto Stock Exchange, Cboe Canada Inc., a U.S. marketplace, or a marketplace outside of Canada and the United States of America other than the Alternative Investment Market of the London Stock Exchange or the AQSE Growth Market operated by Aquis Stock Exchange Limited, and

(b) was not a CSE senior tier issuer.**.**

3. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO NATIONAL INSTRUMENT 52-110 *AUDIT COMMITTEES*

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 52-110 *AUDIT COMMITTEES*

1. National Instrument 52-110 Audit Committees is amended by this Instrument.

2. Section 1.1 is amended

(a) by adding the following definition:

"CSE senior tier" has the meaning ascribed to "senior tier" in section 1.3 of the listing rules of the Canadian Securities Exchange, as amended from time to time;***, and***

(b) by repealing the definition of "*venture issuer*" and replacing it with the following:

"venture issuer" means an issuer that, at the end of its most recently completed financial year,

(a) did not have any of its securities listed or quoted on any of the Toronto Stock Exchange, Cboe Canada Inc., a U.S. marketplace, or a marketplace outside of Canada and the United States of

America other than the Alternative Investment Market of the London Stock Exchange or the AQSE Growth Market operated by Aquis Stock Exchange Limited, and

(b) was not a CSE senior tier issuer**.**.

3. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO NATIONAL INSTRUMENT 58-101
DISCLOSURE OF CORPORATE GOVERNANCE PRACTICES

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 58-101
DISCLOSURE OF CORPORATE GOVERNANCE PRACTICES

1. National Instrument 58-101 Disclosure of Corporate Governance Practices is amended by this Instrument.

2. Section 1.1 is amended

(a) by adding the following definition:

"CSE senior tier" has the same meaning as "senior tier" as defined in section 1.3 of the listing rules of the Canadian Securities Exchange, as amended from time to time;***, and***

(b) by repealing the definition of "venture issuer***" and replacing it with the following:***

"venture issuer" means a reporting issuer that, at the end of its most recently completed financial year,

(a) did not have any of its securities listed or quoted on any of the Toronto Stock Exchange, Cboe Canada Inc., a U.S. marketplace, or a marketplace outside of Canada and the United States of America other than the Alternative Investment Market of the London Stock Exchange or the AQSE Growth Market operated by Aquis Stock Exchange Limited, and

(b) was not a CSE senior tier issuer**.**.

3. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO MULTILATERAL INSTRUMENT 61-101 *PROTECTION OF MINORITY SECURITY HOLDERS IN SPECIAL TRANSACTIONS*

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO MULTILATERAL INSTRUMENT 61-101 *PROTECTION OF MINORITY SECURITY HOLDERS IN SPECIAL TRANSACTIONS*

1. Multilateral Instrument 61-101 Protection of Minority Security Holders in Special Transactions is amended by this Instrument.

2. Section 1.1 is amended by adding the following definition:

"CSE senior tier" has the meaning ascribed to "senior tier" in section 1.3 of the listing rules of the Canadian Securities Exchange, as amended from time to time;.

3. Paragraph 4.4(1)(a) is repealed and replaced with the following:

(a) **Issuer Not Listed on Specified Markets** – the issuer is not a CSE senior tier issuer and no securities of the issuer are listed or quoted on the Toronto Stock Exchange, Cboe Canada Inc., the New York Stock Exchange, the American Stock Exchange, the NASDAQ Stock Market, or a stock exchange outside of Canada and the United States other than the Alternative Investment Market of the London Stock Exchange or the AQSE Growth Market operated by Aquis Stock Exchange Limited,.

4. Paragraph 5.5(b) is repealed and replaced with the following:

(b) **Issuer Not Listed on Specified Markets** – the issuer is not a CSE senior tier issuer and no securities of the issuer are listed or quoted on the Toronto Stock Exchange, Cboe Canada Inc., the New York Stock Exchange, the American Stock Exchange, the NASDAQ Stock Market, or a stock exchange outside of Canada and the United States other than the Alternative Investment Market of the London Stock Exchange or the AQSE Growth Market operated by Aquis Stock Exchange Limited,.

5. Subparagraph 5.7(1)(b)(i) is repealed and replaced with the following:

(i) the issuer is not a CSE senior tier issuer and no securities of the issuer are listed or quoted on the Toronto Stock Exchange, Cboe

Canada Inc., the New York Stock Exchange, the American Stock Exchange, the NASDAQ Stock Market, or a stock exchange outside of Canada and the United States other than the Alternative Investment Market of the London Stock Exchange or the AQSE Growth Market operated by Aquis Stock Exchange Limited,.

6. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO NATIONAL INSTRUMENT 62-104
TAKE-OVER BIDS AND ISSUER BIDS

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 62-104
TAKE-OVER BIDS AND ISSUER BIDS

1. National Instrument 62-104 Take-Over Bids and Issuer Bids is amended by this Instrument.

2. Subsection 4.8(1) is amended by adding ", Cboe Canada Inc.***" after "***the TSX Venture Exchange***".***

3. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO NATIONAL INSTRUMENT 71-102
CONTINUOUS DISCLOSURE AND OTHER EXEMPTIONS RELATING TO FOREIGN ISSUERS

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 71-102
CONTINUOUS DISCLOSURE AND OTHER EXEMPTIONS RELATING TO FOREIGN ISSUERS

1. National Instrument 71-102 Continuous Disclosure and Other Exemptions Relating to Foreign Issuers is amended by this Instrument.

2. Paragraph 4.7(2)(a) is amended by replacing "Aequitas NEO Exchange Inc.***" with "***Cboe Canada Inc.***".***

3. Paragraph 5.8(2)(a) is amended by replacing "Aequitas NEO Exchange Inc.***" with "***Cboe Canada Inc.***".***

4. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

AMENDMENTS TO NATIONAL INSTRUMENT 81-101 *MUTUAL FUND PROSPECTUS DISCLOSURE*

(Securities Act)

Made as a rule by the Alberta Securities Commission on March 12, 2025 pursuant to sections 223 and 224 of the Securities Act.

AMENDMENTS TO NATIONAL INSTRUMENT 81-101 *MUTUAL FUND PROSPECTUS DISCLOSURE*

1. National Instrument 81-101 Mutual Fund Prospectus Disclosure is amended by this Instrument.

2. Section 1.1 is amended

(a) by repealing the definition of "Aequitas personal information form***",***

(b) by adding the following definition:

"Cboe personal information form" means a personal information form for an individual pursuant to Cboe Canada Inc. Form 3, as amended from time to time;***, and***

(c) in the definition of "personal information form***" by replacing paragraph (c) with the following:***

(c) a completed Cboe personal information form submitted by an individual to Cboe Canada Inc., to which is attached a completed certificate and consent in the form set out in Schedule 1 – Part B of Appendix A to National Instrument 41-101 *General Prospectus Requirements*;.

3. (1) This Instrument comes into force on September 19, 2025.

(2) In Saskatchewan, despite subsection (1), if this Instrument is filed with the Registrar of Regulations after September 19, 2025, this Instrument comes into force on the day on which it is filed with the Registrar of Regulations.

ADVERTISEMENTS

Horse Racing Alberta

(Horse Racing Alberta Act)

"B" Race Track Licensing Rules

Statement of objectives:

Central to Horse Racing Alberta's plan for the strategic direction of the horse racing industry is the implementation of the recommendations of the "B" Track Safety and Improvement Subcommittee and Regulatory and Licensing Committee 2025. Implementation will be achieved by the solidification of principle racing venues, using race track licensing as the catalyst, guided by sound business and strategic plans.

In seeking and considering proposals for race track licences in Alberta, it is the intention of the *Corporation to:

(a) promote the development of high-quality race tracks for racehorses, racing participants, racing officials, patrons and the general public;

(b) enable the market for pari-mutuel horse racing to mature and expand as warranted, largely by consumer demand;

(c) limit the number of race track licences to the number which the *Corporation determines the Alberta market can support, so as to encourage agriculture and the breeding of horses in Alberta, and to hold race meetings in Alberta of the highest quality;

(d) link race track licences dates, so that live horse racing is a prerequisite for maintaining a gaming licence. These "Racing Entertainment Centres" would include live horse racing (as their principal business) and simulcasting (potentially both on and off track) and should also be supported by other gaming facilities (for example, slot machines) as well as other forms of entertainment.

(e) ensure successful applicants for licences demonstrate a strong emphasis on customer service and appreciation and a demonstrated willingness to continually upgrade their facilities in the interests of both the general public and racing industry participants.

The *Horse Racing Alberta Act*,[1] allows the *Corporation to establish rules and require that race track operators be licensed.

The basic objectives of these Race Track Licensing Rules are to:

- facilitate strategic, long term business planning on the part of racing venues

- establish a review and approval process whereby interested parties are invited to submit proposals through a two-phase process permitting suitable applicants to formally apply and compete for race track licences in all market regions of Alberta
- improve, in part, racing industry accountability
- enable race track licensees to operate race tracks and contribute to the development of the overall racing industry.

In the second phase of the licensing application process, applicants are required to include information on their proposed racing operations, marketing strategies, operating budgets, capital maintenance, physical facilities, track size and development plans, and other pertinent information. Also, a part of the licensing procedure will be the requirement for race track operators to develop multi-year business plans for their overall racing operations — such business plans to readily identify the ways and means of stabilizing and improving the business and sport of horse racing in their region.

Part 1
APPLICATION, REQUIREMENT FOR LICENCES AND RACE TRACK CLASSIFICATION

1 Application of these rules

(1) These *rules apply to the licensing, suspension, revocation and reinstatement of licences for

(a) persons who operate a race track,

(b) persons who conduct horse races,

(c) race tracks that operate *Off Track Betting Theaters (OTBs),

(d) race tracks that operate *Telephone Account Betting (TAB) facilities, and

(e) race tracks that operate a *racing entertainment centre.

(2) For the purposes of these rules, quorum of the *Board of the *Corporation are those class of persons who are empowered to decide all questions relating to those persons specified in subsection (1), including, without limitation, all matters relating to the issuing, suspension, revocation, reinstatement, sanctions, and penalties under the *Act and these rules.

2 Interpretation rules

(1) The *Interpretation Act* applies to these rules.

(2) Some words used in these rules are defined, identified by an asterisk appearing at the start of the word.

(3) The defined words are collected in Schedule 1 to these rules.

(4) Once a defined term has been asterisked, subsequent uses of the word in the same subsection are usually asterisked.

(5) The explanatory notes and footnotes in these rules are included to aid understanding and interpretation, but in the event of conflict between a note and a rule, the rule prevails.

3 Required licences

(1) A person shall not conduct a horse race meeting without a race track licence to do so.

(2) In accordance with section 13 of the *Act, a race track licence is required from the *Corporation for a person to operate a race track, which includes:

(a) the conduct a horse race,

(b) the operation of *OTBs,

(c) the operation of *TAB facilities, or

(d) the operation of a *racing entertainment centre.

Classification of Race Tracks in Alberta

4 Alberta race track classification

(1) Race tracks are classified by the *Corporation as:

(a) Class A tracks,

(b) Class B tracks, or

(c) Class C tracks.

(2) A Class A track is a race track

(a) on which live racing is conducted for a minimum of 100 days in a calendar year, the total number of days and the actual dates are applied for and determined by the *Corporation on an annual basis, and

(b) in respect of which certain basic/minimum infrastructure (race track, site and grandstand) and racing operations requirements, identified in these rules, are met.

Explanatory note: The racing operation requirements referred to in clause (c) include sections 5, 37-44, and 55-57 of these rules.

(3) A Class B track is a race track

(a) on which live racing is conducted to a maximum of 60 days and a minimum of 15 days in a calendar year, the total number of days and the actual dates are applied for and determined by the *Corporation on an annual basis, and

(b) in respect of which certain basic/minimum infrastructure (race track, site and grandstand) and racing operations requirements, identified in these rules, are met.

Explanatory note: The racing operation requirements referred to in clause (c) include sections 5, 37-44, and 55-57 of these rules.

(4) A Class B race track may request or be required by the *Corporation to provide additional days of live racing. If after receipt of a request from a licensee the *Corporation determines additional days to be in the best interests of the province and the racing industry, the *Corporation shall grant the additional days.

(5) A Class C track is a race track

(a) on which live racing is conducted for a maximum number of 15 days in the calendar year, the total number of days and the actual dates are applied for and determined by the *Corporation on an annual basis,

(b) in respect of which certain basic/minimum infrastructure (race track, site and grandstand) and racing operations requirements, as identified in these rules, are met.

Explanatory note: The racing operation requirements referred to in clause (c) include sections 5, 37-44, and 55-57 of these rules.

(6) For the purposes of this section live race dates are counted separately from the dates on which the licensed operator presents *simulcast races; a race track may only present simulcast races on dates as applied to and approved by the *Corporation;

Part 2
DECISION MAKING BY THE CORPORATION

Statement of intention: It is the intent of the *Corporation in issuing race track licences to enable the market for pari-mutuel horse racing to mature and expand as warranted largely by consumer demand. It is the intent of the *Corporation, in the best interests of horse racing, to limit the number of race track licences to the number which the *Corporation determines the Alberta market can support so as to encourage agriculture and the breeding of horses in this province; and, to hold race meetings in this Province of the highest quality.

5 Criteria to be considered

(1) In deciding whether to grant or refuse an application for a race track licence or designate the class of licence, the *Corporation may consider, among other considerations, the degree to which any of the following factors regarding the applicant's existing race track or proposed race track serve to nurture, promote, develop, or improve the racing industry in Alberta:

(a) the character of the applicant;

(b) the applicant's financial stability and ability to develop, own and operate and continually upgrade a race track facility, or any of them;

(c) the applicant's stated and potential ability to optimize the peak racing season and to generate pari-mutuel *handle and other revenue;

(d) the applicant's ability to successfully obtain a gaming licence;

(e) the applicant's willingness to provide twin thoroughbred and standardbred tracks at their facility, preference being given to an applicant who provides a one-mile track, with an internal standardbred race track of a 7/8 mile;

(f) the applicant's *resources for supplementing the purses for races for various breeds;

(g) the types, variety and proposed days of pari-mutuel horse racing which the applicant will offer and the applicant's plans related to the quality of the presentation of the racing product or products;

(h) the applicant's willingness to facilitate live horse racing wagering at their facility;

(i) the applicant's *simulcast facilities and plans for exporting and importing race signals;

(j) the nature and extent of proposed special event programming and collateral entertainment/gaming activities;

(k) the quality of physical improvements and equipment in the applicant's facility;

(l) the condition of the applicant's facility or the status of any proposed capital improvements;

(m) the location and accessibility of the existing or proposed race track;

(n) the effect of the existing or proposed race track on traffic flow in the vicinity of the track;

(o) facilities for patrons and *occupational licensees;

(p) the applicant's stated desire and ability to market and operate horse racing in it's area;

(q) the applicant's stated willingness to align with overall provincial marketing plans and strategies;

(r) the applicant's stated willingness and ability to acquire corporate sponsorships which primarily benefit horse racing;

(s) whether or not the applicant has chosen to incorporate a *racing entertainment centre into their facilities;

(t) the scale and magnitude of any racing entertainment centre and the projected revenue to be derived for racing industry renewal and their projected utilization;

(u) the capabilities, functionality and capacity of the applicant's grandstand;

(v) the suitability of the race track area for race viewing and broadcasting;

(w) the desire and ability of the applicant to provide the highest quality of horse racing signals for rebroadcast purposes;

(x) facilities for racehorses and racing participants, for example, track, all weather barns area, the ability to remove the inside hub rail, parking areas for trailers, grooms, quarters, and stalls;

(y) availability of support services and emergency services to the race track;

(z) the extent to which the safety of the public, employees and horses are addressed by the applicant;

(aa) the managerial ability and experience of the applicant;

(bb) the potential for conflict with other *licensed race meetings;

(cc) the applicant's stated desire and ability to consult and work with a race track operations committee consisting of various *recognized Alberta horsemen's associations;

(dd) the projected effect of race meetings on the horse breeding industry in Alberta;

(ee) the projected effect of the race meeting on the provincial and local economy from tourism, increased employment, and other sources;

(ff) the status of any licence, permit, approval or other governmental requirement to be obtained by the applicant;

(gg) the stated and desired efforts to promote orderly growth of horse racing in Alberta and educate the public with respect to horse racing and pari-mutuel wagering;

(hh) the impact of the facility including its impact on the provincial, regional and local economies;

(ii) the extent of public support and opposition;

(jj) the effects on non-racing competition;

(kk) the applicant's compliance with the applicable enactments and government directives; and

(ll) any other criterion which the *Corporation deems appropriate to consider.

(2) The *Corporation may request any or all applicants to make a presentation on their application before the *Board or a Board Committee.

(3) The *Corporation need not issue a race track licence unless the applicant provides security in a manner and in an amount determined by the *Corporation to ensure the applicant complies with the *Act, the *Corporation's rules, and the conditions of the licence.[2]

(4) The *Corporation is also to base its final decision on the extent to which the applicant

(a) conforms to the *Act;

(b) conforms with these Rules;

(c) addresses and will advance the general intention expressed by the Statement of Objectives;

(d) conforms with licensing requirements under the *Gaming, Liquor and Cannabis Act*.

(5) The successful applicant must demonstrate to the satisfaction of the *Corporation a strong emphasis on customer service and appreciation and a demonstrated willingness to continually upgrade their facilities in the interests of both the general public and racing industry participants.

6 Competing applications

If the *Corporation receives more than one application for any class of race track licence for a similar geographical area, the *Corporation may:

(a) require further information of the applicants;

(b) refuse to grant a licence to any of the applicants;

(c) only grant the licence to the applicant which, in the opinion of the *Corporation, will be in the best interest of racing and the public; or

(d) grant more than one licence with the same or different designated class of licence.

7 Reasons for decision

In granting or refusing an application for a race track licence the *Corporation shall state reasons for its decision.

8 Issue of licences

(1) The *Corporation may issue a race track licence to a qualified applicant if it finds that the conduct of race meetings at the race track and location will be in the public interest, and complies with all applicable laws and the rules and directives of the *Corporation.

(2) A licence will not be issued unless the applicant agrees in writing to adhere to these rules and any other terms and conditions of licence that may be required by the *Corporation but not included in these rules.

(3) The licence authorizes the holder of it in accordance with the licence to:

(a) operate a race track,

(b) conduct horse races,

(c) conduct *OTB,

(d) conduct *TAB, or

(e) operate a racing entertainment centre, or all of the above, in accordance with the terms of the licence and the *Corporation's rules.

9 Term of licence

(1) The term of a race track licence shall be for up to a maximum of 10 years, or such longer period as in the opinion of the *Corporation is in the best interests of racing in Alberta or is required to nurture, promote, develop or improve the racing industry in Alberta. The terms will be specified by the *Corporation and displayed on the licence.

(2) All multi-year licences shall be reviewed by the *Corporation on an annual basis to determine if the information that was presented in the original licence application or subsequent licence renewal or annual reviewal documentation is consistent and accurate.

(3) All multi-year licensee's must, on an annual basis, provide the *Corporation with updates to the information submitted in their initial licence application especially as it relates to the sections on finance, ownership and operations and marketing. All multi-year licensees must provide the *Corporation, on an annual basis, with

(a) the information required under sections 12, 19 (7), 48, 49, 50, 55, and 61 of these rules, and

(b) the actual amounts identified as required in those sections for the year just completed.

(4) The *Corporation may grant any organization a conditional or temporary race track licence, including the possible requirement of a performance bond, for any length of time up to 3 years, if the *Corporation, at its sole

discretion, deems that by so doing would be in the best interests of the Alberta racing industry.

(5) The *Corporation may grant a *protected market area in a geographical area to a licensee for up to and including the total period of time that the licensee is licensed by the *Corporation.

(6) Beyond the initial licence application fee, the *Corporation may impose an annual fee on the licence holder.[3]

10 Cancellation, suspension, cancellation on dispossession, and transferability of licence

(1) The *Corporation may suspend or cancel the licence should the licensee

(a) make any assignment for the benefit of creditors,

(b) commit an act of bankruptcy as defined by the *Bankruptcy and Insolvency Act (Canada)*,

(c) become insolvent,

(d) have a receiver appointed,

(e) take the benefit of any legislation that may be in force for bankrupt or insolvent debtors,

(f) commit a substantial breach of these rules, or

(g) fail to remedy any lesser breach for which notice to remedy has been given.

(2) In the event of a breach of these rules, the *Corporation may give notice that the licensee is in default.

(3) For greater particularity, a breach of these rules includes:

(a) violating the *Act, these rules or any other rules of the *Corporation;

(b) violating a condition of the licence;

(c) violating a direction of the *Corporation;

(d) making a false or misleading statement in an application for a licence (other than a forecast for which there is reasonable justification);

(e) engaging in conduct that, in the opinion of the *Corporation, is prejudicial to the best interests of horse racing; (f) violating any law[4] which would be a substantial breach of the conditions under which a licence is granted;

(g) not meeting *Canadian Pari-Mutuel Agency permit requirements.

(4) In the event that a default notice is given, the licensee shall have the period of time allotted in the notice (such period to be no longer than 30 days), to remedy the default or explain how that default will be addressed, failing which the *Corporation may:

(a) impose a fine, to a maximum of $100,000 (See Schedule 4), or other penalty, upon the licensee;

(b) suspend the licence for such time as the *Corporation deems fit, including an allotted time in which to remedy the default;

(c) cancel the licence.

(5) In the event of insolvency, bankruptcy, an assignment for the benefit of creditors or receivership of the licensee, the *Corporation may, at its sole discretion,

(a) continue the licence in the name of the trustee or receiver, as the case may be, subject to such terms as the *Corporation may impose, upon application of the trustee or receiver,

(b) suspend the license, or

(c) cancel the licence.

(6) A licence may not be sold or transferred directly or indirectly without the consent in writing of the *Corporation. In the event that a licensee proposes to sell or transfer a licence, directly or indirectly, the proposed purchaser or transferee, shall make application for approval to the *Corporation in the same format as is required for a licence in the first instance.

11 Reinstatement

The *Corporation may, in its discretion, reinstate a race track licence that has been suspended or cancelled.

12 Financial disclosure

(1) Each holder of a race track licence must annually file with the *Corporation a detailed financial statement that:

(a) contains a detailed balance sheet, the results of horse racing operations for the year, change in financial position for the year and details of capital expenditures for the year;

(b) contains the names and addresses of all shareholders, members and owners of any interest in the race track facility, capital development or operation;

(c) indicates compliance during the filing period with the *Corporation's rules;

(d) includes any other information required by the *Corporation.

(2) Each transaction that involves a major acquisition or transfer of a pecuniary interest in the licence holder must receive prior approval from the *Corporation.

(3) A transaction that changes the ownership of the licence holder requires submission of updated information of the type required to be disclosed under these rules.

(4) Each holder of a race track licence must annually provide an operating budget for the forth coming year.

13 Racing restricted to designated place

(1) The holder of a race track licence may not conduct live horse racing at any place other than the place designated in the licence, except as provided by subsection (2).

(2) If a race track becomes unsuitable for racing because of fire, flood, or other catastrophe, the licence holder, with the prior approval of the *Corporation, may conduct a race meeting or any remaining portion of a meeting temporarily at any other race track licensed by the *Corporation to conduct the same type of racing as may be conducted by the licence holder, if the licensee of the other race track also consents to that use.

14 Posting of licence

A race track licensee must post in a prominent place in the licensed facility:

(a) the race track licence; and

(b) any document or information that the *Corporation requires to be posted.

Part 3
APPLICATION FOR A RACE TRACK LICENCE

Division 1
Application

15 Eligible applicants

To be eligible to be issued a licence to operate a race track the applicant must:

(a) be a duly incorporated body by or pursuant to an act of Parliament or of the Legislature of the Province of Alberta,

(b) own or lease a race track or have a proven intention to do so,

(c) conduct live or *simulcast or proposes to conduct live or simulcast horse races in the ordinary course of its business,

(d) to the extent that applicable legislation requires that the purposes of the applicant's organization or association be expressly stated in its constating instrument, having as one of its purposes the conduct of live or the simulcast of horse races, and

(e) be able to meet all requirements stipulated by the *Canadian Pari-Mutuel Agency for the issuance of a federal permit to conduct horse racing in accordance with the *Pari-Mutuel Betting Supervision Regulations.

16 Phase 1 Invitation for licence applications

(1) From time to time, the *Corporation may designate an application period during which time the *Corporation will accept applications for race track licences.

(2) This process consists of two phases:

(a) the first phase is a request for proposal process when an expression of interest will be requested of any interested and eligible applicants in which

(i) applicants in this phase will be asked for a detailed but general plan for their facility, and

(ii) the expression of interest will be left to the applicant's discretion as to content.

(b) a second phase for a detailed application described in section 17.

(3) The *Corporation may specify the class and general geographic area of the race track or facility for which it will consider applications.

(4) The *Corporation will determine from the Phase I applicants which one or more applicants make proposals that, in the opinion of the *Corporation, are most congruent with the Statement of Objectives and are most likely to advance the horse racing industry in Alberta, and so should be invited to apply under Phase 2 of the application process.

(5) The *Corporation must notify all Phase 1 applicants no later than 30 days following the last day of the Phase I application period, about whether or not they have been selected to proceed to Phase 2 of the application process.

(6) All applicants selected from Phase I must provide detailed application information, as required by these rules, within 45 days following their being requested by the *Corporation to do so.

17 Phase 2 detailed application

(1) Each successful Phase I applicant wishing to submit a detailed application for a race track licence must pay the application fee specified in Schedule 2, and submit an application in the prescribed form, containing the information required by these rules.

(2) The application must state:

(a) details of where and how the applicant was incorporated;

(b) the names and addresses of the applicant's agents for service of documents;

(c) the names and addresses of its officers and directors and, for each individual named under this clause, the individual's date of birth, current address and telephone number, and a statement disclosing any conviction of an indictable criminal offence or any other offence that would adversely impact on the suitability of such individual to be part of a licensee authorized to hold horse races,

(d) the names and addresses of its shareholders and, for each individual named under this clause, the individual's date of birth, current address and telephone number, and a statement disclosing any conviction of a criminal offence; and

(e) the beneficial owners of shares in the applicant that hold voting rights, absolute or contingent, any other person that directly or indirectly exercises any participation in the applicant, and any other ownership interest in the applicant that the applicant, making its best effort, is able to identify.

(3) The application under this section must also include:

(a) the exact location at which a race meeting is to be conducted;

(b) if the racing facility is in existence, whether it is owned by the applicant and, if leased to the applicant, the name and address of the owner and, if the owner is a *Corporation or unincorporated business association, the names and addresses of its officers and directors, its shareholders and members, if any, and its agents for service of documents;

(c) if construction of the racing facility has not been initiated, whether it is to be owned by the applicant and, if it is to be leased to the applicant, the name and address of the prospective owner and, if the owner is a *Corporation or unincorporated business association,

(i) the names and addresses of its officers and directors,

(ii) the names and addresses of its shareholders,

(iii) the names and addresses of its members, if any, and

(iv) the names and addresses of its agents for service of documents;

(d) identification of any other beneficial owner of shares that hold voting rights, absolute or contingent, in the owner or prospective owner of the racing facility, or any other person that directly or indirectly exercises any participation in the owner or prospective owner and all other ownership interest in the owner or prospective owner that the applicant making its best effort is able to identify;

(e) a detailed statement of the assets and liabilities of the applicant;

(f) the kind of racing to be conducted and the number of race dates to be requested;

(g) listing of each lease, management, concession, and totalizator contract dealing with the licence or proposed licence at the location or proposed location in which the applicant has an interest for inspection and review by the *Corporation;

(h) the prior approval of the *Corporation for any pari-mutuel totalizator contract;

(i) an agreement by the applicant that any decision made by the *Corporation with respect to their licence application is considered to be final; and

(j) any other information required or implied by these rules or required by the *Corporation.

(4) If the applicant is a non-profit *Corporation, only directors and officers of the *Corporation must disclose the information required under subsection (2).

(5) The burden of proof is on the applicant to show compliance with the *Act and with the rules and directives of the *Corporation.

Division 2
Phase 2 Detailed Application Documents

Process and General Requirements

18 Application documents

(1) An applicant for a licence to operate a race track must submit application documents in accordance with this section.

(2) The applicant must submit an original and 5 hardcopies of the documents to the *Corporation.

(3) The applicant must provide a statutory declaration as to the truth and validity of the documents.

(4) The applicant must state the name, address, and telephone number of an individual designated by the applicant to be the primary contact person for the *Corporation during the application process.

(5) The applicant must submit all application documents not later than 5:00 p.m. Mountain Standard Time on the last day of the application period.

19 Types of documents required

(1) An applicant for a Class C race track licence must submit the documents required by this Division concerning:

(a) background information;

(b) ownership;

(c) site and facilities,

(i) on track;

(ii) *off track (if applicable);

(d) safety and security; and

(e) a general business plan with a specific marketing component.

(2) In addition to documents required under subsection (1), an applicant for a Class B race track licence must submit the documents required by this Division concerning:

(a) financing; and

(b) operations and marketing.

(3) An applicant for a Class A licence must submit all the documents required by this Division.

(4) An applicant for a race track licence must submit the application documents in written form:

(a) on 8 1/2 by 11-inch paper;

(b) have an executive summary;

(c) be separated by the appropriate chapter, and the section, subsection, and Division designation of the rule that requires the submission of a document must be noted at the top of each page of the document; and

(d) provide photographs of any three-dimensional exhibits.

(5) The application should contain a table of contents and dividers to clearly designate the various sections covered in the application and the documents within the application must be consecutively paginated.

(6) The executive summary should contain the highlights of the entire application and should include a table which contains information regarding the ownership, residency, and contributions of each officer, director, partner, or owner of an interest of 10% or more in the application submitted in the format specified in Schedule 3 to these rules.

(7) The executive summary must include a table containing an attendance and wagering summary of information submitted in the financial forecasts. The table must be submitted in the format specified in Schedule 3 to these rules.

20 Review of application documents

(1) Not later than the 15th day after the last day of the identified detailed application period, the *Corporation must review each application submitted to determine whether the application contains all the information required by these rules.

(2) If the *Corporation determines that the application does not contain all the information required by these rules, the *Corporation must notify the applicant in writing and state the nature of the deficiency in the application.

(3) The applicant must submit the documents necessary to complete the application before the 30th day after the last day of the identified detailed application period.

(4) If the applicant fails to submit the requested documents in a timely manner, the *Corporation may not certify the application as received and the *Corporation may not consider the application.

(5) When the *Corporation determines that an application contains all the information required by these rules the *Corporation must certify in writing that the application is received by the *Corporation.

(6) The *Corporation must transmit a copy of the certification to the applicant.

21 Changes in application

(1) If information submitted by an applicant as part of a race track application changes or becomes inaccurate before the *Corporation acts on the application, the applicant must immediately notify the *Corporation in writing of the change or inaccuracy.

(2) After a race track application has been filed, the applicant may not amend the application except:

(a) to address a deficiency in accordance with a notice sent to it,

(b) as required by the *Corporation for clarification of information contained in the application, or

(c) to address a change in the circumstances surrounding the application that was outside the control of the applicant and that affects the ability of the applicant to comply with the *Act or the rules of the *Corporation.

(3) To amend an application under subsection (2)(c), an applicant must file with the *Corporation a written request to amend the application stating:

(a) the change in the circumstances surrounding the application that necessitates the amendment;

(b) the nature of the amendment; and

(c) the reasons why the amendment is necessary to bring the application into compliance with the *Act or the rules of the *Corporation.

(4) A request to amend may only be granted if the applicant demonstrates that:

(a) the circumstances requiring the amendment were outside the control of the applicant;

(b) before the change in the circumstances surrounding the application, the application complied with the pertinent provisions of the *Act or the rules of the *Corporation; and

(c) the amendment is necessary to bring the application into compliance with the pertinent provisions of the Act or the rules of the *Corporation.

22 Exemptions from rules

(1) An applicant may request an exemption from a requirement in this Division in accordance with this section.

(2) In acting on a request for an exemption under this section, the *Corporation may require the applicant to:

(a) state, in writing, the applicant's reason for the request; and

(b) propose an alternative that substantially satisfies the purpose of the requirement.

(3) The *Corporation may grant an exemption under this section if the *Corporation determines:

(a) the applicant's alternative proposal substantially satisfies the purpose of the requirement; or

(b) the *Corporation determines the exemption is in the best interests of the racing industry in Alberta.

(4) Unless the *Corporation otherwise requires, an applicant that is an agricultural society under the *Agricultural Societies Act* is exempt from the application requirements of these rules related to ownership and membership.

Ownership

23 Ownership — name and address

The application documents must include:

(a) a list of all legal/registered or trade names used by the applicant, and

(b) the names of the registered agent and the address and telephone number of the registered office of the applicant for service of process.

24 Ownership — business structure

The application documents must describe the applicant's business structure and include an organizational chart.

25 Ownership — organizers

If the applicant is not an individual and was organized less than 5 years before the date on which the application documents are submitted to the *Corporation, the application documents must state:

(a) the name of each individual who was a paid organizer or promoter of the applicant,

(b) the nature and amount of assets, services, or other consideration contributed to the applicant by an organizer or promoter of the applicant, and

(c) the nature and amount of anything of value given by the applicant to an organizer or promoter of the applicant.

26 Ownership — organizational documents

The application documents must include:

(a) a statement of which act the applicant was incorporated under, and when the applicant was incorporated,

(b) a copy of the articles of incorporation and bylaws of the applicant,

(c) a statement and documentation of whether the applicant has been reorganized or reincorporated during the 5-year period preceding the date on which the application documents are submitted to the *Corporation, and

(d) a statement and documentation of whether the applicant has filed restated articles of incorporation.

27 Ownership — owners

(1) If the applicant is authorized to issue capital stock, the applicant must state the classes of stock authorized and the total number of shares of each class authorized. The applicant must state, for each class of stock:

(a) the par value, if any,

(b) the voting rights,

(c) the current rate of dividend, and

(d) the number of shares outstanding and the number of shares that are authorized to be issued.

(2) The application documents must identify.

(a) each beneficial owner of shares in the applicant that bear voting rights, absolute or contingent,

(b) each person that directly or indirectly exercises any participation in the applicant, and

(c) any other ownership interest in the applicant that the applicant making its best effort is able to identify.

(3) The application documents must include a certified copy of each voting trust or voting agreement in which capital stock is held and must state:

(a) the name and address of each stockholder participating in the trust or agreement,

(b) the class of stock involved, and

(c) the total number of shares held by the trust or agreement.

(4) The application documents must describe the terms of any proxy by which capital stock may be voted and must state:

(a) the name and address of the person holding the proxy,

(b) the name and address of the stockholder who granted the proxy,

(c) the class of stock for which the proxy may vote, and

(d) the total number of shares voted by the proxy.

(5) The application documents must state any provisions for the redemption, repurchase, retirement, conversion, or exchange of an ownership interest. Ihe documents must state the procedure by which these provisions may be modified.

(6) The application documents must state whether the applicant's stock may be traded through options and whether a stockholder has executed an agreement or contract to convey any of the stockholder's stock at a future date. The application documents must include a copy or a description of each agreement or contract disclosed under this subsection.

28 Ownership — directors, officers, and partners

(1) If the applicant is not an individual, the application documents must include a list of the individuals who are serving or who are designated to serve, during the first year after the date the application documents are submitted

to the *Corporation, as a director, officer, partner, or member of the management committee of the applicant. In the event that such individuals are engaged in such a capacity thereafter, such applicant shall file annually on the anniversary date of application, updated information on such individuals.

(2) The list must state, for each individual listed under subsection (1)

(a) the individual's name and address,

(b) each position or office of the applicant held by the individual,

(c) the individual's principal occupation during the 5-year period preceding the date on which the application documents are submitted to the *Corporation, and

(d) the nature and the extent of any ownership interest that the individual has in the applicant.

29 Ownership — controlling entity

(1) The application documents must state whether another entity exercises or is in a position to exercise control in the management or financial affairs of the applicant. The documents must describe the nature of the relationship between the entity and the applicant and the extent of control exercised by the entity.

(2) If a non-individual entity owns an interest of 10 percent or more in the applicant, the application documents must include the information required by subsection (l) of this section as it relates to the non-individual entity.

(3) The application documents must include information required by subsection (2) of this section for each non-individual entity identified in the application documents to the extent necessary to determine the identity of each individual who is an indirect holder of an ownership interest in the applicant.

30 Ownership — outside interests

(1) The application documents must state whether the applicant, a director, officer, or partner of the applicant, or an owner of an interest in the applicant of 10 percent or more:

(a) has ever held an ownership interest in a licensee of the *Corporation, or

(b) is currently engaged in the business of horse racing or gaming in another jurisdiction.

(2) The applicant must describe the nature of participation stated under subsection (1).

31 Consultants

The application documents must include a list of all paid consultants used by the applicant in the development of the application.

32 Background check

(1) The *Corporation may undertake a complete personal, financial, criminal and business background check of the applicant or any person owning an interest in or exercising control over a applicant for a race track licence, including directors, the partners, shareholders, concessionaires, management personnel, management firms, and creditors.

(2) The application documents must include a statement that irrevocably gives consent to the *Corporation and to persons authorized by the *Corporation:

(a) to verify all information provided in the application documents; and

(b) to conduct background investigations on the applicant and the applicant's employees.

(3) If the applicant is not an individual, each individual who provides information under section 17 (relating to personal information) must execute a statement required by subsection (2).

(4) An applicant may not pass a background check if any person listed under subsection (1)

(a) has within the 5 years before the submission of the application been convicted of:

(i) an indictable offence under the *Criminal Code* (Canada), the *Excise Act* (Canada), the *Food and Drug Act* (Canada) or the *Controlled Drugs and Substances Act* (Canada), or

(ii) an offence under another act or regulation, whether foreign or not that, in the *Corporation's opinion, is substantially similar to an offence referred to in sub clause (I), or

(b) has within the 5 years before the submission of the application been sentenced to serve a term of imprisonment of 3 years or more.

(5) The *Corporation may refuse to issue, renew, or revoke a licence or approve a concession or management contract if, in the sole discretion of the *Corporation, the background checks reveal anything which might be detrimental to the public interest or the racing industry.

Site and Facilities

33 Location

The application documents must include:

(a) the name of the municipality in which the race track (or *OTB or *TAB facilities, if applicable) is or is to be located;

(b) the actual legal description of the race track site;

(c) the name and address of each person who has held title to the real property;

(d) the name and address of each person who holds a mortgage or other security interest in the real property;

(e) an aerial photograph of the race track site;

(f) a photograph of the site from each roadway adjacent to the site; and

(g) a description of the current commercial and industrial uses for all property within a half mile area around the race track site.

34 Lease

(1) If an applicant for a race track licence leases or intends to lease the race track (or *OTB or *TAB facility — if applicable) or race track property from another person, the application documents must include the information required by this section as it relates to the lessor and the lessee.

(2) The application documents must include a copy of the lease agreement and every other agreement or contract between the lessor and lessee.

(3) The *Corporation may not grant a licence to a person applying under this section if the *Corporation determines that:

(a) the lessor would not be qualified to be issued a licence;

(b) the lease is a subterfuge to avoid compliance with the *Act or a rule of the *Corporation; or

(c) the lease is of insufficient length to ensure stability of operations.

35 Improvements

(1) The application documents must describe the applicable zoning designation for the race track site (or *OTB or *TAB facility) and any special use permits required for the race track site.

(2) The application documents must provide a capital development/upgrading schedule (if applicable).

(3) In addition, the applicant must provide copies of the above permits or the documents must describe the procedure by which the applicant must obtain the permit, all conditions likely to be placed on the permit, and the estimated date on which the applicant will obtain the permit.

36 Architect's plan

(1) This section applies to new race tracks or current race tracks with significant proposed facility upgrades.

(2) The application documents must include layout drawings of the race track facility which show the race track or the proposed race track including drawings of sufficient detail to illustrate the facilities and equipment specified under sections 37-39.

(3) The drawings must be drawn to scale and must show the measurements of all areas, including barns and stalls.

(4) For a new race track, the application documents must include a description of the experience of the architect who designed the proposed facility and a statement of the number of race tracks the architect has visited and designed.

37 Track safety

(1) Track Dimensions:

The application documents must state the dimensions and specifications of each track to be provided on the applicants' grounds, including:

(a) the total length;

(b) the width;

(c) the banking, with a description of the method of transition into and out of turns;

(d) the radius of each turn and the length of each stretch and chute;

(e) the type of inside and outside rail (including materials used);

(f) the composition and depth of the base, subsurface, and cushion of the track with a schematic drawing of the racing surface;

(g) the drainage system for the racing surface;

(h) the location and design of the video towers;

(i) the location and design of TV camera placement and sound towers;

(j) the location and method of closing gaps in the rail;

(k) the location and design of the tote board;

(l) the location of lighting for the race track;

(m) the type of turf for a turf race track; and

(n) the method by which the track will be winterized.

(2) The application documents must describe the racing surface maintenance plan for all seasons and the necessary maintenance equipment, including equipment to water and care for the race track.

(3) The application must provide the information required by this section in sufficient detail to demonstrate the applicant's ability to operate and maintain a race track.

(4) Starting Gates & Distance Markers

(a) During racing hours, an association shall provide an operable padded starting gate, which has been approved by the *Corporation.

(b) An association shall make at least one starting gate and qualified starting gate personnel available for schooling during designated training hours.

(c) An association shall provide starting point markers and distance poles in a size and position that is clearly seen from the stewards' stand.

(d) The starting point markers and distance poles must be marked as follows:

1/4 poles - Red and white horizontal stripes

1/8 poles - Green and white horizontal stripes

1/16 poles - Black and white horizontal stripes

220 yards - Green and white

250 yards - Blue

300 yards - Yellow

330 yards - Black and white

350 yards - Red

400 yards - Black

440 yards - Red and white

550 yards - Black and white horizontal stripes

660 yards - Green and white horizontal stripes

770 yards - Black and white horizontal stripes

870 yards - Blue and white horizontal stripes

(5) Racetrack:

(a) The surface of a race track, including the cushion, subsurface and base, must be designed, constructed and maintained to provide for the safety of the jockeys and horses.

(b) Prior to the first race meeting at an association race track, a licensed surveyor shall provide to the *Corporation a certified report of the grade and measurement of the distances to be run.

(i) If any material change occurs to the rail placement, race track configuration, race track elevations or any other change deemed material where the running circumference of the track may be altered, the association shall have the track re-surveyed and the new survey submitted to the *Corporation.

(ii) Section (a) above is in addition to the requirement by the American Quarter Horse Association (AQHA) that requires a survey at regular intervals to comply with AQHA standards.

(c) Distances to be run shall be measured from the starting line at a distance three feet out from the inside rail.

(d) The surveyor's report must be approved by the *Corporation prior to the first race day of the meeting.

(e) An association shall provide an adequate drainage system for the race track.

(f) An association shall provide adequate equipment and personnel to maintain the track surface in a safe training and racing condition. The association shall provide back-up equipment for maintaining the track surface.

(g) The top of the rail on dirt and turf courses must be at least 38 inches but not more than 50 inches above the top of the cushion. The inside rail posts shall have a gooseneck shape of no less than 15 inches with a maximum of 24 inches, measured horizontally from the trackside of the gooseneck post to a point directly below the front edge of the trackside rail; the inside rail overhang shall have a continuous, smooth cover. The outside rail shares the same height as the inside rail and must have a minimum of a 12 inch and a maximum of 18-inch gooseneck shape.

(h) All rails for dirt and turf courses must be constructed of materials designed to withstand the impact of a horse running at a gallop.

(i) The licensee shall provide backup equipment for maintaining the track surface.

(j) A licensee that conducts races on a turf track shall maintain an adequate stockpile of growing medium and provide a system capable of adequately watering the entire turf course evenly.

(6) Lighting:

(a) An association conducting night racing shall provide lighting for the racetrack and the patron facilities that is adequate to ensure the safety and security of the patrons, licensees and horses. Lighting to ensure the proper operation of the videotape and photo finish equipment must be approved by the *Corporation.

(b) An association shall provide adequate additional lighting in the stable area as required by the *Corporation.

(c) If an association conducts racing at night, the association shall maintain a back-up lighting system that is sufficient to ensure the safety of race participants and patrons.

(7) Equine Ambulance:

(a) An association shall provide an equine ambulance staffed by trained personnel on association grounds on each day that the race track is open for racing or training.

(b) The ambulance must be properly ventilated and kept at an entrance to the racing strip when not in use.

(c) The ambulance must be a covered vehicle that is low to the ground and large enough to accommodate a horse in distress. The ambulance must be able to navigate on the race track during all weather conditions and transport a horse off the association grounds.

(d) The ambulance must be equipped with large, portable screens to shield a horse from public view, ramps to facilitate loading a horse, adequate means of loading a horse that is down, a rear door and an additional side door, and should endeavour to provide a padded interior, a movable partition to initially provide more room to load a horse and to later restrict a horse's movement, a shielded area for the person who is attending to the horse.

(e) An association may not conduct a race unless an equine ambulance or an official veterinarian-approved substitute is readily available.

(f) The equine ambulance, its supplies and attendants and the operating procedures for the equine ambulance must be approved by the official veterinarian.

(8) Human Ambulance:

(a) A racetrack shall provide a properly staffed and equipped Advanced Life Support ambulance and advanced care paramedic(s) in accordance with the Alberta *Occupational Health and Safety Act* during racing hours (all racing). If the ambulance is being used to transport an individual from the racing surface or attending to a patient, the racetrack may not conduct a race, or allow horses on the racetrack until the ambulance is replaced or available for service.

(b) Race tracks shall ensure the Advanced Life Support ambulance staff have been trained in concussion management. Any Jockey/driver who falls or is thrown from a horse during a race must be examined by the

Advanced Life Support staff. Advanced Life Support staff shall report their findings to the board of stewards/judges, who will determine if the driver/jockey may continue riding.

(c) Unless otherwise approved by the *Corporation or the stewards, an ambulance shall follow the field at a safe distance during the running of races.

(d) The ambulance must be parked at an entrance to the racing strip except when the ambulance is being used to transport an individual or when it is following the field during the running of a race.

(9) Barns:

(a) An association shall provide barns containing a sufficient number of stalls to accommodate all horses approved to race and all other horses approved to be on the grounds. The association's stable area configuration and facilities must be approved by the *Corporation.

(b) An association shall ensure that the barns are kept clean and in good repair. Each barn, including the receiving barn, must have a hot and cold water supply available, be well ventilated, have proper drainage and be constructed to be comfortable in all seasons.

(c) An association shall ensure that each horse is stabled in an individual box stall with minimum dimensions of 10 by 10 feet.

(d) An association shall provide an adequate area for the placement of manure removed from the stalls. All manure must be removed from the stable area daily. The association shall ensure that refuse from the stalls and other refuse are kept separate.

(10) Test Barns:

(a) An association shall provide a test barn for taking specimens of urine, blood or other bodily substances or tissues for testing.

(b) The test barn must be equipped with a walk ring that is large enough to accommodate multiple horses and readily visible for supervision by test barn staff, enclosed stalls that permit observation of the collection process and provide for the protection of collection personnel, facilities and equipment for the collection, identification and storage of samples, a wash rack that is large enough to accommodate multiple horses at the same time, hot and cold running water; and clean water buckets for each horse.

(c) An association shall limit access to the test barn to persons authorized by the official veterinarian. All entrances shall be locked or guarded at all times.

(11) Paddock – See Section 39(d) of this Section

(12) Emergency Track Warning System:

(a) All tracks shall have a method of emergency track warning system on all racing and training tracks.

(b) The emergency warning system shall consist of a system that notifies all people utilizing the racetrack, and where applicable, on main horse paths and entrances (gaps) that are used during morning exercising by horsemen to enter the training surface.

(13) During Workouts and Training:

(a) During workouts, both lights and sirens shall be used simultaneously. When a warning system is activated, those working, galloping, or ponying horses shall slow down and no one on horseback shall enter the affected track.

(b) During training, lights and sirens shall both be used to signal a lightning delay and that all participants should exit to shelter. Once the course is cleared, lights should remain on until the track is reopened after 30 minutes from the last lightning strike within an 8-mile radius of the facility.

38 Public areas

(1) The application documents must state the specifications for areas of the race track site (and off site areas — if applicable) to which the public will have access and include a description of the configuration and location of:

(a) the grandstand (external site and internal area);

(b) the total number of seats, broken down to designate the indoor and outdoor seats and the reserved and non-reserved seats;

(c) television monitors available for viewing by patrons;

(d) the pari-mutuel facility;

(e) the concession areas;

(f) the restrooms;

(g) parking;

(h) special clubs or other facilities for certain patrons, owners, horsemen;

(i) other features or adjoins that are non-racing in nature;

(j) the smoking and non-smoking areas;

(k) the turnstiles or the method for counting patrons;

(l) the fencing around the grandstand;

(m) any plans for green space landscaping or around the race track site and;

(n) details on any proposed use of the infield area.

(2) The application documents must describe the applicant's plans for maintaining and cleaning the public areas of the race track.

(3) The applications documents must provide the information required by this section in sufficient detail to demonstrate the applicant's ability to operate and maintain its public areas.

(4) The application documents must state the maximum capacity of the facilities, and include the area or areas in square feet of the grandstand makeup.

(5) An association shall ensure that the public areas of the association grounds are designed and maintained for the comfort and safety of the patrons and licensees and are accessible to all persons with disabilities.

(6) An association shall maintain all facilities on association grounds to always ensure the safety and cleanliness of the facilities.

39 Facilities for animals and personnel

(1) The application documents must describe:

(a) the facilities for housing horses, both on site and off-site auxiliary facilities, for example, all weather barns with sufficient stalls for the horse supply as well as appropriate ventilation, tack room, hot walking area, lighting, and other beneficial facilities;

(b) the facilities for housing personnel, for example, the nature and type of accommodation;

(c) the facilities for obtaining specimens for testing animals and humans for drugs;

(d) the pre-race holding area and paddock;

(i) Thoroughbred/Quarter Horse

(A) There shall be as many saddling stalls available as there are positions in the starting gate. In addition, there shall be two stalls available for outriding horses.

(B) Saddling stalls must be 10 feet by 10 feet and with padded or rounded corners to prevent injury to horses and humans when saddling.

(C) There should be an office or a designated area to manage the duties of the paddock judge.

(ii) Standardbred

(A) There should be a minimum of a two-race paddock with enough stalls to accommodate the same number of horses as there are positions on the starting gate.

(B) There should be an office or a designated area to manage the duties of the paddock judge.

(e) the facilities for owners, trainers, and other race track personnel;

(f) the lounge area and other recreational facilities for race track personnel;

(g) the smoking and non-smoking areas;

(h) the facilities for jockeys and drivers (male and female);

(i) Jockeys' Room

(A) The facility should be large enough to accommodate the number of jockeys competing on the race day. This includes lockers with lockable storage for every jockey.

(B) There shall be one private room or office for use by the *Corporation or designee to conduct breathalyzer and other bio testing methods for detecting prohibited substances.

(C) There shall be a separate dressing room for male and female jockeys. Each room shall have a scale for checking weight.

(D) There shall be separate bathroom and shower facility for male and female jockeys with enough toilet stalls and urinals to comply with jurisdictional building code requirements for expected occupancy levels.

(E) A sanitary steam sauna is recommended or an approved alternative that allows jockeys to sweat off (pull) weight prior to racing. The steam sauna should be in the vicinity of the showers so that riders can cool themselves between times in the steam sauna.

(F) There shall be a room with bunkbeds so that jockeys may rest before and during the races.

(G) In addition, the facility shall include:

(I) A storage method for racing silks .

(II) A washer and dryer in number and size to launder items of daily use such as girth sponges, racing silks, saddle towels.

(III) Work tables of suitable length and width to assemble tack and allow the racing valets the proper work space.

(ii) Drivers' Room

(A) The facility should be large enough to accommodate the number of drivers competing at the race meeting. This includes lockers with lockable storage for every driver.

(B) There shall be a separate dressing room for male and female drivers.

(C) There shall be separate bathroom and shower facilities for male and female drivers with enough toilet stalls and urinals to comply with jurisdictional building code requirements for expected occupancy levels.

(i) the stand for the racing judges or stewards and the office and work areas for representatives of the *Corporation;

(j) the facilities for the race track's Race Secretary and the bookkeeper;

(k) the size and composition of the planned path for horses from the stable to the pre-race holding area, paddock, race track, and test barn;

(l) the areas and equipment in and around the stable area where horses may be cooled out or leisurely exercised, such as gallops, grass paddocks, pastures, wood chip trails, or electric walkers;

(m) the barn and stable area and its ventilation system;

(n) the process for waste removal;

(o) equine care facilities;

(p) child care facilities for the patrons or the licensees, if provided.

(2) The application documents must describe the applicant's plans for maintaining and cleaning the facilities that are provided for animals and personnel.

(3) The application documents must provide the information required by this section in sufficient detail to demonstrate the applicant's ability to operate and maintain the facilities for animals and personnel.

(4) An association shall provide adequate office space for the use of the stewards/judges and other *Corporation personnel as required by the *Corporation. The location and size of the office space, furnishings and equipment required under this section must be approved by the *Corporation.

40 Racing equipment

(1) The application documents must describe the equipment used or to be used in conducting races, including the starting gate or car and the timing, photo finish, track maintenance, and videotape equipment.

(2) The application documents must describe the equipment used or to be used in conducting races in sufficient detail to show compliance with the *CPMA permit requirements and any additional requirements under the *Corporation rules.

Safety and Security

41 Safety, security and documentation

(a) The application documents must include detailed plans that provide for the safety, security and first aid of the patrons, the racehorses, licensees and race track personnel.

(b) An association shall have on file with Horse Racing Alberta a copy of the actual policy and post in the jockeys' quarters a summary of the association's insurance coverage for jockeys who are injured while on the grounds of the association and shall, upon the request of any licensed jockey who is participating in the race meeting, provide a copy of the policy of such insurance. Such a request shall be made in writing to a racing official designated by the association in the notice to respond to such requests.

(c) In the event that the insurance policy is changed during the race meeting, the association shall promptly notify Horse Racing Alberta and post a notice of any such changes.

42 Animal health and safety

(1) The application document must describe the methods the applicant currently or proposes to use to minimize the risk of injury or breakdown to race horses and provide the best conditions for their safety and health.

(2) The application documents should describe the applicant's plans (if any) for alleviating stress in racehorses.

(3) The application documents should describe the applicant's plans (if any) for enhancing sports medicine research in Alberta through the reporting and review of data on injuries and accidents in racehorses.

(4) The application documents should describe the applicant's public relations strategy and plans for dealing with public concerns relating to the humane treatment of racehorses at their facility.

43 Security for facilities

(1) The safety and security plans must describe the security equipment, such as fences, locks, alarms, and monitoring equipment, for the race track facilities, including:

(a) the perimeter;

(b) the stables;

(c) the paddock;

(d) the pre-race holding area and test barn; and

(e) the parking lot.

(2) The plans must describe the security procedures to be used:

(a) to admit individuals to restricted areas of the race track; and

(b) to expel and exclude an individual from the race track.

44 Security personnel

(1) The safety and security plans must describe the security personnel at the race track.

(2) An association conducting a race meeting shall maintain security controls over its grounds. Security controls are subject to the approval of Horse Racing Alberta.

(3) An association may establish a system or method of issuing credentials or passes to restrict access to its restricted areas or to ensure that all participants at its race meeting are licensed as required by these rules.

(4) An association shall prevent access to and shall remove or cause to be removed from its restricted areas any person who is unlicensed, or who has not been issued a visitor’s pass or other identifying credential, or whose presence in such restricted area is unauthorized.

(5) Unless otherwise authorized by Horse Racing Alberta, an association shall provide:

(i) continuous security in the stable area during all times that horses are stabled on the grounds. An association shall require any person entering the stable area to display valid credentials issued by Horse Racing Alberta or a visitor's pass issued by the association. A written record of all individuals admitted to the stable area between the hours of 12:00 midnight and 5:00 a.m. shall be maintained. At a minimum this record shall contain the name of the person admitted, the person’s license number and the time admitted. An association shall provide security fencing around the stable area in a manner that is approved by Horse Racing Alberta.

(6) On request by Horse Racing Alberta, an association shall provide a list of the security personnel, including the name, qualifications, training, duties duty station and area supervised by each employee.

(7) Each day, the chief of security for an association shall deliver a written report to the stewards regarding occurrences on association grounds on the previous day. Not later than 24 hours after an incident occurs requiring the attention of security personnel, the chief of security shall deliver to the stewards a written report describing the incident. The report must include the name of each individual involved in the incident, the circumstances of the incident and any recommended charges against each individual involved.

(8) Racing associations shall develop comprehensive training programs that enable backstretch security personnel to expand their knowledge and abilities in policing and securing the stable area.

(9) Associations should support and participate in available security training opportunities, such as those provided by the Thoroughbred Racing Protective Bureau (TRPB) and the Organization of Racing Investigators. These programs should promote use of "best practices" to secure horses on race day.

Financing

45 Financial information

(1) The application documents must include audited financial statements for the applicant for each of the 3 fiscal years preceding the date of the application (where applicable).

(2) If the applicant has been organized less than 3 years, the application documents must include the audited financial statements for each fiscal year that the applicant has been organized.

(3) The application documents must include a business plan for the applicant.

46 Loans

(1) The application documents must disclose details of any loan made by the applicant that exceeds 1% of the applicant's net income for the year in which the loan was made.

(2) The document must:

(a) state the name and address of the borrower;

(b) state the amount of the loan;

(c) describe the terms of repayment of the loan; and

(d) include a copy of each document executed with respect to the loan.

47 Debt

(1) The application documents must describe any lien that encumbers 1% or more of the applicant's assets or 1% or more of the assets of a person with an ownership interest in the applicant of 25% or more.

(2) For each lien, the documents must:

(a) state the name and address of the lender or secured party;

(b) state the amount of the indebtedness;

(c) describe the terms of the lien; and

(d) include a copy of each document executed with respect to the lien.

48 Budget

(1) This section applies to new race tracks or current race tracks with significant proposed facility upgrades.

(2) The application documents must describe the costs of construction or capital improvement of the race track facilities.

(3) The documents must state whether each item is an actual cost or a projection, and must include costs of:

(a) architectural and engineering services;

(b) land acquisition;

(c) site development;

(d) facility construction;

(e) equipment acquisition;

(f) capitalized interest;

(g) loan placement fees and other guarantee fees;

(h) any other financing costs relating to construction;

(i) administrative services relating to construction;

(j) legal services relating to construction; and

(k) other areas relating to construction, providing details of any costs exceeding 5% of the total cost of construction.

(4) For new race tracks the application documents must describe the costs of operating the race track before the first day of racing, including the costs of:

(a) marketing (including advertising and promotion);

(b) application development;

(c) application fees;

(d) licensing fees;

(e) management, administrative, and other salaries;

(f) interest and other financing costs not relating to construction; and

(g) other areas relating to operations, providing details of any costs exceeding 5% of the total costs.

49 Funding

(1) This section applies to new race tracks or current race tracks with significant proposed facility upgrades.

(2) The application documents must state each source of funds for the operation or construction, or both, of capital improvements of the race track.

(3) The documents must state:

(a) each source of equity contribution, the amount of contribution, and the terms of any commitment from that source;

(b) each source of debt contribution, the amount of the contribution, and the terms of any commitment from that source; and

(c) the basis for valuing non-cash contributions.

(4) The application documents must include documents from which the *Corporation can conclude that financing for the race track is reasonably assured for the period that the race track will be licensed.

(5) The application documents must describe alternate sources of funds for unexpected occurrences, such as cost overruns, non-receipt of equity or debt funds, or overestimated revenues.

(6) For new race tracks, the application documents must state the amount of working capital the applicant intends to have available for the first six weeks of race track operations, including funds for the payment of expenses for officials and purses.

(7) The application documents must state any special financing circumstance.

50 Financial projections

(1) The application documents must include financial projections regarding the operation of the race track and pari-mutuel wagering for each of the subsequent 5 years of racing operations following the granting of a race track licence.

(2) The financial projections must include forecasts of revenues and expenses and changes in capital accounts and cash flows.

(3) The application documents must include a projected balance sheet to document the financial forecasts for each year for which financial projections are made.

(4) The projected balance sheet must state the assets, liabilities, and amount of capital of the applicant, based on variables such as:

(a) average daily attendance;

(b) average daily *handle;

(c) average per capita wager;

(d) average number of admissions, including ticket price and free admission;

(e) the proposed shares for the applicant and the horsemen from the pari-mutuel handle;

(f) the proposed shares for the applicant and the horsemen from revenue generated on site per other activities that are not necessarily horse racing, for example, by sponsorships;

(g) purse schedules;

(h) uncashed ticket revenue;

(i) payroll (including management);

(j) taxes;

(k) cost of interest;

(l) cost of insurance, legal, and accounting services;

(m) cost of operating supplies and services;

(n) cost of utilities;

(o) cost of maintenance and repairs;

(p) cost of totalizator services and pari-mutuel operations;

(q) marketing expenses (including advertising and promotion);

(r) travel expenses; and

(s) equipment depreciation.

(5) The financial projections must be presented in accordance with generally accepted accounting principles.

(6) For new race tracks, the application documents must describe the criteria that were used to select the comparable race tracks for purposes of determining the information supplied in subsection (3).

(7) The application documents must include a projection of break-even operations prepared on the basis of cash flow from operations after debt service prepared by using the same number of race days, per capita *handle, and other assumptions used in the financial projections and must express break-even in terms of handle or attendance per race day, or both.

51 Out-of-province distributions

The application documents must state the amount of money that will be paid by the applicant, during each of the subsequent 5 years of licensed operation, to entities located outside Alberta as:

(a) payments or profit or other distributions to investors residing outside of Alberta;

(b) interest on debt with lenders located outside Alberta;

(c) payment for supplies purchased from or services rendered by entities based outside Alberta other than the management company;

(d) payments to the management company as reimbursements for expenses or as payment for services;

(e) rent for facilities or equipment owned by entities based outside Alberta; and

(f) any other payments to out-of-province entities.

Operations and Marketing

52 Race meetings

(1) The application documents must describe the type of race meetings the applicant plans to conduct each year, including:

(a) the number and type (by breed) of live race meetings and the number of live race days per week, and

(b) the number and type (by breed) of *simulcast race meetings and the number of simulcast race days per week.

(2) For each race meeting described, the documents must indicate the time of day the races will be conducted and the approximate number of races per breed to be offered.

53 Time schedule

(1) This section applies to new race tracks only or current race tracks with significant proposed facility upgrades.

(2) The application documents must describe the time schedule proposed by the applicant for preparing the race track for racing.

(3) For a new race track or an existing race track that is implementing capital improvements, the time schedule must state the estimated number of weeks, after the date the *Corporation grants the licence, that the race track will open and when each of the following tasks will begin and end:

(a) acquiring land;

(b) soliciting bids;

(c) awarding construction contracts;

(d) construction;

(e) hiring management personnel;

(f) implementing marketing plan; and

(g) staffing and training staff.

54 Management

(1) The application documents must include a list of the individuals who are or will be senior decision makers or management personnel, or both, responsible for the operation of the race track.

(2) For each individual listed, the documents must:

(a) include all information and required documents from section 17(2), 17(3), 32(2), and 32(3) of these rules (relating to personal information and background check);

(b) state the individual's experience and qualifications in:

(i) the racing industry, including pari-mutuel operations;

(ii) general business;

(iii) finance and accounting; and

(iv) security.

(3) The application documents must include an organizational chart of the management personnel.

(4) For each individual who is currently filling or who has been hired to fill a key management position, the documents must include a biography that states the total number of years experience the individual has in:

(a) pari-mutuel racing;

(b) non-pari-mutuel racing;

(c) other businesses relevant to the management position; and

(d) the proposed percentage of ownership, if any.

(5) If the applicant contracts or intends to contract with a person or management company to manage the race track, the application documents must include:

(a) a copy of the written contract;

(b) a description of the person or company's previous experience operating a pari-mutuel race track; and

(c) all the information required by this section as it pertains to the person or company and its employees.

55 Marketing

The application documents must describe in detail the applicant's plan for the marketing, promotion and advertising of horse racing, special events and of their grandstand/race track facility.

56 Concessions

The application documents must describe the applicant's plan for concessions, including food, beverages, and merchandise/promotional products.

57 Pari-mutuel operations

(1) The application documents must describe the applicant's pari-mutuel facilities and operations, including a description of the totalizator equipment being used or proposed to be used.

(2) The application documents must describe the pari-mutuel facilities and totalizator equipment in sufficient detail to show compliance with *Canadian Pari-Mutuel Agency permit requirements or provide the *Corporation with a copy of the permit.

Impact of Facility

58 Economic impact information and projections

The application documents should include projections of the economic impact of the race track, including the impact on:

(a) employment;

(b) the sale of goods and services;

(c) tourism;

(d) tax revenues; and

(e) public and private investments.

59 Impact on breeding industry

The application documents should describe the applicant's current or future plans, or both, to encourage the growth of the horse breeding industry in Alberta.

Part 4
RACE TRACK LICENSEE'S RESPONSIBILITIES

60 General

(1) Every race track licence is granted upon the condition that the licence holder accepts, will observe and will enforce the rules and directives of the *Corporation, and it is the duty of each officer, director and employee of the licence holder to observe and enforce the rules and directives.

(2) All applications are to be signed and verified by statutory declaration.

(3) In the event that control, whether majority or less of the capital stock, of any *Corporation holding a race track licence from the *Corporation is to be conveyed, no sale or conveyance takes effect until approval in writing is obtained from the *Corporation.

(4) In addition to the following rules in Part 4, the Race Track Licensee shall be continuously responsible for all of the items referenced in Part 3, including but not limited to sections 37 and 39 through 44.

(5) Prior to each race meeting, the Licensee and *Corporation staff member(s) or designee shall conduct a thorough inspection of the racing facility including but not limited to:

(a) race track surface testing

(b) track and barn maintenance equipment

(c) barns/stall/stabling

(d) tote board or equivalent

(e) track lighting including safety lighting

(f) starting gates

(g) horse and human ambulance

(h) paddock, driver and jockeys areas

(i) All items listed in Section 65 of these rules

(j) accident, evacuation and crisis mitigation plan in conjunction with and approved by the *Corporation

(i) The plan shall be compiled and accessible in a binder or other approved means and available in conspicuous places as well as distributed to all stakeholders;

(ii) The Licensee shall schedule a practice drill prior to the commencement of each race meeting

(k) Any other areas not listed that are a function of the day-to-day race track operation

(6) All deficiencies will be documented with a copy provided to the Licensee as part of an annual race track operator health and safety inspection. Per Part 2, Section 10, Paragraph 4 of these rules, the licensee shall have the period of time allotted in the notice (such period to be no longer than 30 days), to remedy the default or explain how that default will be addressed, failing which the *Corporation may:

(a) impose a fine, to a maximum of $100,000 (See Schedule 4), or other penalty, upon the licensee;

(b) suspend the licence for such time as the *Corporation deems appropriate, including an allotted time in which to remedy the default; or

(c) cancel the licence.

(7) The Licensee shall provide the *Corporation with read-only access to non-proprietary racing information through the third party contactors utilized by the Licensee. The information includes the number of races, types of races run and starters, purses paid, jockey and trainer standings. Any additional information must be agreed to between the Licensee and the *Corporation.

(8) Equine Ambulance:

(a) An association shall provide an equine ambulance staffed by trained personnel on association grounds on each day that the race track is open for racing or training.

(b) The ambulance must be properly ventilated and kept at an entrance to the racing strip when not in use.

(c) The ambulance must be a covered vehicle that is low to the ground and large enough to accommodate a horse in distress. The ambulance must be able to navigate on the racetrack during all weather conditions and transport a horse off the association grounds.

(d) The ambulance must be equipped with large, portable screens to shield a horse from public view, ramps to facilitate loading a horse, adequate means of loading a horse that is down, a rear door and a door on each side, a padded interior, a movable partition to initially provide more room to load a horse and to later restrict a horse's movement, a shielded area for the person who is attending to the horse, and an adequate area for the storage of water and veterinary drugs and equipment.

(e) An association may not conduct a race unless an equine ambulance or an official veterinarian-approved substitute is readily available.

(f) The equine ambulance, its supplies and attendants and the operating procedures for the equine ambulance must be approved by the official veterinarian.

(9) Human Ambulance:

(a) A race track shall provide a properly staffed and equipped Advanced Life Support ambulance and advanced care paramedic(s) in accordance with the Alberta *Occupational Health and Safety Act* during training (thoroughbred racing) and racing hours (all racing). If the ambulance is being used to transport an individual from the racing surface or attending to a patient, the race track may not conduct a race, or allow horses with riders/drivers on the racetrack, until the ambulance is replaced or available for service.

(b) Race tracks shall ensure the Advanced Life Support ambulance staff have been trained in concussion management. Any jockey/driver who falls or is thrown from a horse during a race must be examined by the Advanced Life Support staff. Advanced Life Support staff shall report their findings to the board of stewards/judges who will determine if the Jockey may continue riding.

(c) Unless otherwise approved by the *Corporation or the stewards/judges, an ambulance shall follow the field at a safe distance during the running of races.

(d) The ambulance must be parked at an entrance to the racing strip except when the ambulance is being used to transport an individual or when it is following the field during the running of a race.

61 Annual financial report

Licensees shall submit to the *Corporation an annual financial report, prepared in accordance with generally accepted accounting principles, which shall include:

(a) all information required under section 12 of these rules;

(b) report of independent auditors:

(c) a statement pertaining to the licensee’s activities in the province;

(d) a listing of concession and management companies having any beneficial interest in the racing activities of the licensee;

(e) number of performances by number of race cards and by number of races by breed;

(f) attendance;

(g) total pari-mutuel *handle;

(h) amount of uncashed tickets;

(i) purses paid — indicating sources;

(j) corporate sponsorships — indicating sources and funding amounts;

(k) expenses related to racing operations;

(l) total breakage and disbursements; and

(m) a statement of original financing with an indication of principal paid, interest paid, funds reserved for future payment of debt and due dates for future payments.

62 Maintenance of grounds and facilities

(1) Each licensee shall at all times maintain its grounds and facilities so as to be neat and clean, and in good repair, with special consideration for

(a) the comfort and safety of patrons, employees, and other persons whose business requires their attendance; and

(b) the health and safety of the racehorses.

(c) any and all weather conditions such as snow, ice and/or flooding that from time-to-time cause hazards to racehorse and human.

(2) The licensee shall provide effective insect, animal, and rodent control.

(3) The licensee shall ensure that racehorses are stabled in suitable stalls, that the stable and immediate surrounding area are maintained in approved sanitary condition at all times; that satisfactory drainage is provided; and that manure and other refuse are kept in separate boxes or containers at locations distant from any living quarters and promptly and properly removed.

(4) The licensee is responsible to see that paddocks, starting gates and other equipment subjected to contact by different animals be kept in a clean condition and free of dangerous surfaces.

(5) Each licensee shall provide a conveniently located receiving barn or stalls for the use of arriving horses during the race meeting. The barn shall have adequate stable room and facilities, hot and cold water, and stall bedding.

63 Race track

(1) Each race track licensee shall provide:

(a) the surface of a race track, including the cushion, subsurface and base, of such a design, construction and maintenance so as to provide for the reasonable safety of the jockeys/drivers and racehorses;

(b) a track with distances to be run measured from the starting line at a distance 3 feet out from the inside rail;

(c) an adequate drainage system for the race track; and

(d) adequate equipment and personnel to maintain the track surface in a reasonably safe training and racing condition. In this case, adequate is what a reasonable person would deem necessary to manage time and resources in order to provide a surface that would be considered safe for horse and human to compete upon.

(2) The licensee shall also provide all necessary equipment for maintaining the track surface.

(3) A licensee that conducts races on a turf track shall:

(a) maintain an adequate stockpile of growing medium, and

(b) provide a system capable of adequately watering the entire turf course evenly.

64 Results boards, totalizators required

(1) Each licensee shall provide and maintain computerized results boards showing odds, results, and other racing information located in plain view of the patrons.

(2) Each licensee requires *Corporation approval on any totalizator machines/systems for their race track.

65 Communications system

(1) An association shall provide and maintain in good working order a communication system between the:

(a) stewards' stand;

(b) racing office;

(c) tote room;

(d) jockeys' room;

(e) paddock;

(f) test barn;

(g) starting gate;

(h) weigh in scale;

(i) video camera locations;

(j) clocker's stand;

(k) racing veterinarian;

(l) track announcer;

(m) location of the ambulances (equine and human); and

(n) other locations and persons designated by the *Corporation.

(2) An association shall provide and maintain a public address system capable of clearly transmitting announcements to the patrons and to the stable area.

(3) An association shall provide two electronic photo finish devices with mirror image to photograph the finish of each race and record the time of each horse in at least hundredths of a second. The location and operation of the photo finish devices must be approved by the *Coporation before its first use in a race. The association shall promptly post a photograph of each photo finish for win, place or show in an area accessible to the public. The association shall promptly post a photograph of each photo finish for win, place or show in an area accessible to the public. The association shall ensure that the photo finish devices are calibrated before the first day of each race meeting and at other times as required by the *Corporation. On request by the *Corporation, the association shall provide, without cost, a print of a photo finish to the *Corporation. Photo finish records of each race shall be maintained by the association for not less than six months after the end of the race meeting, or such other period as may be requested by the stewards or the *Corporation.

(4) An association shall provide a videotaping system approved by the * Cameras must be located to provide clear panoramic and head-on views of each race. Separate monitors, which simultaneously display the images received from each camera and are capable of simultaneously displaying a synchronized view of the recordings of each race for review shall be provided in the stewards' stand. The location and construction of video towers must be approved by the *Corporation.

66 Marketing

The licensee must on an annual basis describe their plans for marketing horse racing and their race track facility as well as demonstrate their support of the overall marketing strategies of the *Corporation.

67 Confidentiality of applications

Any information provided under these rules will be treated as confidential by the *Corporation except that information which is either in public domain, or which comes into the public domain in the future through no fault or breach of confidence by the *Corporation, or which otherwise becomes available to the *Corporation from sources other than the applicant.

Schedule I
Dictionary of Definitions

In these rules

Act means the *Horse Racing Alberta Act*;

Board means the Board of Directors of Horse Racing Alberta;

Canadian Pari-Mutuel Agency (CPMA) means the body responsible for administering the *Pari-mutuel Betting Supervision Regulations;

Corporation means Horse Racing Alberta;

foreign race separate pool betting means separate pool betting in Canada on a foreign race;

handle means total amount of monies wagered on horse racing in any given facility or geographic area on any given day, month or year;

inter-track betting means pari-mutuel betting at one or more satellite tracks on a race that is held at a host track, where the money bet on each pool at each satellite track is combined with the money bet on the corresponding pool at the host track to form one pool from which the pay-out price is calculated and distributed;

licensed race meetings means a series of racing cards, licensed by the *Corporation, that is held by an licensee at a race course;

occupational licensees means those people licensed by the *Corporation to conduct and participate in horse racing in Alberta;

offtrack betting theaters or **OTB** means any facility where pari-mutuel betting occurs that is not located at a race track;

Pari-mutuel Betting Supervision Regulations means the regulations, under the *Criminal Code of Canada*, respecting the supervision and operation of pari-mutuel betting at race courses;

pari-mutuel system means the manual, electro-mechanical or computerized system and all software, including the totalizator, the telephone account betting system and the inter-track betting equipment, that are used to record bet and to transmit betting data;

protected market area means a geographical area that may be assigned to a licensee, by the *Corporation, in respect to a race course within which the licensee may be granted exclusive rights, or parts thereof, for all horse racing and horse race wagering activities in that geographical area;

purse accounts means the funds available for purses at race tracks;

racing entertainment centre means a multi-functional facility for

(a) live horse racing,

(b) gaming opportunities, licensed under the *Gaming, Liquor and Cannabis Act*, and

(c) other entertainment, designed to maximize participation by the general public and identified consumer groups;

recognized Alberta Horsemen's Associations means those Horsemen's Associations recognized by the *Corporation as the official representatives of their respective breeds and organizations;

separate pool betting means pari-mutuel betting at one or more satellite tracks on a race that is held at a host track, where the money bet on each pool at each satellite track is retained at that satellite track or combined with the money bet on the corresponding pool at another satellite track or tracks, from which the pay-out price at the satellite track or tracks, as the case may be, is calculated and distributed;

simulcast means the telecast or other transmission of audio and visual signals of a horse race that, for the purposes of allowing wagering to be conducted in Alberta on that horse race,

(a) is transmitted from the race track at which the horse race is being run, and

(b) is received and shown at a location in Alberta, at the time that the horse race is being run;

telephone account betting or **TAB** means pari-mutuel betting conducted by means of a telephone call by an account holder to a licensee.

Schedule 2
Fees

(1) The *Corporation reserves the right to charge a licensing fee for any or all Classes of race tracks in Alberta.

(2) The *Corporation will impose a licence application/renewal processing deposit upon all applicants for a race track licence in the province. This fee will be paid, in full, at the time that the applicant’s application is received by the *Corporation. The *Corporation shall apply these funds to its process of due diligence on the applicant's application. Should there be funds remaining, from the deposit, after the *Corporation has performed its due diligence, these funds will be refunded to the applicant. The required processing deposits are:

(a) Deposits for a new application for a race track licence:

(i) for a Class A race track, $30,000;

(ii) for a Class B race track, $5,000;

(iii) for a Class C race track, $500.

(b) Deposits for a race track licence application from persons who, at the date these rules come into force, conduct horse racing in Alberta:

(i) for a Class A race track, $10,000;

(ii) for a Class B race track, $2,000;

(iii) for a Class C race track, $500.

Schedule 3

Charts for section 19(6) and (7) of these rules

OWNERSHIP SUMMARY					
Name	Reason for Inclusion	Cash Contributed	Other Capital Contributed	Expected or Actual Date of Contribution	Percentage Ownership
Totals				100%	

ATTENDANCE AND WAGERING SUMMARY					
	APPLICANT'S FORECASTED DATA				
	Year I	Year 2	Year 3	Year 4	Year 5
Race Days — Live					
Race Days — Simulcast					
Annual Attendance — Live					
Average Daily Attendance					
Average Daily Attendance — Simulcasting					
Average Daily Attendance					
Total Annual Attendance					

Pari-Mutuel Handle — Live					
Average Daily Handle					
Per Capita Handle					
Pari-Mutuel Handle — Simulcast					
Average Daily Handle					
Per Capita Handle					

Total Pari-Mutuel Handle					
Total Purses					
Average Daily Purses					

Schedule 4

Type of Licensing Rule	1st	2nd	3rd	4th	5th Infraction	6th Infraction
Human Safety - On track or barn area	Corrective Action Notice	$2,500	$10,000	$25,000	$80,000 with probation	Failure to comply may result in a fine of up to $100,000 and the suspension of the licence.
Occupational Health and Safety (See Rule 60(6))	Corrective Action Notice	$2,500	$10,000	$25,000	$80,000 with probation	Failure to comply may result in a fine of up to $100,000 and the suspension of the licence.
Rule 41 Safety, security and documentation	Corrective Action Notice	$1,000	$4,000	$10,000	$50,000 with probation	Failure to comply may result in a fine of up to $100,000 and the suspension of the licence.
Rule 42 Animal health and safety	Corrective Action Notice	$2,500	$10,000	$25,000	$80,000 with probation	Failure to comply may result in a fine of up to $100,000 and the suspension of the licence.
Rule 43 Security for facilities, Rule 44 Security personnel	Corrective Action Notice	$1,000	$4,000	$10,000	$50,000 with probation	Failure to comply may result in a fine of up to $100,000 and the suspension of the licence.
Rule 62 Maintenance of grounds and facilities	Corrective Action Notice	$1,000	$4,000	$10,000	$50,000 with probation	Failure to comply may result in a fine of up to $100,000 and the suspension of the licence.
Rule 63 Race track	Corrective Action Notice	$2,500	$10,000	$25,000	$80,000 with probation	Failure to comply may result in a fine of up to $100,000 and the suspension of the licence.
Conduct Detrimental	Corrective Action Notice	$10,000	$40,000	$100,000	License Suspended	NA

Once the fine reaches $10,000, the infraction and corresponding amount will be escalated to the Regulatory and Licensing Committee for review, with the potential for referral to the *Corporation Board of Directors.

In all cases, the time frame to rectify the infraction will be specified in writing, with a deadline determined by the *CorporationFailure to address the infraction within the given timeframe will result in an escalation of the fine, including the immediate suspension of the racing licence.

Endnotes

1. Primarily sections 13, 14 and 22 of the Act.
2. See Schedule 2.
3. See Schedule 2.
4. See Schedule 4.
5. For example, the *Pari-Mutuel Betting Supervision Regulations.
6. In accordance with article 7. I of the CPMA Policies and Procedures manual.

Public Sale of Land

(Municipal Government Act)

Clearwater County

Notice is hereby given that, under the provisions of the Municipal Government Act, Clearwater County will offer for sale, by public auction, in the Municipal Office, 4340 47 Avenue, Rocky Mountain House, Alberta, on Monday, November 3, 2025, at 10:00 a.m., the following lands:

Legal Desc.	Lot	Block	Plan	C. of T.
NE 14-38-5 W5M	1	1	0821633	142310235
SW 17-38-8 W5M	-	-	-	152316566

1. Any parcel of land offered for sale may be redeemed by payment of all arrears, penalties and costs by guaranteed funds at any time until the property is declared sold.

2. Each parcel of land offered for sale will be subject to a reserve bid and title will be subject to the reservations and conditions contained in the existing certificate of title.

3. The lands are being offered for sale on an "as is, where is" basis, and the municipality makes no representation and gives no warranty whatsoever as to the state of the parcel nor its suitability for any intended use by the successful bidder.

4. The auctioneer, councillors, the chief administrative officer and the designated officers and employees of the municipality must not bid or buy any parcel of land offered for sale, unless directed by the municipality to do so on behalf of the municipality.

5. The purchaser of the property will be responsible for property taxes and utilities for the current year. There will be no adjustment to the date of sale.

6. The purchaser will be required to execute a sale agreement in form and substance provided by the municipality.

7. The successful purchaser must, at the time of sale, make payment in cash, certified cheque or bank draft payable to the municipality as follows:

   a. The full purchase price if it is $10,000 or less; OR

   b. If the purchase price is greater than $10,000, the purchaser must provide a non-refundable deposit in the amount of $10,000 and the balance of the purchase price must be paid within 20 days of the sale.

(if the certified cheque or bank draft exceeds the final purchase price, the excess will be refunded within a reasonable time)

8. GST will be collected on all properties subject to GST.

9. The risk of the property lies with the purchaser immediately following the auction.

10. The purchaser is responsible for obtaining vacant possession.

11. The purchaser will be responsible for registration of the transfer including registration fees.

12. If no offer is received on a property or if the reserve bid is not met, the property cannot be sold at the public auction.

13. The municipality may, after the public auction, become the owner of any parcel of land that is not sold at the public auction.

14. Once the property is declared sold at public auction, the previous owner has no further right to pay the tax arrears.

Dated at Rocky Mountain House, Alberta, August 26, 2025.

Rick Emmons, *Chief Administrative Officer*.

Lacombe County

Notice is hereby given that, under the provisions of the Municipal Government Act, Lacombe County will offer for sale, by public auction, in the County Office at 40403 Range Road 274 Lacombe County, Alberta, on Friday, November 21, 2025, at 1:00 p.m., the following lands:

Lot	**Block**	**Plan**	**C. of T.**
1	1	9422826	222004000
1	4	7720917	962188628

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an “as is, where is” basis, and Lacombe County makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the purchaser. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel. No terms and conditions of sale will be considered other than those specified by Lacombe County.

The lands may be occupied and are offered for sale subject to the existing tenancy.

Lacombe County may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash or bank draft. Deposit of 10% and balance due at closing.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Lacombe, Alberta, August 28, 2025.

Tim Timmons, *County Manager*.

County of Newell

Notice is hereby given that, under the provisions of the Municipal Government Act, the County of Newell will offer for sale, by public auction, in the Office of the County Administrator, Brooks, Alberta, on Wednesday, November 19, 2025, at 10:00 a.m., the following lands:

Legal Desc.	**Lot**	**Block**	**Plan**	**Acres**	**LINC**	**C. of T.**
SE-5-19-15-4	3	1	8111352	3.41	0015528335	011200874
NE-24-17-13-4	9	3	731682	0.15	0018258632	741095178
NE-24-17-13-4	10	3	731682	0.15	0018258640	171125351
7-6-16-12-4	-	A	9389HA	10.00	0018344481	146U149
SE-5-19-15-4	1	6	711933	9.93	0032357287	111336664
NE-19-20-15-4	1	1	1014612	4.81	0034594607	191043482

Each parcel will be offered for sale subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The County of Newell may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash or certified cheque only.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Brooks, Alberta, August 11, 2025.

Matt Fenske, *County Administrator*.

Red Deer County

Notice is hereby given that, under the provisions of the Municipal Government Act, Red Deer County will offer for sale, by public auction, at the Red Deer County Centre, 38106 Range Road 275, Red Deer County, Alberta, on Friday, November 14, 2025, at 2:00 p.m., the following lands:

Lot	Block	Plan	Legal Desc.	Area	C. of T.
-	-	-	NE-20-35-21-4	160.75	152100946
1	1	9122122	NW-35-37-25-4	4.7	072209273007
-	B	8721251	SW-3-38-26-4	25.4	032215644
1	1	0713602	SW-10-34-28-4	6.23	161042346
-	-	-	SW-25-34-2-5	147.66	891071050A
1	-	9512794	SE-22-34-3-5	9.51	071263819
Unit 150	-	8621413	SW-19-35-2-5	3,121.53 sq. ft.	132365670
-	A	XXV	NE-7-38-2-5	1.19	212189405
6 & 7	3	XXI	NE-26-36-2-5	0.36	972163249
20B	1	5551RS	SE-6-39-27-4	1.41	192188016
2	2	8022755	NE-28-38-28-4	1.1	942021567
Unit 29	-	9521060	SE-25-35-3-5	2820.14 sq. ft.	232170648

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an “as is, where is” basis, and Red Deer County makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the purchaser. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel. No terms and conditions of sale will be considered other than those specified by Red Deer County.

Red Deer County may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash or certified cheque. Non-refundable deposit of 10% of bid due at the time of the sale, with the balance of 90% of bid due within 10 days.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Red Deer County, Alberta, August 27, 2025.

Finance Department.

County of Stettler No. 6

Notice is hereby given that, under the provisions of the Municipal Government Act, the County of Stettler No. 6 will offer for sale, by public auction, in the County Administration Office Building, 6602 44 Avenue, Stettler, Alberta, on Thursday, December 4, 2025, at 9:00 a.m., the following lands:

Lot	Block	Plan	Legal Desc.	C. of T./Roll
20	2	171AE	SE-19-40-18-4	172326823

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an “as is, where is” basis, and the County of Stettler No. 6 makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the purchaser. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel. No terms and conditions of sale will be considered other than those specified by the County of Stettler No. 6.

All bidders or their agents must be present at the public auction.

The risk of the property lies with the purchaser immediately following the auction. The purchaser is responsible for obtaining vacant possession.

The County of Stettler No. 6 may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash, due at close of auction on tax sale day. GST will be collected on all properties subject to GST.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Stettler, Alberta, September 15, 2025.

Yvette Cassidy, *Chief Administrative Officer*.

Vulcan County

Notice is hereby given that, under the provisions of the Municipal Government Act, Vulcan County will offer for sale, by public auction, in the County Administration Building, 102 Centre Street, Vulcan, Alberta, on Thursday, November 6, 2025, at 10:00 a.m., the following lands:

Roll	Lot	Block	Plan	LINC
262112121	-	1	0112774	0029027588

1. Each parcel of land offered for sale at Public Auction will be subject to reserve bid and to the reservations and conditions contained in the existing Certificate of Title.

2. Redemption of a parcel of land offered for sale may be affected by certified payment of all arrears, penalties, and costs at any time prior to 10:00 a.m. on November 6, 2025.

3. Sales are cash or certified cheque only, with a 10% non-refundable deposit upon acceptance of an offer at the Public Auction, with the balance of the purchase price due within thirty (30) days.

4. GST will apply to all applicable lands sold at Public Auction.

5. The lands are being offered for sale on an “as is, where is” basis, and Vulcan County makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, vacant possession, or the develop-ability of the lands for any intended use by the successful bidder.

6. No bid will be accepted where the bidder attempts to attach conditions to the sale of any parcel of land.

7. No terms and conditions of sale will be considered other than those specified by Vulcan County.

8. The successful bidder will be required to execute a Sales Agreement in a form and substance acceptable to Vulcan County at the close of the Public Auction.

9. No further information is available at the Public Auction regarding the lands to be sold.

10. Vulcan County may, after the Public Auction, become the owner of any parcel of land that is not sold at Public Auction.

11. The successful bidder will be responsible for their share of the transfer registration fees.

Dated at Vulcan, Alberta, August 22, 2025.

Nels Petersen, *Chief Administrative Officer*.

Municipal District of Smoky River No. 130

Notice is hereby given that, under the provisions of the Municipal Government Act, the Municipal District of Smoky River No. 130 will offer for sale, by public auction, in the Council Chambers of the Municipal Office, Falher, Alberta, on Thursday, November 13, 2025, at 2:00 p.m., the following lands:

Legal Desc.	Lot	Block	Plan	Acres	C. of T.
SE 20-77-24-W5M	1	1	1125264	13.94	142031833
NW 35-79-22-W5M	1	1	9620627	4.00	022403775

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an "as is, where is" basis, and the Municipal District of Smoky River No. 130 makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the purchaser. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel. No terms and conditions of sale will be considered other than those specified by the Municipal District of Smoky River No. 130. No further information is available at the auction regarding the lands to be sold.

The Municipal District of Smoky River No. 130 may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash or certified cheque. 10% deposit and balance within 30 days of the date of the public auction. G.S.T. will apply on properties sold at the public auction.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Falher, Alberta, August 20, 2025.

Kate Romyn, *Chief Administrative Officer*.

Town of Olds

Notice is hereby given that, under the provisions of the Municipal Government Act, the Town of Olds will offer for sale, by public auction, at the Town of Olds Council Chambers, 3501 70 Avenue, Olds, Alberta, on Wednesday, October 29, 2025, at 3:00 p.m., the following lands:

Lot	Block	Plan	C. of T.
59	3	0012459	021380614

5	4	7610845	951238593
38	11	0213480	151183418

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an "as is, where is" basis, and the Town of Olds makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the purchaser. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel.

The Town of Olds may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash or certified cheque payable to the Town of Olds, non-refundable deposit of 20% of the successful bid at the time of sale with balance of 80% of bid due within 10 days.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Olds, Alberta, September 15, 2025.

Sheena Linderman, *Director of Corporate Services*.

Town of Slave Lake

Notice is hereby given that, under the provisions of the Municipal Government Act, the Town of Slave Lake will offer for sale, by public auction, in the Council Chambers, Government Centre, 10 Main Street SW, Slave Lake, Alberta, on Monday, October 27, 2025, at 11:00 a.m., the following lands:

Lot	**Block**	**Plan**	**C. of T.**
13	10	3552NY	162226510
26	11	7520722	212079810

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an "as is, where is" basis, and the Town of Slave Lake makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the purchaser. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel. No terms and conditions of sale will be considered other than those specified by the Town of Slave Lake. No further information is available at the auction regarding the lands to be sold.

The Town of Slave Lake may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: The successful bidder must, at the time of sale, make a 10% deposit by cash or certified cheque payable to the Town of Slave Lake with the remaining balance due within 30 days of the public auction. Failure to pay the deposit on the day of sale will result in disqualification. The above properties will be subject to GST and Land Title Registration Fees.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Slave Lake, Alberta, September 15, 2025.

Alex Pavcek, *Chief Administrative Officer*.

Town of St. Paul

Notice is hereby given that, under the provisions of the Municipal Government Act, the Town of St. Paul will offer for sale, by public auction, in the Council Chambers, Town Office, 5101 50 Street, St. Paul, Alberta, on Thursday, November 6, 2025, at 10:00 a.m., the following lands:

Roll	**Lot**	**Block**	**Plan**	**LINC**	**Reserve Bid**
259	W ½ of 1	31	1038CL	0011963444	$325,000.00

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an "as is, where is" basis, and the Town of St. Paul makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the purchaser. The purchaser is responsible for obtaining vacant possession.

The Town of St. Paul may, after the public auction, become the owner of any parcel of land not sold at the public auction.

All bidders or their agents must be present at the public auction. No bid will be accepted where the bidder attempts to attach conditions precedent to the sale of any parcel. No terms and conditions of sale will be considered other than those specified by the Town of St. Paul. No further information is available at the auction regarding the lands to be sold.

Terms: A 10% non-refundable deposit of purchase price on the date of the public auction; balance payable within 60 days of the public auction date. The above properties may be subject to G.S.T.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at St. Paul, Alberta, August 26, 2025.

Town of Vulcan

Notice is hereby given that, under the provisions of the Municipal Government Act, the Town of Vulcan will offer for sale, by public auction, in the Town Office, 321 2 Street S, Vulcan, Alberta, on Monday, November 17, 2025, at 10:00 a.m., the following lands:

Lot	**Block**	**Plan**	**C. of T.**	**Civic Address**
35	3	7810842	101155220	106 2 Avenue South
26	6	7000AG	201225009	213 Centre Street

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an “as is, where is” basis, and the Town of Vulcan makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the purchaser.

The Town of Vulcan may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash or certified cheque. GST will apply on lands sold at the public auction.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Vulcan, Alberta, August 25, 2025.

Kim Fath, *Chief Administrative Officer*.

Village of Chauvin

Notice is hereby given that, under the provisions of the Municipal Government Act, the Village of Chauvin will offer for sale, by public auction, in the Village Office, 216 Main Street, Chauvin, Alberta, on Thursday, October 30, 2025, at 10:00 a.m., the following lands:

Lot	**Block**	**Plan**	**C. of T.**
2	A	1756KS	5202 1 Avenue N.

Each parcel will be offered for sale, subject to a reserve bid and to the reservations and conditions contained in the existing certificate of title.

The land is being offered for sale on an "as is, where is" basis, and the Village of Chauvin makes no representation and gives no warranty whatsoever as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence or presence of environmental contamination, or the developability of the subject land for any intended use by the purchaser.

The Village of Chauvin may, after the public auction, become the owner of any parcel of land not sold at the public auction.

Terms: Cash, money order, or certified cheque – 10% down, balance in 20 days.

Redemption may be effected by payment of all arrears of taxes and costs at any time prior to the sale.

Dated at Chauvin, Alberta, September 15, 2025.

Martina Skinner, *Municipal Administrator*.

Village of Mannville

Notice is hereby given that, under the provisions of the Municipal Government Act, the Village of Mannville will offer for sale, by public auction, in the Village of Mannville Administration Office, Mannville, Alberta, on Tuesday, October 28, 2025, at 10:00 a.m., the following lands:

Lot	**Block**	**Plan**	**LINC**	**C. of T.**
12	2	4000NY	0018594805	222247707
7	3	7620198	0014903819	142107028
8	3	7620198	0014905286	152257539

1. The property will be offered for sale by auction, subject to a reserve bid and to certain registrations shown on the existing certificate of title as described in section 423(1) of the Municipal Government Act.

2. The property shall be offered for sale on an "as is, where is" basis and the Village of Mannville makes no representation and gives no warranty whatsoever including as to the adequacy of services, soil conditions, land use districting, building and development conditions, absence, or presence of environmental contamination, or the developability of the subject land for any intended use by the Purchaser. No bid will be accepted where the bidder attempts to attach

conditions precedent to the sale of any parcel. No terms and conditions of sale will be considered other than those specified by the Village of Mannville.

3. The parcels listed above shall be subject to the tax sale if the total outstanding property taxes remain outstanding prior to the tax sale.

4. Successful bidders agree to be bound by the terms and conditions of the Village of Mannville's standard Tax Sale Agreement, a copy of which shall be made available to prospective bidders at the Village of Mannville Office prior to the tax sale.

5. A non-refundable deposit equal to ten (10) percent of the purchase price, by bank draft or by lawyer's trust cheque shall be due on sale date, with the balance of the purchase price due on closing.

6. Purchasers are responsible for obtaining vacant possession.

7. If no offer is received for a parcel, or if the reserve bid is not met, the parcel will not be sold at the public auction. The Village of Mannville may, after the public auction, become the owner of any parcel of land not sold at the public auction.

8. All sales are subject to current taxes.

9. GST may apply on property sold at the public auction.

10. Redemption may be affected by payment of all arrears of taxes and costs at any time prior to the sale. Parcels may be deleted from this sale as the tax arrears and costs are paid.

Dated at Mannville, Alberta, August 26, 2025.

Brooke Magosse, *Chief Administrative Officer*.

NOTICE TO ADVERTISERS

The Alberta Gazette is issued twice monthly, on the 15th and last day.

Notices and advertisements must be received ten full working days before the date of the issue in which the notices are to appear. Submissions received after that date will appear in the next regular issue.

Notices and advertisements should be typed and on a sheet separate from the covering letter. An electronic submission by email is preferred. Email submissions may be sent to the Editor of The Alberta Gazette at albertagazette@gov.ab.ca. The number of insertions required should be specified and the names of all signing officers typed or printed. Please include the name and complete contact information of the individual submitting the notice or advertisement.

Proof of Publication: Statutory Declaration is available upon request.

A copy of the page containing the notice or advertisement will be emailed to each advertiser without charge.

The dates for publication of Tax Sale Notices in The Alberta Gazette are as follows:

Issue of	*Earliest date on which sale may be held*
September 30	November 10
October 15	November 25
October 31	December 11
November 15	December 26
November 29	January 9
December 15	January 25
December 31	February 10
January 15	February 25
January 31	March 13
February 14	March 27
February 28	April 10
March 14	April 24

The charges to be paid for the publication of notices, advertisements and documents in The Alberta Gazette are:

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